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Separability, Expressiveness, and Decidability in the Ambient Logic

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Abstract

The Ambient Logic (AL) has been proposed for expressing properties of process mobility in the calculus of Mobile Ambients (MA), and as a basis for query languages on semistructured data.

We study some basic questions concerning the descriptive and discriminating power of AL, focusing on the equivalence on processes induced by the logic $(=_L)$. We consider MA, and two Turing complete subsets of it, MA_{IF} and MA^{syn}_{IF}, respectively defined by imposing a semantic and a syntactic constraint on process prefixes.

The main contributions include: coinductive and inductive operational characterisations of $=_L$; an axiomatisation of $=_L$ on MA_{IF}^{syn} ; the construction of characteristic formulas for the processes in MA_{IF} with respect to $=_L$; the decidability of $=_L$ on MA_{IF} and on MA_{IF}^{syn} , and its undecidability on MA.

Keywords: Distributed and mobile systems, modal logics, Mobile Ambients, decidability, expressiveness, characteristic formula

Résumé

La Logique des Ambients (AL) a été introduite pour exprimer des propriétés ayant trait à la mobilité des processus dans le calcul des Ambients Mobiles (MA), ainsi qu'en tant que fondement pour des langages de requètes pour des données semi-structurées.

Nous étudions un certain nombre de questions fondamentales ayant trait au pouvoir expressif de cette logique, en nous intéressant à l'équivalence sur les processus induite par la logique $(=_L)$. Nous considérons AM, ainsi que deux sous-ensemble Turing complets de ce calcul, MA_{IF} et MA_{IF}^{syn} , définis par le biais de restrictions syntaxique et sémantique sur les termes préfixés.

Parmi nos principales contributions, nous pouvons mentionner: deux caractérisations, coinductive et inductive, de $=_L$; une axiomatisation de $=_L$ sur $\mathrm{MA_{IF}^{syn}}$; la construction de formules caractéristiques pour $=_L$ pour les processus de $\mathrm{MA_{IF}}$; la décidabilité de $=_L$ sur $\mathrm{MA_{IF}}$ et sur $\mathrm{MA_{IF}^{syn}}$, et la non-décidabilité de cette relation sur MA .

Mots-clés: Systèmes distribués et mobiles, logique modale, Ambients mobiles, décidabilité, expressivité, formule caractéristique

1 Introduction

The Ambient Logic, AL, [9] is a modal logic for expressing properties of processes in the calculus of Mobile Ambients, MA [7, 8]. In MA the unit of movement is an ambient, which, intuitively, is a named location. An ambient may contain other ambients, and capabilities, which determine the ambient movements. The primitives for movement allow: an ambient to enter a sibling ambient; an ambient to exit the parent ambient; a process to dissolve an ambient boundary. MA has a replication operator to make a process persistent, that is, to make infinite copies of the process available.

An ambient can be thought of as a labelled tree. The sibling relation on subtrees represents spatial contiguity; the subtree relation represents spatial nesting. A label may represent an ambient name or a capability; moreover, a replication tag on labels indicates the resources that are persistent. The trees are unordered: the order of the children of a node is not important. As an example, the process $P \stackrel{\text{def}}{=} !a[\text{in } c] | \text{open } a.b[0]$ is represented by the tree:

$$\begin{array}{ccc} !a & & \mathsf{open} \ a \\ \\ \mathsf{in} \ c \downarrow & b \downarrow \end{array}$$

The replication !a indicates that the resource $a[\operatorname{in} c]$ is persistent: unboundedly many such ambients can be spawned. By contrast, open a is ephemeral: it can open only one ambient.

Syntactically, each tree is finite. Semantically, however, due to replications, a tree is an infinite object. As a consequence, the temporal developments of a tree can be quite rich. The process P above (we freely switch between processes and their tree representation) has only one reduction, to in $c \mid !a[\text{in }c] \mid b[\mathbf{0}]$. However, the process $!a[\text{in }c] \mid !\text{open }a.b[\mathbf{0}]$ can evolve into any process of the form

in
$$c \mid \ldots \mid$$
 in $c \mid b[\mathbf{0}] \mid \ldots \mid b[\mathbf{0}] \mid !a[\mathsf{in} \ c] \mid !\mathsf{open} \ a.\ b[\mathbf{0}]$.

