Recognitions of figures with two-dimensional cellular automata.
Laure Tougne

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Abstract

We consider a family of figures of the discrete plane (rectangles, squares, ellipses,...); how shall we decide with a 2D cellular automaton whether a given figure belongs to the family or not?

We essentially give three kinds of results. First, we look for a parallel way of defining the family. For example, a rectangle is a connected figure without hole such that all cells that are in the border have exactly two neighbors in the border. We show that this definition is equivalent to the classical one and give a cellular automaton which recognizes the rectangles’ family in an optimal time. Secondly, the figures are defined with the help of an algorithm which can be easily parallelized. A natural and meaningful example is the ellipses’ recognition. An ellipse is a figure with two distinguished cells (the foci); it is composed of all the cells the sum of distances to the two focus of which is less then a constant $k$ (for the norm $\|\cdot\|_1$ or $\|\cdot\|_{\infty}$). In this case, the algorithm of recognition is the following one: a signal, generated by one of the foci, spreads with an optimal speed in all the possible directions, and it is reflected back by the border of the pattern. The figure is an ellipse if and only if all these signals are resorbed on the other focus.

Finally, we present an other algorithm inspired by a synchronization algorithm due to F. Grasselli, in order to recognize the squares’ family.

Keywords: 2D cellular automata, recognition, family of figures, synchronization

Résumé

Nous considérons une famille de figures du plan discret (rectangles, carrés, ellipses,...); comment décider à l’aide d’un automate cellulaire plan, si une figure donnée appartient à la famille? Nous donnons essentiellement trois types de résultats. Premièrement, nous cherchons un mode de définition de la famille de figures qui soit parallèle. Par exemple, un rectangle est une figure connexe sans trou telle que toute case du bord a exactement deux voisines dans le bord. Nous montrons que cette définition est équivalente à la définition classique du rectangle et exhibons un automate cellulaire reconnaissant la famille des rectangles en temps optima.

Deuxièmement, les figures sont définies par un algorithme facilement parallélisable et, un exemple naturel et très démonstratif est la reconnaissance des ellipses. Une ellipse est une figure avec deux foyers ainsi que toutes les cases dont la somme des distances aux deux foyers (pour la norme $\|\cdot\|_1$ ou $\|\cdot\|_{\infty}$) est inférieure à une constante $k$. Dans ce cas, l’algorithme de reconnaissance est le suivant: un signal engendré par un des deux foyers se diffuse à vitesses maximale dans toutes les directions du plan, puis est réfléchi par le bord de la figure. La figure est une ellipse si et seulement si tous ces signaux se resorbent sur l’autre foyer.

Pour finir, nous présentons un algorithme inspiré d’un algorithme de synchronisation de F. Grasselli pour reconnaître la famille des carrés.

Mots-clés: Automates cellulaires plans, reconnaissance, famille de figures, synchronisation


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Chapter 1

Introduction.

Cellular automata (in short CA) were introduced by J. von Neumann [vN67] in the beginning of the sixties, according to S. Ulam's ideas, as a model of self reproduction.

Cellular automata are finite automata which are regularly connected each other. They can be considered as dynamic systems. In its general historical form, a cellular automaton of dimension $d$ is an infinite set of identical elementary finite machines (called cells, which are indexed by $\mathbb{Z}^d$). A cell is a finite automaton which evolves according to some receiving information and according to its past state which it keeps in memory (it has only a finite number of states). Thus, at the initial moment, all cells are in a given state and the system evolves as follows:

- **Synchronously**: The new time is obtained when all cells have changed their state.
- **Uniformly**: The new state of a cell depends on the states of other cells which depend themselves on other cells that in the same way for all components of the network.
- **Locally**: The new state of a cell depends only on a finite number of cells which are in a bounded neighborhood.

Cellular automata have been studied as languages' recognizers and, more recently as functions' computers. One of their application is the study of massively parallel algorithms in order to spread and to synchronize local information.

In the following discussion, we develop a new utilization of cellular automata: we want to recognize families of figures with 2D cellular automata. As far as I know, no paper has previously been published about this subject.

This report contains different families of figures we tried to recognize. This families are grouped in two parts. In the first part, we search for the "most locally possible" definition of the figure.
The main example is the rectangle's family: a rectangle is a discrete connected figure, without hole which has exactly four vertexes or, a connected figure, without hole, all border cells of which have two border cells in their neighborhood. So, considering a connected figure which has no hole, the examination of the borders is sufficient to determine if the figure is a rectangle or not. Likewise concerning the square: it's a rectangle which has a symmetry property, which is detected at the heart of the square.

In this part, are also some families of figures such as the "L’s family", the "U’s family", the "O’s family"... These families are globally defined. In this case, we count the number of retracting vertexes the figure has in order to recognize it.

In the second part, we have figures which bring into play waves evolving on the plane. For example, we have the ellipses’ family: an ellipse is a figure with two distinguished cells (the focuses); it is composed of all the cells the sum of distances to the two focus of which is less then a constant k. In order to recognize this family of figures, we utilize a two dimensional signal which spread as a one-dimensional one. On a line, a wave spreads like that: at each top, each reached cell communicates the information to its not reached neighborhood, if it has one (see the figure 1.1). On the plane, it is a wave which seems to be a volume in the space-time diagram (it’s a three-dimensional diagram). Thus, a signal which has the quickest speed appears as a pyramid, if the neighborhood is the Von Neumann’s one (see figure 1.2). The wave form depends on neighborhood. We can define a line in terms of wave: "A wave which starts from a focus, disappears on the other focus".

Then, there exist figures which can be defined in terms of wave.

![Figure 1.1: Propagation of a one-dimensional wave.](image-url)
Figure 1.2: Space-time diagram of a 2D wave which spreads at quickest speed.
Chapter 2

Basic notions.

Our goal is to recognize families of figures with plane cellular automata. In this section, we define notions which are useful to understand the rest.

2.1 Cellular automata

Definition 1 (Cellular automata)
A two-dimensional cellular automaton, $A$, is a 4-tuple $(d, S, H, \delta)$ such that:

- $d = 2$, is called the dimension,
- $S$ is a finite set, the elements of which are called the states and denoted by:
  $$ S = \{ s_k; k \in \{0, ..., |S|-1 \} \}, $$
- $H$ is a finite set of $\mathbb{Z}^2$, called the neighborhood and denoted by:
  $$ H = \{ v_j = (s_{1,j}, x_{2,j}); j \in \{1, ..., |H| \} \}, $$
- $\delta$ is a function from $S^{|H|}$ to $S$, called the local transition function.

The cellular automata we consider are two-dimensional ones: we put in each point of $\mathbb{Z}^2$, called cell, the same finite automaton.

Let $A$ be a cellular automaton.

Definition 2 (Configuration of a cellular automaton)
A configuration $C_A$ of $A$ is an application from $\mathbb{Z}^2$ to $S$. A configuration $C_A$ evolves to another configuration $C_A^*$ defined by:

$$ \forall (x, y) \in \mathbb{Z}^2, C_A^*(x, y) = \delta(C_A(x + x_{1,1}, y + x_{2,1}), ..., C_A(x + x_{1,|H|}, y + x_{2,|H|})). $$

We denote this evolution by $C_A \rightarrow C_A^*$ and we call it the global function of $A$. 
Starting from an initial configuration $C^0_A$, we obtain an infinite sequence of configurations $C^t_A; t \in \mathbb{N}$ defined by $\forall t \in \mathbb{N}, C^t_A \rightarrow C^{t+1}_A$.

A cell enters a new state according to its state and the states of its adjacent cells in which we apply $\delta$.

### 2.2 Neighborhoods

Let $H$ be the considered neighborhood.

**Definition 3 (Von Neumann’s neighborhood)**

Let $(x, y) \in \mathbb{Z}^2$, the Von Neumann’s neighborhood of the cell $(x, y)$ is the set of cells, denoted by $H_4(x, y)$, such that: $H_4(x, y) = \{(x + 1, y), (x - 1, y), (x, y - 1), (x, y + 1)\}$. We put $H^1_4(x, y) = (x, y - 1)$, $H^2_4(x, y) = (x - 1, y)$, $H^3_4(x, y) = (x, y + 1)$ and $H^4_4(x, y) = (x + 1, y)$.

See figure 2.1.

![Figure 2.1: Von Neumann’s neighborhood.](image)

**Definition 4 (Moore’s neighborhood)**

Let $(x, y) \in \mathbb{Z}^2$, the Moore’s neighborhood of the cell $(x, y)$ is the set of cells, denoted by $H_8(x, y)$, such that: $H_8(x, y) = \{(x + 1, y), (x - 1, y), (x, y - 1), (x, y + 1), (x + 1, y + 1), (x + 1, y - 1), (x - 1, y + 1), (x - 1, y - 1)\}$. We put $H^1_8(x, y) = (x, y - 1)$, $H^2_8(x, y) = (x - 1, y - 1)$, $H^3_8(x, y) = (x, y - 1)$, $H^4_8(x, y) = (x - 1, y + 1)$, $H^5_8(x, y) = (x, y + 1)$, $H^6_8(x, y) = (x + 1, y)$ and $H^7_8(x, y) = (x + 1, y - 1)$.

See figure 2.2.

Let us notice that the cells which are in the Von Neumann’s neighborhood of the cell $(x, y)$ are the cells which are one distance unit away from the cell $(x, y)$ where the distance is $d_4$. 

\[ \delta \]
CHAPTER 2. BASIC NOTIONS.

\[ H_8^{(c)} (x,y-1) \]
\[ H_8^{(c)} (x-1,y) \]
\[ H_8^{(c)} (x,y+1) \]
\[ H_8^{(c)} (x+1,y) \]

Figure 2.2: Moore’s neighborhood.

\[ d_4(P,Q) = |i_P - i_Q| + |j_P - j_Q| \]

This distance is called City Block Distance (or Square Distance).
In the Moore’s case, the distance is \( d_8 \),
\[ d_8(P,Q) = \max(|i_P - i_Q|, |j_P - j_Q|) \]

This distance is called Chessboard Distance (or Diamond Distance).

2.3 Figures

We consider the discrete plane \( \mathbb{Z}^2 \).

**Definition 5 (Figure)**
We call a figure, denoted by \( F \), any finite subset of \( \mathbb{Z}^2 \).

**Definition 6 (4-connected path)**
A 4-connected path is any sequence of points of \( \mathbb{Z}^2 \), \( (x_0, y_0), (x_1, y_1), \ldots, (x_l, y_l) \) such that \( \forall i \in \{0, \ldots, l-1\} (x_{i+1} = x_i + 1 \text{ and } y_{i+1} = y_i) \text{ or } (x_{i+1} = x_i - 1 \text{ and } y_{i+1} = y_i) \text{ or } (x_{i+1} = x_i \text{ and } y_{i+1} = y_i + 1) \text{ or } (x_{i+1} = x_i \text{ and } y_{i+1} = y_i - 1) \).

**Definition 7 (8-connected path)**
A 8-connected path is any sequence of points of \( \mathbb{Z}^2 \), \( (x_0, y_0), (x_1, y_1), \ldots, (x_l, y_l) \) such that \( \forall i \in \{0, \ldots, l-1\} (x_{i+1} = x_i + 1 \text{ and } y_{i+1} = y_i) \text{ or } (x_{i+1} = x_i - 1 \text{ and } y_{i+1} = y_i) \text{ or } (x_{i+1} = x_i \text{ and } y_{i+1} = y_i + 1) \text{ or } (x_{i+1} = x_i \text{ and } y_{i+1} = y_i - 1) \text{ or } (x_{i+1} = x_i + 1 \text{ and } y_{i+1} = y_i + 1) \text{ or } (x_{i+1} = x_i - 1 \text{ and } y_{i+1} = y_i + 1) \text{ or } (x_{i+1} = x_i + 1 \text{ and } y_{i+1} = y_i - 1) \text{ or } (x_{i+1} = x_i - 1 \text{ and } y_{i+1} = y_i - 1) \).

**Definition 8 (Connected figure)**
A figure \( F \) is 4-connected (respectively 8-connected) if and only if for all cells \( c \)
and \(c'\) in \(F\) such that \(c \neq c'\), there exists a 4-connected (respectively 8-connected) path which connects \(c\) to \(c'\).

The figure 2.3 shows examples of 4 and 8-connected paths and 4 and 8-connected figures.
Every figure we consider here are 4-connected or 8-connected.