In general, a tree may have an infinite temporal branching, that is, it can evolve into an infinite number of trees, possibly quite different from each other (for instance, pairwise behaviourally unrelated). Technically, this means that the trees are not *image-finite*.

In summary, MA is a calculus of dynamically-evolving unordered edgelabelled trees. AL is a logic for reasoning on such trees. Indeed, the actual definition of satisfaction of the formulas is given on MA processes quotiented by a relation of *structural congruence*, which equates processes with the same tree representation. (This relation is similar to Milner's structural congruence for the π -calculus [18].)

AL has also been advocated as a foundation of query languages for semistructured data [5]. Here, the laws of the logic are used to describe query rewriting rules and query optimisations. This line of work exploits the similarities between dynamically-evolving edge-labelled trees and standard models of semistructured data.

AL has a connective that talks about *time*, that is, how processes can evolve. The logic has also connectives that talk about *space*, that is, the shape of the

¹We are using a tree representation different from that of Cardelli and Gordon, but more convenient to our purposes.

edge-labelled trees that describe process distributions. AL is quite different from standard modal logics. First, such logics do not talk about space. Secondly, they have more precise temporal connectives. The only temporal connective of AL talks about the many-step evolution of a system on its own. In standard modal logics, by contrast, the temporal connectives also talk about the potential interactions between a process and its environment. For instance, in the Hennessy-Milner logic [15], the temporal modality $\langle \mu \rangle$. $\mathcal A$ is satisfied by the processes that can perform the action μ and become a process that satisfies $\mathcal A$. The action μ can be a reduction, but also an input or an output.

In this paper we study some basic questions concerning the descriptive and discriminating power of AL. We consider, besides the calculus MA, two subsets of it, obtained by imposing constraints on the processes underneath capabilities. In MA_{IF}, these processes must be image-finite; in MA_{IF}, they must be finite. These definitions might appear ad hoc, but they express precisely the constraints needed in some of our results. A further interest of MA_{IF} is that its definition is purely syntactic. Both MA_{IF} and MA_{IF} are Turing complete, and contain processes that are not image-finite.

We describe the main contributions of the paper. We write $=_L$ to indicate the process equivalence induced by the logic, whereby two terms are equated if they satisfy the same sets of formulas. First, we exhibit two operational characterisations of $=_L$ on MA, which do not mention the logic. Characterisations of the equivalence of a logic allow us to understand the notion of equality on processes – a fundamental notion in process calculi – induced by the logic. One characterisation is coinductive, as a form of labelled bisimilarity. The other is inductive, and uses a well-founded measure on the structure of processes.

Second, we prove that $=_L$ coincides with structural congruence on $\mathrm{MA_{IF}^{syn}}$. This gives us an axiomatisation of $=_L$ on $\mathrm{MA_{IF}^{syn}}$. This axiomatic characterisation is false on the larger class $\mathrm{MA_{IF}}$.

Our third contribution is the construction of characteristic formulas for equivalence classes for $=_L$ in $\mathrm{MA_{IF}}$. We define, for any process $P \in \mathrm{MA_{IF}}$, a formula \mathcal{F}_P such that $Q \models \mathcal{F}_P$ holds iff $Q =_L P$, for all $Q \in \mathrm{MA}$. The result shows that we can talk about the discriminating power of the logic from within the logic itself, at least under some image-finiteness conditions. A corollary is the undecidability of the model-checking problem on $\mathrm{MA_{IF}^{syn}}$ and richer calculi.

Our fourth contribution is on (un)decidability. As a consequence of the inductive characterisation of $=_L$, we can prove that $=_L$ is decidable on MA_{IF} and MA_{IF}^{syn} . However, if we drop the image-finiteness conditions of MA_{IF} , then $=_L$ becomes undecidable. We show this via an encoding of the halting problem of Turing Machines. The encoding of Turing Machines is actually in MA_{IF}^{syn} , which is thus proved to be Turing Complete. This result is not in contradiction with the decidability of $=_L$ in MA_{IF} and MA_{IF}^{syn} , because the encoding is correct for reductions but not behaviourally (the process encoding a machine and its derivatives do not need to be in the relation $=_L$).

Most of the results mentioned above are rather different from the usual results of modal logics. Typically, the definition of characteristic formulas exploits fixed-point operators, and the characterised processes are finite-state [14, 21]. AL, by contrast, has no fixed point operator; moreover the image-finiteness condition on processes is weaker than finite-state. ('Image-finite' expresses finiteness on internal reductions, whereas 'finite-state' also takes into account computations containing visible actions such as input and output actions.)

Also, coinductive characterisations of an inductive relation or of the equivalence of a logic usually rely on either image-finiteness of the processes, or on some infinitary operator of the logics, such as infinite conjunctions. In our case we need none of these hypotheses. Further, the inductive relation is not the stratification of the coinductive relation [17], but uses a structural measure on processes. Finally, in process calculi decidability is usually unrelated to image-finiteness: for instance, the transition relation of the π -calculus is image-finite, yet strong bisimilarity is undecidable [20].

Also the actual form of image-finiteness that we use is non-standard. Behavioural equivalence in MA is insensitive to *stuttering* phenomena, originated by processes that may repeatedly enter and exit an ambient. As a consequence, a computation in which all visible actions are stuttering is semantically equivalent to an internal reduction.

In the proofs of the results two groups of technical lemmas are important. The first group is about the construction of formulas for describing all forms of labels of the trees of MA. The formulas for the replicated labels give us (some of) the power of the ! operator ('of course') of linear logic; this was somehow unexpected, because AL has no infinitary operators, or operators that talk about resources with infinite multiplicity. (We obtain only *some* of this power, because we have to impose constraints on the replicated formulas.)

Other useful formulas that we have derived are the following: a formula ϕ_{fin} that characterises the ephemeral processes (that is, $P \models \phi_{\text{fin}}$ iff the tree of P has no replicated labels); for any set S of names, a formula refers S that characterises the processes whose set of free names is precisely S.

The second group of technical lemmas captures decomposition properties of processes. For instance, Lemma 3.6 shows that any two processes P and Q in the relation $=_L$ admit decompositions $P = \mathcal{C}[\widetilde{P}]$ and $Q = \mathcal{D}[\widetilde{Q}]$ where the contexts \mathcal{C} and \mathcal{D} are of a certain syntactic form, and, moreover, both the contexts \mathcal{C} and \mathcal{D} , and the continuations \widetilde{P} and \widetilde{Q} (more precisely, processes obtained from appropriate transformation of these) are equivalent.

There are strong connections among all the results discussed above. For instance, both the characterisations of $=_L$ and the characteristic formulas talk about the separability power of AL. The connections are explicit in the proofs: for instance, the proof of undecidability relies on most of the other results.

Related work. Characterisations and axiomatisations of $=_L$ have already been presented in [19], on finite MA (without replications). The proofs rely on the ephemeral nature of the processes, precisely on the property that all (complete) computations of a process, comprising its interactions with the environment, are finite and terminate with the $\bf 0$ process. Therefore we could not adapt these proofs to processes with replications. The need for stuttering in MA is already pointed out in [19], but all examples use trees with unbounded depth.

We are not aware of other axiomatisations of semantic equivalences in non-finite higher-order process calculi, and of characteristic formulas for logics for mobile processes. Formulas in AL, or similar logics, that characterise the free names of processes were known [10, 2], but use additional operators (notably the revelation operator). The undecidability of the model-checking problem of AL – in fact of an even smaller logic – had already been established, using different techniques [11].