![4-connected path and 8-connected path](image)

Figure 2.3: 4-connected and 8-connected paths, 4-connected and 8-connected figures.

**Definition 9 (Figure without hole)**
A figure \(F\) is without hole for \(d_4\) (respectively \(d_8\)) if and only if \(\overline{F}\) (the complement of \(F\) in \(\mathbb{Z}^2\)) is 4-connected (respectively 8-connected).

**Definition 10 (The external layer of a figure)**
We define the external layer of the figure \(F\), denoted by \(L_{\text{ext}}(F)\), as follows:
\[
L_{\text{ext}}(F) = \{ (x, y) \in F; \exists x', y' \text{ such that } (x' = x+1 \text{ and } y'=y) \text{ or } (x' = x-1 \text{ and } y'=y) \text{ or } (x' = x \text{ and } y'=y+1) \text{ or } (x' = x \text{ and } y'=y-1), (x', y') \notin F \}
\]

\(L_{\text{ext}}(F)\) is the set of cells that are on the border of \(F\), that is to say the cells that have at least one cell in their neighborhood which is not in the figure \(F\) (see figure 2.4).

![External layer](image)

Figure 2.4: The external layer, \(L_{\text{ext}}(F)\), of the figure \(F\).

**Definition 11 (Vertices of a figure)**
Let \(v \in F\), we say that \(v\) is a vertex of \(F\) if and only if there exists \(c_1\) and \(c_2\) (\(c_1 \neq c_2\)) in \(H(v)\) such that \(c_1 \notin F\) and \(c_2 \notin F\).
CHAPTER 2. BASIC NOTIONS.

See figure 2.5.

![Figure 2.5: Vertices of a figure.](image)

2.4 Recognition of figures

**Definition 12 (The state of a cell)**

Let $\text{state}(c, t)$ be the state of the cell $c$ at time $t$.

Initially, $\forall c \in F$, $\text{state}(c, 0) = 1$ and $\forall c \notin P$, $\text{state}(c, 0) = 0$. The cells which belong to $F$ are in state 1 and the others are in state 0. The state of the cell $(i, j)$, at time $(t + 1)$, is completely determined by the state of $(i, j)$ and the states of the adjacent cells at time $t$.

**Definition 13 (Recognition of figures)**

We have two notions of recognition:

- **The local recognition**: one cell of the figure enters an accepting state or a rejection state.

- **The global recognition**: all the cells of the figure enter the same state: acceptance state or rejection state.

**Definition 14 (Family which is recognisable with 2-dimensional cellular automata)**

We say that a family of figures, denoted by $\mathcal{F}$, is recognizable with 2D cellular automata if and only if there exists a cellular automaton $A$ such that for every figure $F$ on the plane there is a time $t$ such that,

$$A^t(F) = a \text{ everywhere in } F \ (a=\text{acceptance state}).$$

Otherwise, $A^t(F) = r$ everywhere in $F \ (r=\text{rejection state}).$

That is to say there is an automaton which accepts all the figures of $\mathcal{F}$ and which rejects all the others.
**Definition 15 (Time of recognition)**

We denote $r_{time}(P, A) = \min(t, \forall c \in F \text{ state}(c, t) = a \text{ or } \forall c \in F \text{ state}(c, t) = r)$ the recognition time of $F$ with $A$ if the figure $F$ is recognizable with $A$. It is the first time all the cells of the figure are in the same state (acceptance state or rejection state).
Chapter 3

Recognition of rectangles.

The goal of this part is to show that rectangles are recognizable by two-dimensional cellular automata. The idea here developed, consists in looking for the most locally possible definition of the rectangle and using this definition in order to construct a cellular automaton which allows to recognize it.

3.1 Definition of a rectangle.

Let us consider the rectangles’ family, denoted by $R$, that is to say the set of figures $F$ such that:

$$\exists (i_0, j_0) \in \mathbb{Z}^2 \text{ and } (i_f, j_f) \in \mathbb{Z}^2; F = \{(i, j) \in \mathbb{Z}^2; i_0 \leq i \leq i_f \text{ and } j_0 \leq j \leq j_f\}$$

Now, we give a definition of the rectangles which is equivalent to the previous one but, which gives us a local characterization of the rectangles. In fact, we want this definition to indicate us the neighborhood of each cell of the figure. It will help us to construct a cellular automaton recognizing the rectangles’ family.

Let $F$ be a 4-connected figure without hole. We have to keep in mind that, at time 0, all the cells which belong to $F$ are in state 1 and the others are in state 0.

Let $P_1$ be the following property, associated to the figure $F$:

**Property 1 (Property of the external layer of a figure)**

$$\forall (i, j) \in L_{ext}(F), |H(i, j) \cap L_{ext}(F)| = 2$$

This property means that all the cells which belong to the external layer of the figure $F$, have exactly two adjacent cells which belong to this layer.

Then, we have the following theorem:
Theorem 1
A figure $F$ is a rectangle if and only if $F$ is 4-connected, without hole and $P_1(F)$ is true.

3.2 Demonstration.

In this section, we want to prove the previous theorem. Let $F$ be a figure which is 4-connected, without hole and which verifies the property $P_1$. We want to show that $F$ is a rectangle. The proof is long then, we decompose it as follows: In a first part, we present the Jordan's lemma for $\mathbb{Z}^2$. It will be useful in order to demonstrate the following lemmas. In a second part, we construct a sequence of cells which describes the border of the figure $F$: we take the upper-left most cell of the figure as the first element of the sequence and we go round the border the figure in the counterclockwise direction (see figure 3.1).

We show that the border of $F$ is constituted by four parts: an horizontal part, a vertical part, an other horizontal part and an other vertical one (as in the figure 3.2. By an iterative process, we construct the rest of the sequence.

Then, we show that there are two cases: the sequence is either a spiral or the border of a rectangle (see figure 3.3).
CHAPTER 3. RECOGNITION OF RECTANGLES.

Figure 3.3: The constructed sequence is either a spiral or a rectangle.

Figure 3.4: i and j axis.

In the third part, we show that the first case leads to a contradiction.
In the fourth part, we deduce that the figure \( F \) is a rectangle the border of which is the sequence we have just constructed.
In order to fix the ideas, we use the part of the grid which is shown in the figure 3.4.

3.2.1 The Jordan’s lemma for \( \mathbb{Z}^2 \).

Lemma 1 (Jordan’s lemma for \( \mathbb{Z}^2 \))
Any connected path which connects the point \((a, b)\) to the point \((a + 1, b - 1)\) meets any connected path which connects the point \((a + 1, b)\) to \((a, b - 1)\).

See figure 3.5.

Figure 3.5: Jordan’s lemma for \( \mathbb{Z}^2 \)

This lemma has already been proved by Rosenfeld ([Ros70], [Ros73], [Ros74], [Ros75], [Ros79], [ROS89]).
3.2.2 Construction of a sequence which describes of the border of $F$

We study the position of the cells which are on the border of the figure. We start with the upper-left most cell and we go round the border of the figure in the counterclockwise direction. As soon as we go round, we construct a 4-connected path, denoted by $h_l$ for $0 \leq l \leq n$, which contains the meeting cells.

The first element, $h_0$, of this path is the upper-left most cell the coordinates of which are $i_0$ and $j_0$ such that:

**Definition 16 (Definition of $(i_0, j_0)$)**
We put $j_0 = \min \{ j ; \exists i, (i, j) \in F \}$ and $i_0 = \min \{ i ; (i, j) \in F \}$

$(i_0, j_0)$.

By definition of $i_0$ and $j_0$, we have:

**Fact 1**

$(i_0, j_0) \in L_{ext}(F); (i_0, j_0 + 1) \in L_{ext}(F); (i_0 + 1, j_0) \in L_{ext}(F)$

**Proof**

$(i_0, j_0) \in F$ and $(i_0, j_0 - 1) \notin F$ because of the definition of $j_0$ then $(i_0, j_0) \in L_{ext}(F)$. $(i_0 - 1, j_0) \notin F$ because of the definition of $i_0$. As $(i_0, j_0 - 1) \notin F$, $(i_0 - 1, j_0) \notin F$, $(i_0, j_0) \in L_{ext}(F)$ and $F$ satisfies $P_1$ we have: $(i_0, j_0 + 1) \in L_{ext}(F)$ and $(i_0 + 1, j_0) \in L_{ext}(F)$. □

See figure 3.6.

We go round the figure by the right. So, we first describe the higher border of the figure:

**Lemma 2**

There exists an index $\alpha$ such that $\forall k \in \{0, ..., \alpha - 1\}$, $i_{k+1} = i_k + 1$, $j_{k+1} = j_k$ and $i_{\alpha+1} = i_\alpha$ and $j_{\alpha+1} = j_\alpha + 1$.

**Proof.**

See figure 3.7.

Let $\alpha$ be the first index such that $(i_{\alpha} + 1, j_\alpha) \notin L_{ext}(F)$. Then $(i_{\alpha}, j_\alpha - 1) \notin L_{ext}(F)$ with the definition of $j_\alpha$ (we have $j_0 = j_1 = ... = j_\alpha$). Therefore $(i_{\alpha}, j_{\alpha} + 1) \in L_{ext}(F)$ because $F$ satisfy $P_1$ and $i_{\alpha+1} = i_\alpha, j_{\alpha+1} = j_\alpha + 1$. □

Now, we successively give a description of the right, the bottom and the left most borders.

**Lemma 3**

There exists an index $\beta$ ($0 \leq \alpha \leq \beta$) such that $\forall k \in \{\alpha, ..., \beta - 1\}$, $i_{k+1} = i_k$, $j_{k+1} = j_k + 1$ and $i_{\beta+1} = i_\beta - 1$ and $j_{\beta+1} = j_\beta$. 
not in F because of the definition of \( j_0 \)

Figure 3.6: The adjacent cells of the upper-left most cell

not in F because of the definition of \( i_0 \)

Figure 3.7: The higher border
Figure 3.8: The righter border
Proof.
See figure 3.8.
Let $\beta$ be the smaller index ($\beta > \alpha$) such that $(i_{\beta}, j_{\beta} + 1) \notin L_{\text{ext}}(F)$. Then, as $F$ satisfies the property $P_1$, if $(i_{\beta} + 1, j_{\beta}) \notin L_{\text{ext}}(F)$ then $(i_{\beta} - 1, j_{\beta}) \in L_{\text{ext}}(1)$. We show, by reduction to the absurd, that $(i_{\beta} + 1, j_{\beta}) \notin L_{\text{ext}}(F)$. Otherwise, $(i_{\beta}, j_{\beta} - 1) \in L_{\text{ext}}(F)$ and $(i_{\beta} + 1, j_{\beta}) \in L_{\text{ext}}(F)$ and therefore belong to $F$. As $(i_{\beta}, j_{\beta}) \in L_{\text{ext}}(F)$, it belongs to $F$ and therefore the point $(i_{\beta} - 1, j_{\beta})$ doesn’t belong to $F$.

$L_{\text{ext}}(F) \setminus \{(i_{\beta}, j_{\beta})\}$ is a ||. || connected path which connects $(i_{\beta}, j_{\beta} - 1)$ to $(i_{\beta} + 1, j_{\beta})$.

As $F$ is a figure without hole, there exists a ||. || connected path which connects $(i_{\beta} - 1, j_{\beta})$ to $(i_0, j_0 - 1)$. We extend this path adding it the point $(i_{\beta}, j_{\beta})$, the points $(i_h, j_h - 1)$ for $h \in \{1, \ldots, \alpha\}$ and $(i_h + 1, j_h)$ for $h \in \{\alpha, \ldots, \beta - 1\}$. Then we obtain a path which connects $(i_{\beta}, j_{\beta})$ to $(i_{\beta} + 1, j_{\beta} - 1)$. With the lemma 2, this two paths have a common point which belongs to $L_{\text{ext}}(F) \setminus \{(i_{\beta}, j_{\beta})\}$ and therefore to $F$. But, with the definition of the second path, it doesn’t belong to $F$. There is a contradiction. \hfill \Box

Lemma 4
There exists an index $\gamma$ ($0 \leq \alpha \leq \beta < \gamma$) such that $\forall h \in \{\beta, \ldots, \gamma - 1\}$, $i_{k+1} = i_k - 1$, $j_{k+1} = j_k$ and $i_{\gamma+1} = i_{\gamma}$ and $j_{\gamma+1} = j_{\gamma} - 1$.

Proof.
It is similar to the previous one (see figure 3.9). \hfill \Box

Now, we have several possible cases. They are shown in the figure 3.10. Actually, the first case can readily be eliminated (because of the definition of $j_0$).