2 Background

Table 1: The syntax of MA

We recall here the syntax of 'pure' MA, from [7]. ('Pure' means that computation is only movement; there are no communications.) Also, as in [9, 5, 6], the calculus has no restriction operator for creating new names. The restriction-free calculus is simpler, and has a more direct correspondence with edge-labelled trees and semistructured data. Table 1 presents the syntax. The set of names is infinite. Capabilities are ranged over by cap, processes by P, Q, R, S. Processes

$$\begin{array}{c} \overline{n[\text{in }m.\,P_1\mid P_2]\mid m[Q]\longrightarrow m[n[P_1\mid P_2]\mid Q]} \text{ Red-In} \\ \\ \overline{m[n[\text{out }m.\,P_1\mid P_2]\mid Q]\longrightarrow n[P_1\mid P_2]\mid m[Q]} \text{ Red-Out} \\ \\ \overline{open }n.\,P\mid n[Q]\longrightarrow P\mid Q \text{ Red-Open} \\ \\ \overline{P\longrightarrow P'} \\ \overline{P\mid Q\longrightarrow P'\mid Q} \text{ Red-Par} \qquad \frac{P\longrightarrow P'}{n[P]\longrightarrow n[P']} \text{ Red-Amb} \\ \\ \underline{P\equiv P' \qquad P'\longrightarrow P''} \\ \overline{P\longrightarrow P'''} \end{array} \text{ Red-Str} \\ \end{array}$$

Table 2: The rules for reduction

with the same internal structure are identified. This is expressed by means of the *structural congruence relation*, \equiv , the smallest congruence such that (0, |, !) is a multiset algebra, that is, satisfies the following rules:

$$P \mid \mathbf{0} \equiv P \qquad P \mid Q \equiv Q \mid P$$

$$P \mid (Q \mid R) \equiv (P \mid Q) \mid R$$

$$!P \equiv !P \mid P \quad !(P \mid Q) \equiv !P \mid !Q$$

$$!!P \equiv !P \qquad !\mathbf{0} \equiv \mathbf{0}.$$

Relation \equiv is decidable on MA, as well as in other calculi such as the π -calculus [12, 13]. The rules for the reduction relation, \longrightarrow , are given in Table 2. The reflexive and transitive closure of \longrightarrow is written \Longrightarrow .

Definition 2.1 (Labelled transitions and stuttering) We write:

- $P \stackrel{\mathsf{cap}}{\to} P'$, if $P \equiv \mathsf{cap}. P_1 \mid P_2 \ and \ P' = P_1 \mid P_2$.
- (stuttering) $P \xrightarrow{(M_1,M_2)^*} P'$ if there are $r \ge 1$ and processes P_1, \ldots, P_r with $P = P_1$ such that $P_i \Longrightarrow \stackrel{M_1}{\Longrightarrow} \Longrightarrow \stackrel{M_2}{\Longrightarrow} P_{i+1}$ for all $1 \le i < r$, and $P_r \Longrightarrow P'$.
- Finally, $\stackrel{\langle \mathsf{cap} \rangle}{\Longrightarrow}$ is a convenient notation for compacting statements involving capability transitions. $\stackrel{\langle \mathsf{in} \ \mathsf{n} \rangle}{\Longrightarrow}$ is $\stackrel{(\mathsf{out} \ n, \mathsf{in} \ n)^*}{\Longrightarrow}$; similarly $\stackrel{\langle \mathsf{out} \ \mathsf{n} \rangle}{\Longrightarrow}$ is $\stackrel{(\mathsf{in} \ n, \mathsf{out} \ n)^*}{\Longrightarrow}$; and $\stackrel{\langle \mathsf{open} \ \mathsf{n} \rangle}{\Longrightarrow}$ is \Longrightarrow .

Some of our results are proved by induction on the *sequentiality degree* of a process, which is the maximal depth of nesting of capabilities in the process.