Lemma 5
Either $\forall k \in \{\gamma, \ldots, n\}$ $i_{k+1} = i_k$, $j_{k+1} = j_k - 1$ and $(i_{k+1}, j_{k+1}) \in L_{\text{ext}}(F)$ or, $\exists k$ such that $\forall k \in \{\gamma, \ldots, \delta - 1\}$ $i_{k+1} = i_k$, $j_{k+1} = j_k - 1$ and $i_{\delta+1} = i_{\delta} + 1$ and $j_{\delta+1} = j_{\delta}$.

Proof.
If we are in the first case, the construction is ended. We will discuss about this situation after.

Let $\delta$ be the smaller index ($\delta \geq \gamma$) such that $(i_{\delta}, j_{\delta} - 1) \notin L_{\text{ext}}(F)$. Two cases are possible: either $(i_{\delta} - 1, j_{\delta}) \in L_{\text{ext}}(F)$ or $(i_{\delta} + 1, j_{\delta}) \in L_{\text{ext}}(F)$. We show that the first case leads to a contradiction (see figure 3.11): the two points $(i_{\delta} + 1, j_{\delta})$ and $(i_{\delta}, j_{\delta} - 1)$ don’t belong to $F$. We call $(\hat{i}, \hat{j})$ one of these points, and we consider the two paths:

- $L_{\text{ext}}(1) \setminus \{(i_0, j_0)\}$ in $F$
Figure 3.9: The bottom border
### CHAPTER 3. RECOGNITION OF RECTANGLES.

- The path constituted with the path which doesn’t belong to $F$ and which connects $(i, j)$ to $(i_0, j_0-1)$, with the point $(i_h, j_h)$ and the points $(i_h, j_h-1)$ for $h \in \{1, ..., \alpha\}$, $(i_h + 1, j_h)$ for $h \in \{\alpha, ..., \beta\}$ and $(i_h, j_h + 1)$ for $h \in \{\beta, ..., \gamma\}$.

These two paths meet in a point which is not $(i_b, j_b)$ and which is both in $F$ and outside $F$.

In the case where there exists an index $\delta$ such that, for all $k$ in $\{\gamma, ..., \delta - 1\}$, $i_{k+1} = i_k$, $j_{k+1} = j_k - 1$, $i_{k+1} = i_k + 1$ and $j_{k+1} = j_k$, the construction of the path is not ended. We continue it by induction.

There are four cases, which correspond to the four possible directions of the path (see figure 3.12).

#### Lemma 6

There exists a sequence of indices $k_m$ indexed by $m \in \{0, ..., \lambda\}$ such that:

- $Si \ m = 0$, $k_0 = 0$
- $Si \ m > 0$,
  - If $m \equiv 0 \ (4)$
    * $\forall k \in \{k_{m-1}, ..., k_m - 1\}$ $i_{k+1} = i_k$ and $j_{k+1} = j_k - 1$
    * $i_{k_m+1} = i_{k_m} + 1$ and $j_{k_m+1} = j_{k_m}$
  - If $m \equiv 1 \ (4)$
    * $\forall k \in \{k_{m-1}, ..., k_m - 1\}$ $i_{k+1} = i_k + 1$ and $j_{k+1} = j_k$

![Figure 3.10: The left most border](image-url)
CHAPTER 3. RECOGNITION OF RECTANGLES.

Figure 3.11: The left most border
Figure 3.12: Construction of the path by induction

* \( i_{km+1} = i_{km} \) and \( j_{km+1} = j_{km} + 1 \)

- If \( m \equiv 2 \mod 4 \)
  * \( \forall k \in \{ k_{m-1}, \ldots, k_m - 1 \} \) \( i_{k+1} = i_k \) and \( j_{k+1} = j_k + 1 \)
  * \( i_{km+1} = i_{km} - 1 \) and \( j_{km+1} = j_{km} \)

- If \( m \equiv 3 \mod 4 \)
  * \( \forall k \in \{ k_{m-1}, \ldots, k_m - 1 \} \) \( i_{k+1} = i_k + 1 \) and \( j_{k+1} = j_k \)
  * \( i_{km+1} = i_{km} \) and \( h_{km+1} = j_{km} - 1 \)

**Proof.**

Notice that the constructed path is a spiral.

Figure 3.13: Construction of the spiral
We show this lemma by induction on $m$, distinguishing the different cases: $m = 0, 1, 2, 3(4)$. We have:

- For $m = 0$, $h_{k_0} = h_0 = (i_0, j_0)$ (the upper-left most cell),
- for $m = 1$ (respectively 2, 3 and 4), we have the same situation as in the lemma 2 (respectively lemma 3, lemma 4 and lemma 5) with $k_1 = a$ (respectively $k_2 = \beta$, $k_3 = \gamma$, $k_4 = \delta$),
- for $m \geq 5$,
  we suppose that the path $h$ has been constructed for $k \in \{0...k_{m-1} + 1\}$.
  We want to define it for $k \in \{k_{m-1} + 2...k_m + 1\}$.
  Notice that we only give the demonstration in the case where $m \equiv 0(4)$
  because the other cases are similar.

  - Case $m \equiv 0 (4)$

    With the recurrence hypothesis, we have $i_{k_{m-1}+1} = i_{k_{m-1}}$ and $j_{k_{m-1}+1} = j_{k_{m-1}} - 1$ (see figure 3.13). Let $k'$ be the smaller index ($k' > k_{m-1}$) such that $j_{k'+1} \neq j_{k'} - 1$. In $H(i_k, j_k) \cap L_{ext}$, we have the point $(i_{k'} - 1, j_{k'})$. As $F$ satisfies the property $P_1$, one of the two points $(i_{k'} - 1, j_{k'})$ or $(i_{k'} + 1, j_{k'}) \in L_{ext}(F) \cap H(i_k, j_k)$. We show that if it is $(i_{k'} - 1, j_{k'})$, then we come to a contradiction (see figure 3.14).

    So, $(i_{k'} + 1, j_{k'})$ and $(i_{k'} - 1, j_{k'}) - 1$ do not belong to $F$; let $(\tilde{i}, \tilde{j})$ be one of these points.

    The path $I$ made of $L_{ext}(1)$ except $(i_{k'}, j_{k'})$, which connects $(i_{k'} - 1, j_{k'})$ to $(i_{k'}, j_{k'})$ is entirely in $F$.

    Moreover, $(i_{k'} - 1, j_{k'} + 1)$ do not belong to $L_{ext}(F)$. So, as $F$ is 4-connected and without hole, there exists a path $CH$, which is entirely outside $F$, and which connects $(\tilde{i}, \tilde{j})$ to $(i_{k'} - 1, j_{k'} + 1)$. Therefore, with the lemma 2, these two paths $I$ and $CH$ have a common point.

    This common point can’t be the point $(\tilde{i}, \tilde{j})$ which doesn’t belong to $L_{ext}(F)$. Whence the contradiction.

  - Other cases.

    They are similar to the former one.

\[\square\]

### 3.2.3 Study of the path.

In this section, we study the spiral. More precisely, as we have shown that it is finite, we show that every case of stop leads to a contradiction.

We start working on the sequence of indices $k_m$ or $m \in \{0, ..., \lambda\}$. We show that, if we give an other formulation of this sequence, we can deduce relations between the coordinates of the points $h_{k_m}$ (see figure 3.15).
Figure 3.14: Case $m \equiv 0 \pmod{4}$

Figure 3.15: Relations between the points $h_{k,m}$. 
Lemma 7
If \( \lambda > 3 \) then, nominating again the sequence \( k_m \) by \( k_0 + \lambda l, k_1 + \lambda l, k_2 + \lambda l, k_3 + \lambda l \),
l \( \in \{0, \ldots, \frac{\lambda - 3}{4} \} \), we have:
\[ \forall l \in \{0, \ldots, \frac{\lambda - 3}{4} \} \]
\[ a. \ i_{k_4l} < i_{k_4(l+1)} < i_{k_4(l+1)+1} < i_{k_4l+1} \text{ for } l \geq 1 \]
\[ b. \ j_{k_4l+1} < j_{k_4(l+1)+1} < j_{k_4(l+1)+2} < j_{k_4l+2} \]
\[ c. \ i_{k_4l+2} < i_{k_4(l+1)+2} < i_{k_4(l+1)+3} < i_{k_4l+3} \]
\[ d. \ j_{k_4l+3} < j_{k_4(l+1)+3} < j_{k_4(l+1)+4} < j_{k_4l+4} \]

Proof.
We show it, by recurrence on \( l \).

- \( l = 0 \)
  We have:
  - \( j_{k_1} < j_{k_4} < j_{k_6} < j_{k_3} \)
  - \( i_{k_2} > i_{k_6} > i_{k_7} > i_{k_5} \)
  - \( j_{k_3} > j_{k_7} > j_{k_8} > j_{k_4} \)

Effectively, \( j_{k_1} = j_{k_0} \) by definition of \( k_1 \). \( j_{k_4} > j_{k_0} \) by definition of \( j_0 = j_{k_0} \).
So, \( j_{k_4} > j_{k_5} \). As, \( j_{k_5} = j_{k_4} \) (by definition of \( k_5 \)) we have \( j_{k_1} < j_{k_5} \).
\( j_{k_6} > j_{k_6} \) by definition of \( k_6 \), hence \( j_{k_1} < j_{k_5} < j_{k_6} \).
We prove that \( j_{k_6} < j_{k_2} \) by reducing it to the absurd.
We suppose that \( j_{k_6} \geq j_{k_2} \) (see figure 3.16). Then, there exists an index

\[ h' \leq h \leq h'' \leq k_6, \text{ such that } h' \text{ has more then two adjacent cells which belong to } L_{int}(F). \text{ Hence, the contradiction.} \]
So, we have \( j_{k_1} < j_{k_5} < j_{k_6} < j_{k_2} \).
With a similar reasonning, we prove that \( i_{k_2} > i_{k_0} > i_{k_7} > i_{k_3} \) and \( j_{k_3} > j_{k_7} > j_{k_0} > j_{k_4} \).

- \( i_0, 0 \)
  
  We only show the item a- because the other ones are similar.
  
  We suppose that the relations are true for the index \((l - 1)\) (see figure 3.17).

  First, we have \( i_{k_1} < i_{k_4} \) by the hypothesis of recurrence.

  ![](image)

  Figure 3.17: General case of the lemma 7

  Moreover, \( i_{k_{d+2}} = i_{k_{d+1}} \) by definition of \( h_{k_{d+3}} \) and \( i_{k_{d+3}} < i_{k_{d+2}} \), hence \( i_{k_{d+3}} < i_{k_{d+1}} \).

  But, \( i_{k_{d+3}} > i_{k_d} \) by the hypothesis of recurrence. Then, \( i_{k_d} < i_{k_{d+3}} \).

  \( i_{k_{d+4}} = i_{k_{d+(l+1)}} \) by definition of \( h_{k_{d(l+1)}} \). Hence, \( i_{k_d} < i_{k_{d(l+1)}} \) < \( i_{k_{d+1}} \).

  Moreover, \( i_{k_{d(l+1)+1}} < i_{k_{d(l+2)}} \) by the definition of \( h_{k_{d(l+3)}} \). But, \( i_{k_{d(l+2)}} < i_{k_{d+1}} \) because otherwise, there exists an index \( \ell', k_{d(l+1)} < \ell' \leq k_{d(l+2)} + 1 \), such that \( h_{\ell'} \) has more than two adjacent cells which belong to \( L_{\text{ext}}(F) \) (see figure 3.18).

  Hence, \( i_{k_d} < i_{k_{d(l+1)}} < i_{k_{d(l+2)}} < i_{k_{d+1}} \).

  We observe that the lemma 5 gives a possibility to stop the construction.

  As the figure is a finite set of cells and since the lemma 7, the sequences of points \( h_{k_{d+m}}, h_{k_{d+m}}, h_{k_{d+m}} \) and \( h_{k_{d+m}}, \) for \( m \in \{0, \ldots, \lambda\}, \) converge to one or two points. So, the sequence of indices \( k_m, \) for \( m \in \{0, \ldots, \lambda\} \) is finite. The figure 3.19 shows different possible ends of the construction.

**Proposition 1**

\[
L_{\text{ext}}(F) = \{(i, j); (j = j_0 \text{ or } j = j_3) \text{ and } i_0 \leq i \leq i_1 \} \text{ or } (i = i_0 \text{ or } i = i_1 \) \text{ and } j_3 \leq j \leq j_0 \}
\]
CHAPTER 3. RECOGNITION OF RECTANGLES.

We know that there are two kinds of stop: either the construction of the path $h$ stops as in the situation of the first case of the lemma 5 and $i_3 > i_0$ or $i_3 = i_0$; or, it continues as in the lemma 6 and we have spiral the construction of which ends in four different case.

In fact, we can prove that every cases of stop, except the case where $i_3 = i_0$, lead to a contradiction.

Effectively, in each case there exists a cell of the path which has more than two adjacent cells which belong to $L_{\text{ext}}(F)$ (see figure 3.19).

Hence, $h$ is the set of cells $(i,j)$ such that $((j = j_0$ or $j = j_3$) and $i_0 \leq i \leq i_1$) or $((i = i_0$ or $i = i_1$) and $j_3 \leq j \leq j_0$) and $h$ is the border of $F$.

\[\square\]

3.2.4 Characterization of $F$.

In this section, we prove that the path which has been constructed previously is the border of a rectangle, that is to say $F$ is a rectangle.

**Proposition 2**

$F = \{(i,j) ; i_0 \leq i \leq i_1 \text{ and } j_3 \leq j \leq j_0 \}$.

**Proof**

In the former subsection, we have shown that the border of $F$, denoted by $L_{\text{ext}}(F)$, is such that $L_{\text{ext}}(F) = \{(i,j) ; (j = j_0 \text{ or } j = j_3) \text{ and } i_0 \leq i \leq i_1 \}$ or $((i = i_0 \text{ or } i = i_1) \text{ and } j_3 \leq j \leq j_0)$. The figure 3.20 gives a graphic representation of the border of $F$. As $F$ is 4-connected and without hole, $F$ cannot only be the border of a rectangle and there not exists any cell $(i,j)$ such
that \( i_0 \leq i \leq i_1 \), \( j_0 \leq j \leq j_1 \), and \((i,j)\) doesn’t belong to \( F \).
Hence, \( F = \{(i,j); i_0 \leq i \leq i_1 \text{ and } j_0 \leq j \leq j_1\} \).

\[\blacksquare\]

3.3 An automaton which recognizes the rectangles.

We have to find an automaton \( A \), which allows to have, at a given time \( t \), all the cells of the figure in the same state: the acceptance state if the figure belongs to the rectangle family or, the rejection state if the figure doesn’t belong to the rectangle family.

We have shown that a figure \( F \) is a rectangle if and only if every cell that belong to the border of \( F \) have exactly two adjacent cells which belonging to the border. We use this definition in order to construct a cellular automaton recognizing the rectangles: each cell of the figure says to its neighbors whether it is on the border or not. A cell which is on the border and which has not exactly to adjacent cells on the border generates a rejection state. And, a cell which is on the border and which has exactly two adjacent cells on the border, generates an acceptance state. The proposed automaton \( A \) is \( A = (2, S, H, \delta) \) such that:

- \( A \) has 5 states: \( S = \{0, 1, 2, 3, 4\} \),
- \( H \) is the Von Neumann’s neighborhood,
- \( \delta \) is the transition function given by the transition table 3.1.

The principle is the following one. A cell which is in state 1 and that belongs to the external layer of the figure (that is to say a cell which has at least one adjacent cell in state 0) enters state 2. Afterwards, each cell which is in state
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<th>$H_3^1$</th>
<th>$H_4^1$</th>
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Table 3.1: The transition function of the automaton recognizing the rectangle's family.
2 is going to determine, according to its neighborhood, if it may belong to the border of a rectangle. In fact, a cell which is in state 2, knows that it is not the border of a rectangle if it doesn’t have exactly two adjacent cells which are in state 2. So, each cell that belongs to the border, enters the acceptance state (state 3) or in the rejection state (state 4). Then, these states spread; the rejection state is stronger than the acceptance state.

At some time $t$, we have:

\[
\forall c \in F, \text{ state}(c) = 3 \ (F \in R) \\
\forall c \notin F, \text{ state}(c) = 4 \ (F \notin R)
\]

Then, we know if $F$ is a rectangle or not.

Examples are given in the figures 3.21 and 8.1.

If all the cells of the considered figure are in state 3 then the figure is a rectangle; otherwise, if all the cells are in state 4 then the figure is not a rectangle. Let us consider the first figure. All the cells of the external layer have exactly two adjacent cells belonging to this layer, therefore they all enter the acceptance state (state 3). Afterwards, this state spreads in all the figure.

On the other hand, in the third figure, two cells of the external layer haven’t exactly two adjacent cells in this layer, therefore these cells enter the rejection state. The rejection state dominates the acceptance state, so all the cells of the figure progressively enter the rejection state (state 4).

### 3.4 Conclusion.

We gave an automaton which allows to decide whether any 4-connected figure without hole of the discrete plane is a rectangle or not.

We can notice that four of the five states are essential: the initial states 0 and 1 and the terminal states 3 and 4 can not be suppressed. It seems that this automaton has a minimal number of states. Otherwise, it allows to detect very quickly, as soon as the second iteration, whether the figure is a rectangle and this independently regardless of its size.

For a rectangle the size of which is $m \times n$, the global recognition time is $\text{runtime}(R, A) = \left\lceil \frac{\min(m,n)}{2} \right\rceil + 1$. 

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Figure 3.21: Examples of rectangles recognition.
Chapter 4

Recognition of squares.

4.1 Introduction.

In this chapter, we study the squares’ family that is to say the set of figures $F$ such that:

$$\exists (i_0, j_0) \in \mathbb{Z}^2 \text{ and } l \in \mathbb{N} ; F = \{(i, j) \in \mathbb{Z}^2, i \geq i_0 + l \text{ and } j \geq j_0 + l\}$$

We are going to give an automaton which allows to recognize the squares. For this, we are going to use a similar method as the one used for the rectangles and we are going to say that a square is a rectangle which has a symmetry property.

In fact, we are going to apply the idea, which has been developed by Grasselli (1975) in [Gra75], for the two-dimensional Firing Squad Problem, to the square recognition problem. Grasselli defines two operations which are applied on the figures. The first one, the decrease, consists in taking off the most external cells (that are the cells which have at least one adjacent cell which doesn’t belong to the figure). The opposite operation, the expansion, consists in adding a layer of cells to the figure. If the decrease is successively applied to any figure, at a given time, if we apply one supplementary decrease, then all the cells of the figure disappear: we will say that the nucleus of the figure is reached. We will use again this idea of “peeling an onion” in order to recognize the squares.

4.2 Definition of the square.

Let $F$ be a figure of the discrete plane.

We start from the following configuration of the plane:

$$\forall c \in F, \text{ state}(c, 0) = 1$$
$$\forall c \notin F, \text{ state}(c, 0) = 0$$
Definition 17 (The layers of a figure)
We define the $i^{th}$ layer of the figure $F$, denoted by $L_i(F)$, as follows:

- $L_0 = L_{\text{ext}}(F)$
- $i \geq 1$, $L_i = L_{\text{ext}}(F \setminus (L_0 \cup \ldots \cup L_{i-1}))$

(See figure 4.1).

![Figure 4.1: The layers of a figure.](image)

Definition 18 (The internal layer of a figure)
As $F$ is a finite figure, there exists an index $i'$ such that $L_{i'+1}(F) = \emptyset$. We put $L_{\text{int}}(F) = L_{i'}(F)$. $L_{\text{int}}(F)$ is called the internal layer of the figure or the nucleus of the figure.

We define the following property, $S$, of a neighborhood.

Property 2
$S(H_4(x, y)) \iff \exists i, \ell', (H_4(x, y) \in L_i$ and $H_3(x, y) \in L_i$ and $H_2(x, y) \in L_i$ and $H_1(x, y) \in L_i$) or $(H_4(x, y) \in L_i$ and $H_3(x, y) \in L_i$ and $H_2(x, y) \in L_i$ and $H_1(x, y) \in L_i$) or $(H_4(x, y) \in L_i$ and $H_3(x, y) \in L_i$ and $H_2(x, y) \in L_i$ and $H_1(x, y) \in L_i$) or $(H_4(x, y) \in L_i$ and $H_3(x, y) \in L_i$ and $H_2(x, y) \in L_i$ and $H_1(x, y) \in L_i$)

If the neighborhood $H_4$ verify $S$, the cells which belong to $H_4$ are two-two in the same state (see figure 4.2)

![Figure 4.2: Neighborhoods that verify the property $S$.](image)

For a figure $F$, we define the following property $P_2$:
Property 3
$P_3(F) \Leftrightarrow P_1(F)$ and $(\forall (i,j) \in L_{int}(F), S(H_4(i,j)))$

$F$ verifies $P_3$ if and only if $F$ verifies $P_1$, that is to say all the cells of the external layer of the figure have exactly two adjacent cells in this layer, and if the neighborhood of any cell that belongs to the nucleus of the figure verifies $S$.

Then, we have the following theorem:

**Theorem 2**
$F$ is a square ($F \in S$) $\Leftrightarrow$ $F$ is 4-connected, without hole and $P_2(F)$ true.

### 4.3 Demonstration.

We want to prove the former theorem.

Using the theorem 1 (chapter 3), we know that $F$ is a rectangle, that is to say $\exists (i_0, j_0), (i_f, j_f) \in \mathbb{R}^2$, $F = \{(i, j); i_0 \leq i \leq i_f \text{ and } j_0 \leq j \leq j_f\}$.

Then, three cases are possible:

- **Case 1:** $j_f - j_0 > i_f - i_0$.
  
  - Case 1.1: $i_f - i_0$ odd.
    
    As $i_f - i_0$ is odd,
    
    $\exists i, L_i = L_{int}(F) = R(i_0 + (i-1), j_0 + (i-1), i_0 + (i-1), j_f - (i-1)) = \{ (i, j) \in F; i_0 + (i-1) \leq i \leq i_0 + (i-1) \text{ et } j_0 + (i-1) \leq j \leq j_f - (i-1) \}$
    
    because of the definition of $L_{int}(F)$. So $L_{int}(F)$ is a rectangle the sides of which are parallel to the axis $i$ and the length of which is 1.
    
    Let $c_1$ and $c_2$ be the extremist cells of this rectangle, $c_1 = (i_0 + (i-1), j_0 + (i-1))$ and $c_2 = (i_0 + (i-1), j_f - (i-1))$. We have:
    
    $H_4^1(c_1) \in L_{i-1}$, $H_4^2(c_1) \in L_{i-1}$, $H_4^3(c_1) \in L_i$ et $H_4^4(c_1) \in L_{i-1}$.
    
    Therefore, $H_4(c_1)$ doesn’t verify the property $S$ (it’s the same for $H_4(c_2)$). Therefore $P_3$ is not verified in this case.

  - Case 1.2: $i_f - i_0$ even.
    
    As $i_f - i_0$ is even,
    
    $\exists i, L_i(F) = L_{int}(F) = R(i_0 + (i-1), j_0 + (i-1), i_0 + i, j_f - (i-1)) = \{ (i, j) \in F; i_0 + (i-1) \leq i \leq i_0 + i \text{ et } j_0 + (i-1) \leq j \leq j_f - (i-1) \}$
    
    So $L_{int}(F)$ is a rectangle the sides of which are parallel to the axis $i$ and the length of which is 2.
    
    Let $c_1 = (i_0 + (i-1), j_0 + i)$. $c_1 \in L_{int}(F)$. This cell is such that
    
    $H_4^1(c_1) \in L_i$, $H_4^2(c_1) \in L_{i-1}$, $H_4^3(c_1) \in L_i$ et $H_4^4(c_1) \in L_i$.
    
    Therefore $H_4(c_1)$ doesn’t verify the property $S$ and then, $P_3$ is not verified again.

- **Case 2:** $j_f - j_0 < i_f - i_0$
- Case 2.1: \( j_f - j_0 \) odd. The reasoning is the same as in the case 1.1. We obtain a rectangle the sides of which are parallel to the axis \( j \), and the length of which is 1. And, we conclude as in 1.1.

- Case 2.2: \( j_f - j_0 \) even. Idem case 2.1.

\* Case 3: \( i_f - i_0 = j_f - j_0 \)
Let \( \ell = i_f - i_0 = j_f - j_0 \).