Definition 2.2 (Sequentiality degree, ds) The sequentiality degree of a term is defined as follows:

- $ds(\mathbf{0}) = 0$, $ds(P \mid Q) = \max(ds(P), ds(Q))$;
- ds(n[P]) = ds(!P) = ds(P);
- ds(cap. P) = 1 + ds(P).

Note that this definition relies on the presence of the ! operator (instead of a recursion operator) in the calculus. An important property of ds(P) is the following:

Lemma 2.3 If $P \longrightarrow Q$ or $P \stackrel{\mathsf{cap}}{\to} Q$ then $\mathsf{ds}(P) > \mathsf{ds}(Q)$.

Table 3: The syntax of logical formulas

The logic. To define the set of formulas of the Ambient Logic (AL-Table 3) we introduce an infinite set of *variables*, ranged over with x, y, z; η ranges over names and variables. The logic has the propositional connectives, \top , $\neg A$, $A \lor B$, and universal quantification on names, $\forall x$. A, with the standard logical interpretation. The temporal connective, $\Diamond A$ has been briefly discussed in the

Introduction. The spatial connectives, 0, $\mathcal{A} \mid \mathcal{B}$, and $\eta[\mathcal{A}]$, are the logical counterpart of the corresponding constructions on processes. $\mathcal{A} \triangleright \mathcal{B}$ and $\mathcal{A}@\eta$ are the logical adjuncts of $\mathcal{A} \mid \mathcal{B}$ and $\eta[\mathcal{A}]$, in the sense of being, roughly, their inverse (see below). A formula without free variables is *closed*.

Definition 2.4 The satisfaction relation is defined on closed formulas as follows:

$$\begin{array}{lll} P \models \top & \stackrel{\mathrm{def}}{=} & always \; true \\ P \models \forall \, x \, . \, \mathcal{A} & \stackrel{\mathrm{def}}{=} & for \; any \; n, \; P \models \mathcal{A}\{n/x\} \\ P \models \neg \, \mathcal{A} & \stackrel{\mathrm{def}}{=} & not \; P \models \mathcal{A} \\ P \models \mathcal{A}_1 \mid \mathcal{A}_2 & \stackrel{\mathrm{def}}{=} & \exists P_1, P_2 \; s.t. \; P \equiv P_1 \mid P_2 \\ & & and \; P_i \models \mathcal{A}_i, \; i = 1, 2 \\ \end{array}$$

$$\begin{array}{lll} P \models \mathcal{A} \lor \mathcal{B} & \stackrel{\mathrm{def}}{=} & P \models \mathcal{A} \; or \; P \models \mathcal{B} \\ P \models n[\mathcal{A}] & \stackrel{\mathrm{def}}{=} & \exists P' \; s.t. \; P \equiv n[P'] \; and \; P' \models \mathcal{A} \\ P \models 0 & \stackrel{\mathrm{def}}{=} & \exists P' \; s.t. \; P \Longrightarrow P' \; and \; P' \models \mathcal{A} \\ P \models \mathcal{A}@n & \stackrel{\mathrm{def}}{=} & n[P] \models \mathcal{A} \\ P \models \mathcal{A} \rhd \mathcal{B} & \stackrel{\mathrm{def}}{=} & \forall R, \; R \models \mathcal{A} \; implies \; P \mid R \models \mathcal{B} \end{array}$$

The logic in [9] has also a *somewhere* connective that holds of a process containing, at some arbitrary level of nesting of ambients, an ambient whose content satisfies \mathcal{A} . The addition of this connective would not change the results in the paper.