- Case 3.1: \( \ell \) even.
\[ \exists i, L_i = L_{\text{int}}(F) = R(i_0 + (i - 1), j_0 + (i - 1), i_0 + i, j_0 + i) = \{(i, j), i_0 + (i - 1) \leq i \leq i_0 + i \text{ et } j_0 + (i - 1) \leq j \leq j_0 + i\}. \]

The nucleus of the figure, \( L_{\text{int}}(F) \), is a square the sides of which have a length which equals 2. Therefore, it contains four cells which are named \( c_1, c_2, c_3 \) et \( c_4 \). We have:

* \( H_4(c_1) \) verifies \( S \) because \( H_4^1(c_1) \in L_{i-1}, H_4^2(c_1) \in L_{i-1}, H_4^3(c_1) \in L_i \) and \( H_4^4(c_1) \in L_i \).
* It’s the same with \( H_4(c_2), H_4(c_3) \) et \( H_4(c_4) \).

Therefore \( P_2 \) is verified.

**Definition 19 (The expansion of a figure)**

Let \( E(F) \) be the operation which is defined as follows:

* Let \( G = \{(i, j) \in F; \exists c \in H_4(i, j) \text{ and } c \in L_{\text{ext}}(F)\} \) be the set of the cells which belong to the plane and which have at least one adjacent cell in the external layer of the figure.
* \( E(F) = F \cup G \)

The operation \( E(F) \) consists in taking again a layer to the figure \( F \): it’s the expansion (see figure 4.3).

![Figure 4.3: Adding a layer.](image)

**Lemma 8**

If \( F \) is a square then \( E(F) \) is a square.
Proof.
Let $S$ be a square such that $S = \{(i, j) \in F, i_0 \leq i < i_0 + l$ and $j_0 \leq j < j_0 + l\}$. Let $T = \{(i, j) \in F$,
\begin{itemize}
  \item $(j = j_0 - 1$ and $i \in \{i_0 - 1, \ldots, i_0 + l + 1\})$
  \item $(j = j_0 + l + 1$ and $i \in \{i_0 - 1, \ldots, i_0 + l + 1\})$
  \item $(i = i_0 - 1$ and $j \in \{j_0, \ldots, j_0 + l\})$
  \item $(i = i_0 - (l + 1$ and $j \in \{j_0, \ldots, j_0 + l\})$
\end{itemize}
$E(C) = C \cup T = \{(i, j) \in F; i_0 \leq i < i_0 + l$ and $j_0 \leq j < j_0 + l\}$
\begin{itemize}
  \item and $(j = j_0 - 1$ and $i \in \{i_0 - 1, \ldots, i_0 + l + 1\})$
  \item and $(j = j_0 + l + 1$ and $i \in \{i_0 - 1, \ldots, i_0 + l + 1\})$
  \item and $(i = i_0 - 1$ and $j \in \{j_0, \ldots, j_0 + l\})$
  \item and $(i = i_0 - (l + 1$ and $j \in \{j_0, \ldots, j_0 + l\})$
\end{itemize}
Therefore $E(S) = \{(i, j) \in F, i_0 - 1 \leq i < i_0 + l + 1$ and $j_0 - 1 \leq j < j_0 + l + 1\}$. Therefore $E(S)$ is a square the length of which is $l + 2$. \hfill \qedsymbol

As $L_{int}(F)$ is a square, we can deduce with the lemma 8 that $F$ is a square.

- Case 3.2: $l$ odd.

\[ \exists i, L_i = L_{int}(F) = (i_0 + (i - 1), j_0 + (i - 1)) \]

The nucleus of the figure is reduced to a unique cell $c$ such that: $H_3^3(c) \in L_{i-1}, H_2^2(c) \in L_{i-1}, H_2^2(c) \in L_{i-1}, H_3^3(c) \in L_{i-1}$ and $H_3^3(c) \in L_{i-1}$. Therefore $H_4(c)$ verify the property $S$ (with $F = i$) and therefore the conditions of the theorem are verified. Furthermore, $L_{int}(F)$ is reduced to a sole cell, therefore it’s a square. We can deduce with the lemma 8 that $F$ is a square. \hfill \qedsymbol

### 4.4 An automaton which recognizes the squares.

The proposed automaton is $B = (2, S, H, \delta)$ such that:

- $B$ has seven states: $S = \{0, 1, 2, 3, 4, 5, 6\}$,
- The neighborhood $H$ is the Von Neumann’s one,
- The transition function $\delta$ is given by the transition tables 4.1 and 4.2.
- The terminal states are the states 5 and 6 with:

\[
\forall c \in F, \text{state}(c) = 5 \Leftrightarrow F \in S \\
\forall c \in F, \text{state}(c) = 6 \Leftrightarrow F \notin S
\]
### Table 4.1: Transition table of the automaton which recognizes the squares (2).
Table 4.2: Transition table of the automaton which recognizes the squares (2).
As for the rectangles recognition, the first stage consists in putting the cells that belong to the external layer in state 2. At the second stage, each cell which belongs to this layer is going to determine, according to its neighborhood, if it is on a border of a rectangle (and possibly on a border of a square) or not. Then, after this stage, if no cell enters the error state, we are sure to have a rectangle (according to the chapter 3) and perhaps a square. Afterwards, the figure is decomposed in layers and the cells which belong to "the internal layer" (which belong to the nucleus) are able to know if they are on a square or on a rectangle. As a matter of fact, if a cell which belongs to the nucleus has a symmetrical neighborhood then it is on a square. This is true because if we take off the external layer of a square we obtain another square. On the other hand, if such a cell has not a symmetrical neighborhood then it is not on a square. See figure 8.2.

4.5 Conclusion.

We proved that the automaton, which is given above, allows to recognize the squares.

The global recognition time is $\text{rtime}(\mathcal{S}, B) = \lceil\frac{n}{2}\rceil + 1$ where $n$ is the distance between the farthest cells in the figure.
Chapter 5

Recognition of patterns which are built with rectangles.

In the chapter 3, we have seen that a rectangle is recognizable with plane cellular automata. Now, we consider families of figures which are built with rectangles (families of characters). They are globally defined. In order to recognize these figures, the question is to count the number retracting vertexes that the figure has.

5.1 Definitions

5.1.1 Coming out and retracting vertexes

Definition 20 (Coming out vertex)
Let \((x, y) \in F\). We say that \((x, y)\) is a coming out vertex of the figure \(F\) if and only if there exists \((x', y') \in H_4(x, y)\) and \((x'', y'') \in H_4(x, y)\) \((x'' \neq x'\) and \(y'' \neq y')\) such that \((x', y') \notin F\) and \((x'', y'') \notin F\).

See figure 5.1.

Definition 21 (Retracting vertex)
Let \((x, y) \in F\). We say that \((x, y)\) is a retracting vertex of the figure \(F\) if and only if there exists \((x', y') \in H_4(x, y)\) and \((x'', y'') \in H_4(x, y)\) \((x'' \neq x'\) and \(y'' \neq y')\) such that \((x', y') \in F\) and \((x'', y'') \in F\) and there exists \((x''', y''')\) in \(H_4(x', y')\) and in \(H_4(x'', y'')\) such that \((x''', y''') \notin F\).

See figure 5.2.
CHAPTER 5. RECOGNITION OF PATTERNS WHICH ARE BUILT WITH RECTANGLES.

Figure 5.1: Coming out vertices of a figure.

Figure 5.2: Retracting vertices of a figure.

**Definition 22 (Vertex of a figure)**

Let \((x, y) \in F\). \((x, y)\) is a vertex of \(F\) if and only if \((x, y)\) is a coming out vertex or a retracting vertex.

5.2 Recognition of “L”.

5.2.1 Definition of the \(L\) family.

A figure is a ”L” if and only if it has five vertexes and therefore, one and only one retracting vertex (see figure 5.3).

Figure 5.3: Examples of “L”.

We are going to define more precisely the ”L” family: \(L\). We consider the Von Neumann’s neighborhood.
CHAPTER 5. RECOGNITION OF PATTERNS WHICH ARE BUILT WITH RECTANGLES

Definition 23
Let $F_1 = R(i_0, j_0, i_f, j_f) = \{(i, j), i_0 \leq i \leq i_f \text{ and } j_0 \leq j \leq j_f\}$.
Let $F_2 = R(i'_0, j'_0, i'_f, j'_f) = \{(i, j), i'_0 \leq i \leq i'_f \text{ and } j'_0 \leq j \leq j'_f\}$ such that:
- $(i'_0, j'_0) = (i_0, j_0)$ or $(i'_0, j'_0) = (i_f, j_0)$ or $(i'_0, j'_0) = (i_0, j_f)$
- $(i'_f - i'_0) < (i_f - i_0)$ and $(j'_f - j'_0) < (j_f - j_0)$

$\mathcal{L} = \{F \subset \mathbb{Z}^2, F = F_1 \setminus F_2\}$

See figure 5.4.

Figure 5.4: Definition of the "L".

5.2.2 Automaton which recognizes $\mathcal{L}$.
In order to know if any figure belongs to $\mathcal{L}$, or not, we have to know if the figure has exactly one retracting vertex.
The proposed automaton is $L = (2, S, H, \delta)$ such that:
- $L$ has 10 states: $S = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$,
- The neighborhood $H$ is the Von Neumann’s one,
- The transition function $\delta$ is given by the transition table in appendix, chapter 4.

Principle:
* At first, the borders of the figure are marked; the cells which are concerned (the ones that have at least one adjacent cell in the state 0) enter in the state 2.

* A second and third times, we differentiate the retracting vertexes: two different states are used in order to distinguish the retracting vertexes (see figure 5.5).

![Diagram](image)

- State 2
- State 3
- State 4

A cell which is in the state 2, which has a unique adjacent cell in the state 2 and which is on a vertical border enters in the state 3. A cell which is in the state 2, which has a unique adjacent cell in the state 2 and which is on a horizontal border enters in the state 4.

This generates four possible neighborhood for the cell c. Then, this cell enters in a different state according to its neighborhood (this state is propagated...).

Figure 5.5: How differentiate the retracting vertexes.

* Afterwards, the states of the angles spread:

  - If two different states meet then the figure has several retracting angles and it doesn’t belong to \( \mathcal{L} \).

  - Otherwise, at a given moment, all the cells of the figure are in the same state (the one that has been propagated since the unique angle) and \( F \in \mathcal{L} \).

In order to solve the problem that occurs when the figure has no retracting angle (case of the rectangle), the state 2 is propagated and if, at a given moment, all the cells of the figure are in the state 2 then the figure is not a \( \mathcal{L} \) (see figures 8.3).
5.2.3 Automaton which recognizes the "L" family using cells that are outside the figure.

We can notice that the utilization of cells that are outside the figure facilitates the recognition and in particular, reduces the number of states that are necessary. So, a possible automaton \( L' = (2, S, H, \delta) \) is such that:

- \( L' \) has 8 states: \( S = \{0, 1, 2, 3, 4, 5, 6, 7\} \),
- \( H \) is the Von Neumann's neighborhood,
- The transition function \( \delta \) is given in appendix, chapter 4.

The principle is exactly the same as previously but a cell that is in a retracting angle (therefore, which is outside the figure) knows right away the existence of this angle and therefore, it's not necessary to use two different states in order to distinguish the angles (see figure 5.6 and 8.4).

![Diagram of automaton recognizing "L" family](image)

Les cellules c1, c2, c3 et c4 ont des voisinages différents. Elles peuvent donc entrer dans des états différents; qui se propageront ensuite à l'intérieur de la figure.

The cells c1, c2, c3 and c4 have different neighborhoods. So, they can enter in different states which will spread afterwards inside the figure.

Figure 5.6: Utilization of cells that are outside the figure.

5.3 Recognition of "U".

5.3.1 Definition of the \( U \) family.

A figure is a "U" if and only if, when we direct the border of the figure and we go over it, we meet exactly six vertexes and two successive retracting vertexes (see figure 5.7).

We are going to define more precisely the "U" family: \( U \). The utilized neighborhood is the Von Neumann's one.
Definition 24
Let $F_1 = R(i_0, j_0, i_f, j_f) = \{(i, j), i_0 \leq i \leq i_f \text{ and } j_0 \leq j \leq j_f\}$ and $F_2 = R(i_0', j_0', i_f', j_f') = \{(i, j), i_0' \leq i \leq i_f' \text{ and } j_0' \leq j \leq j_f'\}$ such that:

- $(i_f' - i_0') < (i_f - i_0)$ and $(j_f' - j_0') < (j_f - j_0)$
- $i_0' = i_0 \text{ or (exclusive) } j_0' = j_0 \text{ or (exclusive) } i_f' = i_f \text{ or (exclusive) } j_f' = j_f$

$\mathcal{U} = \{F \subseteq \mathbb{Z}^2, F = F_1 \setminus F_2\}$

In order to recognize this model of figures, it’s necessary to verify that the figure has exactly two retracting vertexes and that these vertexes are well placed (this is in order to distinguish the ”U” and the figures that are shown in the figure 5.8).

5.3.2 Automaton which recognizes the ”U”.

The idea is the following one: we start marking all the cells of the external layer. Among these cells, if there exist some that have an unique adjacent cell in the external layer then they enter in a state which depends on the place they
Cells which have an unique adjacent cell in the external layer.

Figure 5.9: Marking the retracting angles.

are (on the horizontal or vertical border). This is shown in the figure 5.9. We can distinguish the different angles (as in the recognition of "L"). The states that correspond are propagated. In the case where there is an unique retracting angle, all the cells of the figure will enter in the state of the angle. So, we will say that the figure is not a "U".

In the case where there are two retracting angles, the corresponding states will meet. We have now two possibilities: either we have a "U" or we have a "T". In the first case, the states which are propagated will meet "as it is necessary" that is to say the meeting direction is respected. Then a state "YES" is generated. In the second case, a state "NO" is generated (see figure 5.10). In the case

Figure 5.10: Meeting of two states.

where there are three retracting angles, or more then that, the meeting of another state causes the generation of a state "NO". The automaton is given in
5.3.3 Automaton which recognizes the \( \mathcal{L} \) family using cells that are outside the figure.

As in the recognition of "L", we notice that if we allow the utilization of cells that are outside the figure then it's possible to find an simpler automaton which has, in particular, less states.
A possible automaton is given in appendix, chapter 4.

5.4 Recognition of “O”.

5.4.1 Definition of the \( \mathcal{O} \) family.

A figure is a "O" if and only if it’s a rectangle with a rectangular hole (see figure 5.11).

The utilized neighborhood is Von Neumann’s one.

![Figure 5.11: Examples of “O”.

Definition 25
Let \( F_1 = R(i_0, j_0, i_f, j_f) = \{(i, j), i_0 \leq i \leq i_f \text{ and } j_0 \leq j \leq j_f \} \).
Let \( F_2 = R(i_0', j_0', i_f', j_f') = \{(i, j), i_0' \leq i \leq i_f' \text{ and } j_0' \leq j \leq j_f' \} \) with:

- \( i_0' > i_0 \)
- \( i_f' < i_f \)
- \( j_0' < j_0 \)
- \( j_f' > j_f \)

\( \mathcal{O} = \{ F \subset \mathbb{Z}^2, F = F_1 \setminus F_2 \} \)
5.4.2 Automaton which recognizes the ”O”.

The principle is still the same. We propagate the states of different retracting angles, we count if they are really four and we verify that the states meet ”as it is necessary” (see figure 5.12).

The automaton is given in appendix, chapter 4. See figure 8.6.

- Step 1: Generation of states that correspond to the different retraction angles.
- Step 2: Propagation of these states. When they meet, there is generation of new states which means: ”two different angles have meet”.
- Step 3: Propagation of these states. Their meeting generate new states which means: ”three different angles have meet”.
- Step 4: New propagation and new states ”four different angles have meet”.

Figure 5.12: Recognition of ”O”.

5.5 Recognition of ”T”.

Previously, we cast about recognizing figures built from a rectangle which is subtracted with different manners to another one. Likewise, we can look for recognizing figures which are the result of the subtraction of two rectangles. Then, we obtain figures that are similar of the ones that are presented in 5.13.
5.5.1 Definition of the ’’T’’ family: $T$.

Here, we are interesting in the $T$ family but the other ones that are built from the subtraction of two rectangles would be recognizable with similar automata. A figure is a ’’T’’ if and only if it has exactly 6 vertexes and two retracting vertexes (separated by two vertexes if we go over the oriented border of the figure). See figure 5.14.

![Figure 5.14: Recognition of ”T”](image)

Definition 26

Let $F_1 = R(i_0, j_0, i_f, j_f) = \{(i, j), i_0 \leq i \leq i_f \text{ and } j_0 \leq j \leq j_f\}$.

Let $F_2 = R(i_0', j_0', i_f', j_f') = \{(i', j'), i_0' \leq i' \leq i_f' \text{ and } j_0' \leq j' \leq j_f'\}$ such that:

- $(i_0', j_0') = (i_0, j_0)$ or (exclusive) $(i_f', j_f') = (i_f, j_0)$ or (exclusive) $(i_0', j_f') = (i_0, j_f)$

- $(i_f' - i_0') < (i_f - i_0)$ and $(j_f' - j_0') < (j_f - j_0)$

Let $F_3 = R(i_0'', j_0'', i_f'', j_f'') = \{(i'', j''), i_0'' \leq i'' \leq i_f'' \text{ and } j_0'' \leq j'' \leq j_f''\}$ such that:

- $(i_0'', j_0'') = (i_0, j_0)$ or (exclusive) $(i_f'', j_0'') = (i_f, j_0)$ or (exclusive) $(i_0'', j_f'') = (i_0, j_f)$

- $(i_f'' - i_0'') < (i_f - i_0)$ and $(j_f'' - j_0'') < (j_f - j_0)$
• $P_2 \cap P_3 = \emptyset$

$\mathcal{T} = \{ F \subseteq \mathbb{Z}^2, F = F_1 \setminus (F_2 \cup F_3) \}$

### 5.5.2 Automaton which recognizes the "T".

The principle is the same as the one that is used in the "U" recognition. The border of the figure is marked. The different retracting vertex are distinguished. The corresponding states are propagated. The automaton is given in appendix, chapter 4. See figure 8.5.

### 5.6 Generalization.

Here, we define a family of figures which contains some of the families that have been studied before, and which is recognizable with 2-dimensional cellular automata.

Let $F$ be a 4-connected figure without hole.

#### 5.6.1 Encoding of a figure

**Definition 27 (Encoding of a vertex)**

Let $(x, y)$ be a vertex of the figure. We define the encoding of $(x, y)$, denoted by $c(x, y)$, as follows:

- $c(x, y) = 1$ if and only if $(x, y)$ is a coming out vertex of $F$,
- $c(x, y) = -1$ if and only if $(x, y)$ is a retracting vertex of $F$.

Let $y_0 = \min(y, (x, y) \in F)$ and $x_0 = \min(x, (x, y_0) \in F)$. $(x_0, y_0)$ is the upper-left most cell of the figure $F$.

**Lemma 9**

$(x_0, y_0)$ is a coming out vertex of $F$.

**Proof**

$(x_0, y_0 - 1) \notin F$ by definition of $y_0$ and $(x_0, y_0 - 1) \in H_4(x_0, y_0)$.

$(x_0 - 1, y_0) \notin F$ by definition of $y_0$ and $(x_0 - 1, y_0) \in H_4(x_0, y_0)$. \qed

**Definition 28 (Encoding of a figure)**

Let $F$ be a 4-connected figure, without hole and which has $p$ vertices. We define the encoding of $F$, denoted by $C(F)$, as follows:

$$C(F) = (m_1, ..., m_p)$$

with:
CHAPTER 5. RECOGNITION OF PATTERNS WHICH ARE BUILT WITH RECTANGLES.

- \( m_1 = e(x_0, y_0) = 1 \),
- \( m_i \) (\( i \geq 2 \)) is the encoding of the \( i \)-th vertex of the figure \( F \) when we go round the border of the figure in the counterclockwise direction since the upper-left most cell.

See figure 5.15.

\[ \text{C(F)} = (1,1,1,-1,-1,1,1,1,1,-1,1,-1) \]

Figure 5.15: Encoding of a figure.

Now, we define an operation, denoted by \( OP_1 \), on the encoding of a figure.

**Definition 29 (Operation on the encoding of a figure)**

We suppose that \( F \) has \( p \) vertices. \( OP_1 \) is such that:

\[ OP_1 : \{0, 1\}^p \rightarrow \{0, 1\}^p \]

\[ C(F) = (m_1, ..., m_p) \rightarrow C'(F) = (m'_1, ..., m'_{p+2}) \] such that:

Let \( i \in \{1, ..., p\} \) such that \( m_i = 1 \). For all \( j \) in \( \{1, ..., i-1\} \), \( m'_j = m_j \), \( m'_i = -1 \), \( m'_{i+1} = 1 \), \( m'_{i+2} = -1 \) and for all \( j \) in \( \{i+3, ..., p+2\} \), \( m'_j = m_{j-2} \).

In fact, the operation \( OP_1 \) change a coming out vertex of the figure into three vertices: a retracting, a coming out and a retracting vertex.

5.6.2 A more general family of figure

Let \( \mathcal{F} \) be the family of figures which is defined as follows: Let \( R = \{(x, y) \in \mathbb{Z}^2; \exists x_0, y_0, x_f, y_f \in \mathbb{Z} \text{ such that } x_0 \leq x \leq x_f \text{ and } y_0 \leq y \leq y_f \} \). \( R \) is a rectangle and \( C(R) = (1,1,1,1) \).

- \( \mathcal{F}^{(0)} = \{ R \} \),
- \( \mathcal{F}^{(i+1)} = \mathcal{F}^{(i)} \cup \{ F \in \mathbb{Z}^2 \text{ such that } \exists F' \in \mathcal{F}^{(i)}, C(F) = OP_1(C(F')) \} \)

\[ \mathcal{F} = \bigcup_{i=0}^{p} \mathcal{F}^{(i)} \]

This family of figures is recognizable with 2-dimensional cellular automata.

The recognition algorithm has two steps:

- The first step consists in dividing the considered figure as it is shown in the figure 5.16.
Figure 5.16: Division of the figure.

- The second step must allow to verify if the different parts of the figure that are obtained are rectangles.

It would be necessary to develop it.

5.7 Conclusion

In this chapter, we have defined family of figures since the rectangles’ family. There are recognizable with 2-dimensional cellular automata and their recognition algorithm has to be studied more precisely.
Chapter 6

Recognition of ellipses.

6.1 Introduction.

In this part, we want to recognize ellipses. We use waves which spread into the figure.
Before giving an automaton which allows to do this work, we define the discrete ellipse.
An ellipse is the set of points, the sum of distance of which, to two particular points called (the focuses), is constant. The ellipse is called 4-ellipse if the considered distance is $d_4$ and 8-ellipse if the considered distance is $d_8$.
In the discrete plane ($\mathbb{Z}^2$), we consider two particular cells, which will to be the focuses of the ellipse. Since these focuses, we compute for each cell of the plane the sum of the distances between itself and the focuses. The figure 6.1 shows this computation since focuses aligned or not, with $d_4$.

Let $s$ be an integer. Therefore, an ellipse is formed with cells the sum of distances between itself and the focuses of which, is less or equal to $s$ (see figure 6.2).

Now, we are going to give a more formal definition of the discrete ellipse.
Focus

i: distance between the cell and the two focus.

Figure 6.1: Sum of distances (focus aligned or not).

Cell which belongs to the ellipse.

Figure 6.2: Example of ellipse (s=12, d = d4).
**Definition 30**

Let $f_1$ and $f_2$ be two given cells of the discrete plane. Let $s$ be an integer.
We say that $E$ is the ellipse the focuses of which are $f_1$ and $f_2$ and the distance of which is $s$ if and only if $E = E(f_1, f_2, s) = \{(x, y) \in \mathbb{Z}^2, d(c, f_1) + d(c, f_2) \leq s\}$ where $d = d_4$ or $d_8$.

To each cell $c$ of the discrete plane ($\mathbb{Z}^2$) is assigned a sum of distances $s(c)$ such that $s(c) = \sum_{i=1}^{2} d(c, f_i)$.
Let $\mathcal{E}$ be the family of ellipses and let $c \in \mathbb{Z}^2$.

$$P \in \mathcal{E} \iff \exists s \in \mathbb{N}^*, \forall c \in \mathbb{Z}^2, s(c) \leq s$$

### 6.2 An automaton which recognizes the 4-ellipses.

The automaton that we must built, must allow to verify: $\exists s \in \mathbb{N}^*, \forall c \in \mathbb{Z}^2, s(c) \leq s$. More exactly, it must allow to verify that all the cells which belong to the border of the ellipse have a sum of distances which is equal.