We give \vee and \wedge the least syntactic precedence, thus $\mathcal{A}_1 \triangleright \mathcal{A}_2 \wedge \mathcal{A}_3$ reads $(\mathcal{A}_1 \triangleright \mathcal{A}_2) \wedge \mathcal{A}_3$, and $\mathcal{A}_1 \triangleright (\Diamond \mathcal{A}_2 \wedge \Diamond \mathcal{A}_3)$ reads $\mathcal{A}_1 \triangleright ((\Diamond \mathcal{A}_2) \wedge (\Diamond \mathcal{A}_3))$. We shall use the dual of some connectives, namely the duals of linear implication $(\mathcal{A} \triangleright \mathcal{B})$, of the sometime modality $(\square \mathcal{A})$, of the parallel operator (\parallel) , and the standard duals of universal quantification $(\exists \ x \cdot \mathcal{A})$ and disjunction $(\mathcal{A} \wedge \mathcal{B})$. We also define (classical) implication $(\mathcal{A} \rightarrow \mathcal{B})$.

Thus $P \models \mathcal{A} \blacktriangleright \mathcal{B}$ iff there exists Q with $Q \models \mathcal{A}$ and $P \mid Q \models \mathcal{B}$, and $P \models \Box \mathcal{A}$ iff $P' \models \mathcal{A}$ for all P' such that $P \Longrightarrow P'$. The formula $\mathcal{A}^{\forall} \stackrel{\text{def}}{=} \mathcal{A} \parallel \neg \top$, from [9], is satisfied by P iff for any Q, R such that $P \equiv Q \mid R$, it holds that $Q \models \mathcal{A}$.

Definition 2.5 (Process logical equivalence) For processes P and Q, we write $P = {}_{L}Q$ if for all closed formulas A it holds that $P \models A$ iff $Q \models A$.

3 Coinductive and inductive operational relations

The coinductive relation below follows the definition of intensional bisimilarity in finite MA [19].

Definition 3.1 (Intensional bisimilarity) Intensional bisimilarity is the largest symmetric relation \simeq_{bis} on processes such that $P \simeq_{\text{bis}} Q$ implies:

- 1. If $P \equiv P_1 \mid P_2$ then there are Q_1, Q_2 such that $Q \equiv Q_1 \mid Q_2$ and $P_i \simeq_{\rm bis}$ Q_i , for i = 1, 2.
- 2. If $P \equiv \mathbf{0}$ then $Q \equiv \mathbf{0}$.
- 3. If $P \longrightarrow P'$ then there is Q' such that $Q \Longrightarrow Q'$ and $P' \simeq_{\text{bis}} Q'$.
- 4. For any cap, if $P \stackrel{\mathsf{cap}}{\to} P'$ then there is Q' such that $Q \stackrel{\mathsf{cap}}{\to} \stackrel{\mathsf{cap}}{\Longrightarrow} Q'$ and $P' \simeq_{\text{bis}} Q'$.
- 5. If $P \equiv n[P']$ then there is Q' such that $Q \equiv n[Q']$ and $P' \simeq_{\text{bis}} Q'$.

With respect to standard bisimilarities for process calculi, $\simeq_{\rm bis}$ has intensional clauses, namely (1), (2) and (5), which allow us to observe parallel compositions, terminated process, and ambients. These clauses correspond to the intensional connectives '|', '0', and n[A] of the logic. The other main peculiarity of $\simeq_{\rm bis}$ are the stuttering relations. The need for stuttering on infinite trees (i.e., MA with a recursion operator) has been pointed out in [19]. We show that stuttering is also needed on finite trees with replication.

Example 1 Consider the processes $P_0 \stackrel{\text{def}}{=} !$ open n. in n. out n. in n. out n. n[0] $n[\mathbf{0}]$, and $P_1 \stackrel{\mathrm{def}}{=} ! \mathrm{open} \ n.$ in n. out n. in n. out n. $n[\mathbf{0}] \mid \mathrm{in} \ n.$ out n. $n[\mathbf{0}]$. It holdsthat $P_0 \not\simeq_{\operatorname{bis}} P_1$; however, since $P_0 \xrightarrow{(\operatorname{in} \ n, \operatorname{out} \ n)^*} P_1 \xrightarrow{(\operatorname{in} \ n, \operatorname{out} \ n)^*} P_0$

$$P_0 \xrightarrow{(\text{in } n, \text{out } n)^*} P_1 \xrightarrow{(\text{in } n, \text{out } n)^*} P_0$$

we have out $n.P_0 \simeq_{bis}$ out $n.P_1$. Without stuttering this equivalence would not hold.