The idea is to make a wave coming from one of the focuses, in all the possible directions. This wave spreads as far as touching the border of the ellipse. Then, the cells which are on the border, send back a wave (different from the first one). This wave spreads to the second focus. Then, verify that the figure is an ellipse consists in verifying that the second wave "faints" at the second focus.

So, we verify that the sum of the distances of any cell of the border to the two focuses is constant.

The proposed automaton is $\mathcal{E} = (E = (2, S, H, \delta))$ where:

- $E$ has seven states: $S = \{0, 1, 2, 3, 4, 5, 6\}$,
- $H$ is the Von Neumann’s neighborhood,
- The transition function is given by the transition table given in appendix, chapter 5.

(See figure 8.7).

The space-time diagram which corresponds to this automaton is given in figure 8.8.

### 6.3 Demonstration.

Here, we intend to prove that the automaton which has been proposed recognizes the ellipses and only them.

**Definition 31 (Plane 4-wave centered on a cell)**

Let $c$ be a cell. A plane 4-wave centered on $c$, denoted by $W_c^{(4)}$, is defined as follows:
• If \( t = 0 \), \( W_c^{(4)}(t = 0) = c \)
• \( \forall t \geq 1, W_c^{(4)}(t) = W_c^{(4)}(t - 1) \cup E^{(4)}(t - 1) \) with \( E^{(4)}(t - 1) = \{(i, j) \in \mathbb{Z}^2, (i, j) \notin W_c^{(4)}(t - 1), \exists e \in W_c^{(4)}(t - 1) (i, j) \in H_4(e)\} \)

\( E^{(4)}(t - 1) \) is the set of the cells which are adjacent to \( W_c^{(4)}(t - 1) \).

See figure 6.3

Figure 6.3: Definition of the plane 4-wave.

Let \( R^{(4)}(t) = \{(i, j); (i, j) \in (W_c^{(4)} \cap L_{\text{ext}}(F))\} \) be the set of cells that belong both, at time \( t \), to the external layer of the figure and to the 4-wave which is emitted by \( f_1 \).

**Definition 32 (Plane 4-wave centered on a cell which propagates in a figure)**

Let \( c \) be a cell and \( F \) be a figure. A plane 4-wave centered on \( c \) propagates in the figure \( F \) (we denoted it by \( W_{c,F}^{(4)} \)) if and only if:

• \( W_{c,F}^{(4)}(t = 0) = c \)
• \( W_{c,F}^{(4)}(t) = W_{c,F}^{(4)}(t - 1) \cup E_{c,F}^{(4)}(t - 1) \) with \( E_{c,F}^{(4)}(t - 1) = \{(i, j) \in F, (i, j) \notin W_{c,F}^{(4)}(t - 1), \exists e \in W_{c,F}^{(4)}(t - 1) (i, j) \in H_4(e)\} \)

Let \( c \in L_{\text{ext}}(F) \). We denote by \( U^{(4)}(t) = \cup_{t \leq t}(W_{c,F}^{(4)}) \) the set of waves that are emitted by the cells that are on the border of the figure, at time \( t \).

We define the property \( P_3 \) of \( F \) as follows:
Property 4 \( P_3(F) \Leftrightarrow \exists t > 0, \exists (i,j) \in F, (i,j) \notin U^{(4)}(t) \) and \((i,j) = f_2\)

A figure \( F \) verify the \( P_3 \) property if and only if, at a given moment \( t \) there exists an unique cell which is not reached by the union of the waves the centers of which are the border of the figure.

The theorem that we want to prove is the following one:

**Theorem 3**

Any \( 4 \)-connected figure \( F \), without hole, which has two particular cells \( f_1 \) and \( f_2 \) and which verify the \( P_3 \) property is a \( 4 \)-ellips, that is to say:

\[
\exists s \in \mathbb{N}^+, \ F = \{ c \in \mathbb{Z}^2; d(c, f_1) + d(c, f_2) \leq s \}
\]

Before showing this theorem, we introduce a lemma which will be usefull.

**Lemma 10**

All the cells which are located to a distance \( d \) from the center \( c \) of a wave \( W'_{c,F} \), are reached at the same time \( t \) by this wave and \( t = d \).

**Proof.**

This is due both to the definition of the wave and to the definition of the Von Neumann’s neighborhood. \( \square \)

**Proof of the theorem.**

Let \( t_1 = \min_t (R(t) \neq \emptyset) \) be the necessary time for the wave to reach the nearest border.

With the lemma 9, the cells that are reached at the time \( t_1 \) are the cells located at a distance \( d_1 = t_1 \) from \( f_1 \).

Let \( t_2 > t_1 \) and \( t_2 = \max_t (R(t + 1) = \emptyset) \) be the necessary time for the wave to reach the cells that are on the farthest border from \( f_1 \). These cells are \( d_2 = t_2 \) away from \( f_1 \).

Each cell \( c \), that is on the border of the figure, which is reached between \( t_1 \) and \( t_2 \) is itself the center of a new wave (see figure 6.4). Therefore, we have:

- At \( t = t_1 + 1 \), each cell \( c \) of \( R(t_1) \) generate a wave \( W'_{c,F} \).
- At \( t = t_2 + 1 \), each cell \( c \) of \( R(t_2) \) generate too a wave \( W'_{c,F} \).

According to the \( P_3 \) property, these waves faint at the same time \( t = t' \).

Let \( t'_1 = t' - (t_1 + 1) \) be the necessary time for a wave which is generated at \( t_1 + 1 \) to faint. Likewise, let \( t'_2 = t' - (t_2 + 1) \) be the necessary time for a wave which is generated at \( t_2 + 1 \) to faint.

We call \( f_2 \) the cell on which the generated waves faint.

Then, we have \( t_1 + 1 + t'_1 = t_2 + 1 + t'_2 \) as the \( P_3 \) property is verified. Therefore, \( t_1 + t'_1 = t_2 + t'_2 \). With the lemma 9, we have \( d_1 + d'_1 = d_2 + d'_2 \) where \( d'_1 \) is the distance between the cells that are nearest from \( f_1 \) and \( f_2 \), and \( d'_2 \) is the distance between the cells that are farthest from \( f_1 \) and \( f_2 \).
CHAPTER 6. RECOGNITION OF ELLIPSES.

The cells that are on the nearest border are reached. The cells that are on the farthest border are reached.

Wave centered on $f_1$
Waves the centers of which are the cells of the border that are reached at last by the wave centered on $f_1$
Waves the centers of which are the cells of the border that are reached at first by the wave centered on $f_1$

$t=0$ $t_1$ $t_1+1$ $t_2$ $t_2+1$ $t'_1$ $t'_1+1$

Figure 6.4: Repartition of the different wavetime.

Lemma 11
\[ \forall c \in L_{ext}(F), \ d_c + d'_c = d_1 + d'_1 = d_2 + d'_2 \text{ with } d_c = d(c, f_1) \text{ and } d'_c = d(c, f_2) \]

Proof.
Let $c$ be any cell of the border of $F$. This cell is reached at $t_c$ by the wave centered on $f_1$. We have: $t_1 \leq t_c \leq t_2$, that is to say this cell is $d_c$ away from $f_1$, with $d_1 \leq d_c \leq d_2$.
As $F$ verify $P_3$, we have: $t_c + t'_c = t_1 + t'_1 = t_2 + t'_2$ where $t'_1$ is the necessary time for the wave centered on $c$ to faint in $f_1$. Therefore, $d_c + d'_c = d_1 + d'_1 = d_2 + d'_2$ where $d'_2$ is the distance between $c$ and $f_2$.
Therefore, we have a \( \| \cdot \|_1 \) connected figure, without hole, which has two particular cells $f_1$ and $f_2$ and such that any cell $c$ of the border of the figure verify $d_c + d'_c = s$ where $s$ is a constant. Therefore, this figure is an ellipse which has two focuses $f_1$ and $f_2$, and such that \( F = \{ c \in \mathbb{Z}^2, \ d(c, f_1) + d(c, f_2) \leq s \} \).

6.4 Particular cases.

6.4.1 Case where the focuses are merged.

Definition.
In this part, we deal with the particular case where the focuses are merged. So, we have figures which are similar to the one which is shown in the figure 6.5

Definition 33
A figure $F$ is an ellipse the focuses of which are merged if and only if $\forall c \in F, s(c) \leq$ constante with $s(c) = d(f, c)$.
Let $C$ be the corresponding family.
CHAPTER 6. RECOGNITION OF ELLIPSES.

An automaton which recognizes the 4-ellipses the focuses of which are merged.

The automaton must verify that all the cells of the border of the figure are at the same distance from the focuses. In fact, the question is to make an automaton which is similar to the one that has been proposed for the recognition of ellipses where the unique focus acts the part of the two previous ones. So, it initiates the first wave and must verify that the second one comes back from all quarters at the same moment.

The proposed automaton is $C = (2, S, H, \delta)$ where:

- $S = \{0, 1, 2, 3, 4\}$,
- $H$ is the Von Neumann’s neighborhood,
- The transition table is given in appendix, chapter 5.

See figure 8.9.

6.4.2 Case of the isosceles triangle.

Definition of the triangle.

The figure which is given in 6.6 is in $T_1$ the class of the isosceles triangles.

A automaton which recognizes the isosceles triangles.

In order to recognize such figures, it’s sufficient to initialize a wave (state 2) from the cells which are on the inclined borders of the figure (the cells the neighborhood of which has two cells in the state 1 and two cells in the state 0;
or, the cells which have an adjacent cell in the state 1 and the other ones in the state 0. This wave spreads to the interior of the figure. The figure belongs to $T_i$ if, at a given time, there exists a cell which is in state 1 and the neighborhood of which is compound of three cells in state 2 and one cell in state 0, and no cell in the rejection state.

The proposed automaton is $T_r = (2, S, H, \delta)$ such that:

- $S = \{0, 1, 2, 3, 4\}$,

- $H$ is the Von Neumann’s neighborhood,

- The transition table is given in appendix, chapter 5.

See figure 8.10.

6.5 8 – ellipses.

Up to now, we have only used the Von Neumann’s neighborhood. But, likewise we can recognize 8 – ellipses (see figure 6.7. The principle of the automaton is clearly the same as previously (see figure 8.11 and 8.12).

We can also be interested in the case where the focuses are merged.

6.6 conclusion

In this chapter, we defined the discrete ellipse and we gave a method which allows to recognize it.

With the previous notations, the global time that is necessary to recognize an ellipse is $rtime(\mathcal{E}, A) = s$. 
Figure 6.7: "Moore ellipse" (s=7).
Chapter 7

Conclusions.

We have seen some simple families of figures which are easy to be recognized with two-dimensional cellular automata (the transition tables of which are given in appendix). These families of figures are divided into two classes. Either they are locally defined as the family of rectangles, the family of squares or globally defined as the L’s family, the U’s family, the O’s family... but their recognition is local and an acceptance state or a rejection state spreads everywhere inside the figure. Or, they are defined with the help of waves, it’s the case of the ellipses. For each family, we gave a cellular automaton which allows to recognize it. The main difficulty of this work concerns the fact that the automata have a lot of state transitions and then, they are not easy to handle (even if we have a suited software).

7.1 Extensions.

7.1.1 Other figures.

We would have looked at others figures; in particular, we would have been interested in the recognition of parallelograms. A parallelogram would be a figure defined as follows:

**Definition 34**

\[ \text{a- Let } q \text{ be any cell of the plane.} \]
\[ \text{Let } (b_i)_{i=1,..,n} \text{ be the sequence of cells defined as follows:} \]
\[ - x_{b_1} = x_q \text{ and } y_{b_1} = y_q \]
\[ - \forall i \geq 1, b_{i+1} \in H(b_i), \text{ if } H(b_i) \text{ is the set of the adjacent cells of } b_i. \]
\[ - \forall i \geq 1, x_{b_{i+1}} > x_{b_i} \]

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Let \((c_j)_j=0,\ldots,m\) be the sequence of cells defined as follows:

- \(x_c = x_{b_1} - 1\) and \(y_c = y_{b_1}\).
- \(\forall j \geq 1, c_{j+1} \in H(c_j)\), if \(H(c_j)\) is the set of the adjacent cells of \(c_j\).
- \(\forall j \geq 1, c_{j+1} \neq c_j\).
- \(\forall j \geq 1, x_{c_{j+1}} \geq x_c\) or \(\forall j \geq 1, x_{c_{j+1}} \leq x_c\)

See figure 7.1.

**Figure 7.1:** Basic elements which are necessary to build a parallelogram.

b- Let \(f_1\) be the operation that consists in supplementing the sequence \((c_i)_i=1,\ldots,n\). More precisely, the operation \(f_1\) consists in adding the two following cells to the sequence \((c_i)_i\):

- \(c_0\) such that \(x_{c_0} = x_{c_1} - 1\) and \(y_{c_0} = y_{c_1}\).
- \(c_{n+1}\) such that \(x_{c_{n+1}} = x_{c_n} + 1\) and \(y_{c_{n+1}} = y_{c_n}\).

Let \(f_2\) be the operation that consists in supplementing the sequence \((b_i)_i=1,\ldots,m\). Likewise, \(f_2\) consists in adding the two following cells to the sequence \((b_j)_j\):

- \(b_0\) such that \(x_{b_0} = x_{b_1}\) and \(y_{b_0} = y_{b_1} + 1\).
- \(b_{m+1}\) such that \(x_{b_{m+1}} = x_{b_m}\) and \(y_{b_{m+1}} = y_{b_m} - 1\).

See figure 7.2.

c- Let \(F_1\) be the "\((c_i)_i\) translation" function such that: any cell \(c\) of the plane is in correspondence with the cell \(c'\) such that:

\[x_{c'} = x_c\quad y_{c'} = y_c\quad x_{c+1} = x_{c+1}\quad y_{c+1} = y_{c+1}\]

Let \(F_2\) be the "\((b_j)_j\) translation" function such that: any cell \(c\) of the plane
Figure 7.2: $f_1$ and $f_2$, operations that consist in supplementing sequences.

Figure 7.3: Translation of a sequence.
is in correspondence with the cell $c'$ such that:
$x_{b_0} = x_c$, $y_{b_0} = y_c$ and $x_{c'} = x_{b_{m+1}}$, $y_{c'} = y_{b_{m+1}}$. See figure 7.3

d- We call parallelogram, any 8-connected figure $F$, without hole and which is built from a given cell $q$ and two sequences $(c_i)_{i=1,...,n}$ and $(b_j)_{j=1,...,m}$ as follows:

- Any cell $c_i$ ($i=1,...,n$) is in correspondence with the cell $c'_i = F_1(c_i)$. We obtain the sequence $(c'_i)_{i=1,...,n}$.

- Any cell $b_j$ ($j=1,...,m$) is in correspondence with the cell $b'_j = F_2(b_j)$. We obtain the sequence $(b'_j)_{j=1,...,m}$.

- The sequences $(c_i)_i$, $(b_j)_j$, $(c'_i)_i$ and $(b'_j)_j$ are the four sides of the parallelogram.

See figure 7.4

As a parallelogram is a 8-connected figure, we have to consider Moore’s neighborhood in order to recognize it. Remember that all the cells that are in the figure are in state 1 and the others are in state 0.

The idea consists in comparing the sides two and two.

For this, a first step consists in ”making going down” the ”uppermost” border on the ”rock-bottom” border, the uppermost and most left cell (known) helps as a guide. So, all the cells that belong to the uppermost border make in turns the same shifting as the guide cell. Otherwise, the uppermost and most right cell verifies, when it goes down, if the right border is well shaped. At last, if at a given time, all the cells of the figure are either in the state 1 or in the acceptance state then the figure is a parallelogram.
We can notice that now, it’s a ”veil” which undulate rather than a wave which spreads (the buckle is formed by the cells that go down). This idea needs to be developed.

7.1.2 Properties.

We would also have looked for automata that indicate if a given figure has a given property. For example, it can be interesting looking for an automaton that allows to decide if a figure has a hole or not. In this case, the idea can be the following one (see figure 7.5):

![Figure 7.5: How to know if a figure has a hole?](image)

- We assume that a cell of the figure has been elected. The problem of the election is a problem that has already been studied. In any cases, we can’t elect on any graph. In the case where the cells of the plane know their orientation, this is possible.

- In the first step, this cell spreads to the right, for example, as far as touching a border (the border of the figure or the border of a hole).

- Afterwards, the cell of the border that corresponds, denoted by $c$, is going to emit a wave which spreads in all the directions in the figure.

- We look at the wave front. A part of this front marks the border in which $c$ is. If the front of the wave which propagates in the figure reach a border, it is not the border in which $c$ is. So, the figure has a hole.

This problem needs to be deepened.

7.1.3 Implementation.

We’d also be interested in implementing complex cellular plane automata on parallel machines. Then, the problem would be to know how to define the automaton. So, it would be necessary to know if it’s useful to give all the state
transitions. We can notice that any automaton corresponds to logic formulas. For example, to say that a wave spreads (case of ellipses) this is to say that one state is ”stronger” than any others but also, ”strongest” than others. And this doesn’t need a big table!

7.2 Link with the Firing Squad problem.

We could do the link between the recognition of patterns with plane cellular automata and the Firing Squad problem. Let us recall what is this problem.

7.2.1 The Firing Squad problem.

The One-dimensional case.

The Firing Squad problem, or fssp, given by Myhill in 1957 (Moore, 1964) can be described as follows. We consider a string of cells such that each cell contains a copy of the same finite automaton A (see figure 7.6). The string is finite but arbitrarily long. The inner state of a cell at $t + 1$ depends on its inner state at $t$ and on the inner states of its two adjacent cells (to the right and to the left) at $t$. At $t = 0$, one of the cells that is at the end of the string (called ”the general”) enters a state $s_r$ ("fire when ready"), whereas the others cells (called ”the soldiers”) enter a quiescent state $s_q$. Then, the string must evolve so that at any time $t = t_f$, all the cells ("the general" and ”the soldiers” ) must execute a state transition in order to enter in the state $s_f$ ("fire"), and no cell enters $s_f$ before $t_f$. The problem consists in defining some automaton $A$, taking into account that it mustn’t depends on the number of cells in the string.

The Two-dimensional case.

In the two-dimensional case, we speak about synchronization of patterns. Nguyen and Hamacher in [NH74] have defined such a problem. Then, a pattern $F$ is a set of points which belong to $\mathbb{Z}^2$. If $x$ and $y$ belong to $F$ then there exists a string of cells that are directly connected which allows to go from $x$ to $y$; the neighborhood determines the different possible paths (see figure 7.7). Let $J_i$ be the set of cells compound of the cell $x = (i, j)$ and its adjacent cells. Then,
the problem of the synchronization of patterns can be word as follows:
We consider any pattern $F$ and any cell $G$ of $F$. At the initial time $t_0$, we have:

- Exactly one cell of $F$ in the special state $s_r$,
- The other ones are in one of the following states: $s_{q_1}$ or $s_{q_2}$, $s_{q_0}$ is the quiescent state.

We consider the uniform local state update function defined by: if $x$ and $H(x)$ belong to $\{s_{q_0}, s_{q_1}\}$ at $t_i$ then $x$ doesn’t change its state at time $t_{i+1}$. $s_{q_0}$ and $s_{q_1}$ can be both considered as quiescent states because it is necessary to have an other state in the neighborhood in order to change them. At time $t_0 + T = t_f$ all the cells enter the state $s_f$, the ”fire” state, simultaneously and for the first time and all the others cells of the plane have come back to the state $s_{q_0}$. Nguyen and Hamacher describe a method of construction where $T = 8n + 10$ and $n$ is the distance between the cell the state of which is $s_r$ and the smaller square centered on $s_r$ which contains $F$.

We can notice that Nguyen and Hamacher authorize the use of cells that are external of the pattern in order to synchronize. The solution which is proposed by Szwerinski, developed in the next part, only uses cells that belong to the pattern.

### 7.2.2 Solutions that are proposed by Szwerinski.

H. Szwerinski in [Szw82] proposes an optimal solution to the fssp for rectangles the size of which is $n$ with the general in an arbitrary position.

**Dimension 1.**

First, H. Szwerinski recall an optimal solution to the fssp in dimension 1, which is explained by V.I. Varshavski, V.B. Marakhovsky and V.A. Peschansky in [VVP69]. The principle is the following one: the algorithm consists in breaking up into successive segment the string of automata (see figure 7.8).
Figure 7.8: Breaking up of the string.
The partition of the string is done like this: In the case where the general is at one end of the row, the signal of initialization put the automaton which is at the end in a preterminal state and two signals \( p_1 \) and \( p_3 \) start spreading on the string since this automaton. The first signal has a propagation speed which equals one unit and the second one has a propagation speed which equals \( \frac{1}{3} \) (a signal spreads with a speed \( \frac{1}{n} \) if it goes to the adjacent automaton after being stayed \( n \) time units in the previous one). When the signal \( p_1 \) reaches the end of the row, it makes the automaton which is at the end enters the preterminal state and comes back with the same speed. The meeting of the reflected signal with the signal \( p_3 \) takes place exactly in the middle of the row, and the corresponding automaton (or the two corresponding automata if the number of automata in the row is even) enters the preterminal state. If the reflected signal goes on spreading with the same speed, and if at the initial time, the first automaton emits a signal the speed of which is \( \frac{1}{3} \) (signal \( p_1 \)) then these signals will meet \( \frac{1}{3} \) away from the beginning of the row. So, if all the automata which are in the preterminal state emit a sequence of signals which spread with speeds \( \frac{1}{3m+1-1} \) and if the automata that are meeting points enters the preterminal state, then the process of recursive cutting off will take place as in the figure 7.8. The family of signals \( \frac{1}{3m+1-1} \) is built by recurrence. The signal \( \frac{1}{3m+1-1} \) uses the signal \( \frac{1}{3m+1-1} \).

In the case where the initialization signal is done by any automaton of the row, the general image of the propagation is shown in the figure 7.9. After that the initialization signal has been sent, two signals \( p_1 \) and \( p'_1 \) start spreading in the two directions, since the initial automaton. The two signals have a speed which equals 1. The initial automaton doesn’t enter the preterminal state if it is not at the end of the row. When the signals \( p_1 \) and \( p'_1 \) reach the ends of the row, they make the automaton which is at the end enter the preterminal state and they reflect; so there are signals which spread with the same speed. As in the previous case, an automaton which enters the preterminal state generates a sequence of signals the speed of which is \( \frac{1}{3m+1-1} \). If the initialization signal has been generated by the automaton \( O \) which is at the end of the row called initial automaton, the image of the propagation of the signals is the same as the one of the figure 7.8 with \( O' = O \). The signal \( p'_3 \) which starts from \( O' \) with a speed \( \frac{1}{3} \) meets the reflected signal \( p'''_3 \) at \( A_1 \) (the middle of the row). It is not difficult to see that the signal \( p'_3 \) will meet the signal \( p'''_3 \) at \( A \) which corresponds to the position of the initial automaton. Then, in order to do a initial cutting of the row, it is necessary to change the speed of the signal \( p'''_3 \); it updates from 1 to \( \frac{1}{3} \) at the point \( A \). In order to do correctly the rest of the cutting, it is necessary that the speed of any signal which start from \( O_1 \) with the speed \( \frac{1}{3m+1-1} \) becomes \( \frac{1}{3m+1-1} \).

Dimension 2.

The algorithm that is proposed by Szwerinski can be decomposed into two phases (which can overlap if necessary). The first one identifies the cells which
Figure 7.9: Morcellement de la chaîne (cas général).
are on the middle row(s), the middle column(s), the border cell, likewise the row(s) or the column(s) that are $\frac{1}{2}, \frac{3}{2}, \frac{5}{2}, \ldots$ away from the middle row(s) or the middle column(s). This detection is done using a one-dimensional algorithm of fssp in each row and in each column.

The second phase starts from the cells which are in the middle of rows and columns. It’s an algorithm of fssp which uses the information given by the first step. The necessary time to do this phase is the necessary time for a signal to go the border of the figure from the middle of it.

7.2.3 Questions.

We would be interested in the following questions:

- Does the H. Szwerinski’s solution only allow to synchronize rectangles? Or others patterns?
- Is any recognizable pattern with plane cellular automata synchronisable, and conversely?
- Comparison between the time of synchronization and the time of local or global recognition.
Chapter 8

Addendum

Figure 8.1: Recognition of rectangles.
Figure 8.2: Recognition of squares.

Figure 8.3: Recognition of L.
Figure 8.4: Recognition of L, using cells which don’t belong to the figure.

Figure 8.5: Recognition of T.

Figure 8.6: Recognition of O.
Figure 8.7: Recognition of 4-ellipses.
Figure 8.8: Space-time diagram of the automaton that recognizes 4-ellipses.
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