The proof of congruence of \simeq_{bis} follows the proof of the analogous result in [19], using a technique similar to Howe's for proving congruence of bisimilarity in higher-order languages [16].

Theorem 3.2 (Soundness of \simeq_{bis}) In MA, $\simeq_{\text{bis}} \subseteq =_L$.

Completeness – the hard implication – is proved in Section 4. Below we show an inductive characterisation of \simeq_{bis} . The crux of this result is Lemma 3.4, which gives us a decomposition property for bisimilar processes in terms of special forms of contexts.

Definition 3.3 (Contexts) A context (ranged over with C, D) is a process term with some holes []_i in it, each hole occurring once. A context is active if each hole appears underneath exactly one capability. A coloured context $\mathcal{C}(\sigma)$ is given by an active context C and a colouring function σ , assigning a colour to each hole (we assume there are infinitely many colours available).

Structural congruence is defined on coloured contexts as on processes, but with the additional rule for holes saying that $[]_i \equiv []_i$ if the two holes have the same colour.

Lemma 3.4 (Decomposition lemma) Suppose $P \simeq_{\text{bis}} Q$. Then there are two active contexts C and D, and two vectors of processes P,Q such that $P \equiv$ $\mathcal{C}[P], Q \equiv \mathcal{D}[Q]$ and there is a colouring function σ such that

- 1. $C(\sigma) \equiv D(\sigma)$,
- 2. for any i, j, if $[]_i$ and $[]_j$ are some holes of $\mathcal C$ or $\mathcal D$ having the same colour, then they are underneath the same capability $\operatorname{\mathsf{cap}}_i$. Moreover, there are P_i', Q_j' such that $P_i \stackrel{\operatorname{\mathsf{cap}}_i}{\Longrightarrow} P_i' \simeq_{\operatorname{bis}} Q_j$, and $Q_j \stackrel{\operatorname{\mathsf{cap}}_i}{\Longrightarrow} Q_j' \simeq_{\operatorname{bis}} P_i$.

In the lemma, subcomponents \widetilde{P} and \widetilde{Q} , and their derivatives P_i', Q_j' , have a sequentiality degree (that is, the depth of nesting of capabilities) strictly smaller than that of the original processes P and Q (for the derivatives, this is given by Lemma 2.3). We can therefore exploit this result to obtain an inductive characterisation of \simeq_{bis} . Let \sim_{ind} be this inductive relation, i.e. \sim_{ind} is the least relation such that whenever two active contexts \mathcal{C}, \mathcal{D} and two vectors of processes \widetilde{P} and \widetilde{Q} satisfy the clause of the lemma, where \simeq_{bis} is replaced by \sim_{ind} , then also $P \sim_{\text{ind}} Q$, for all processes P, Q with $P \equiv \mathcal{C}[\widetilde{P}], Q \equiv \mathcal{D}[\widetilde{Q}]$.

Theorem 3.5 Relations \simeq_{bis} and \sim_{ind} coincide on MA.

Lemma 3.4, and therefore also the definition of \sim_{ind} , contain a heavy hidden universal quantification, due to the decompositions of P and Q up to \equiv , for an equivalence class of \equiv contains an infinite number of processes. The following lemma shows however that the active context decomposition of a process is essentially unique.

Lemma 3.6 (Active context decomposition) Suppose there are processes P, \tilde{P}_i , and active contexts C_i (i = 1, 2), such that $P \equiv C_i[\tilde{P}_i]$. Then there is a colouring function σ such that

- 1. $C_1(\sigma) \equiv C_2(\sigma)$;
- 2. for any i, j, if $[]_i$ and $[]_j$ have the same colour, then $P_i \equiv Q_j$, and the two holes are underneath the same capability.

We define some subclass of processes, used in the statements of some of our results.

Definition 3.7 (Image-finite processes, finite processes, MA_{IF}, MA_{IF})

A process P is image finite if the set $\{P' \mid P \stackrel{\langle \mathsf{cap} \rangle}{\Longrightarrow} P'\}$, quotiented by \simeq_{bis} , is finite for all cap . P is finite if there is Q such that $P \equiv Q$ and Q has no replication operator. The classes MA_{IF} and $MA_{\mathsf{IF}}^{\mathsf{syn}}$ are obtained by adding to the grammar of Table 1 the following constraints on the production cap . P: in MA_{IF} , the continuation P must be an image-finite process of MA_{IF} ; in $MA_{\mathsf{IF}}^{\mathsf{syn}}$, the continuation P must be finite.

All the above classes of processes are closed under transition. The inclusions $\mathrm{MA_{IF}^{syn}}\subseteq\mathrm{MA_{IF}}\subseteq\mathrm{MA}$ are strict. The processes P_0 and P_1 in Example 1 are in $\mathrm{MA_{IF}^{syn}}$ and image-finite; however out $n.\,P_0$ and out $n.\,P_1$ are in $\mathrm{MA_{IF}}$ but not in $\mathrm{MA_{IF}^{syn}}$. The process P= open $n.\,($!open $a\mid !a[b[\mathbf{0}]])$ is in MA , but not in $\mathrm{MA_{IF}}$, because !open $a\mid !a[b[\mathbf{0}]]$ is not image finite.

 $MA_{\rm IF}^{\rm syn}$, and hence also $MA_{\rm IF}$, contains processes that are not image-finite: for instance, the processes used to encode Turing Machines in Section 5.

Another consequence of Theorem 3.5 is:

Theorem 3.8 (Characterisation of \simeq_{bis} **on** $\mathbf{MA}_{\text{IF}}^{\text{syn}}$) Let $P \in MA_{\text{IF}}^{\text{syn}}$. Then for all $Q \in MA$, $P \simeq_{\text{bis}} Q$ iff $P \equiv Q$.

The equality out $n. P_0 \simeq_{\text{bis}}$ out $n. P_1$ of Example 1 shows that Theorem 3.8 cannot be extended to MA_{IF}: the MA^{syn}_{IF} requirement of finiteness for the processes underneath capabilities seems essential for the theorem.

4 Characteristic formulas and completeness

A characteristic formula of a process P is a formula that is satisfied by all and only the processes Q in the relation $\simeq_{\rm bis}$ with P. In this section we derive characteristic formulas for the processes in MA_{IF}.

An MA process can be viewed as a finite labelled tree in which labels can be ambient names, capabilities, replicated ambients, and replicated capabilities. If we can define formulas that describe all these labels, then we will be able to derive the characteristic formulas using standard techniques for image-finite processes with finite tree representation [14, 21]. AL has formulas n[A] that talk about ambient labels. We have to construct the formulas for the other labels. Formulas for capabilities are presented in [19], for finite MA; they are also correct on MA:

Lemma 4.1 (Formulas for capabilities) There is a computable function that associates to each capability cap and formula \mathcal{A} a formula $\langle \mathsf{cap} \rangle$. \mathcal{A} such that $P \models \langle \mathsf{cap} \rangle$. \mathcal{A} iff there are P' and P'' such that $P \equiv \mathsf{cap}$. P' and $P' \stackrel{\langle \mathsf{cap} \rangle}{\Longrightarrow} P''$ with $P'' \models \mathcal{A}$.

A formula $\langle \mathsf{cap} \rangle$. \mathcal{A} expresses possibility (in Lemma 4.1, at least one derivative of P' satisfies \mathcal{A}). We also need formulas $[\![\mathsf{cap}]\!]$. \mathcal{A} for necessity (all derivatives of P' satisfy \mathcal{A}). Such formulas are not the dual of the possibility formulas, as in standard modal logics, because of the spatial aspects of AL. For instance, $[\![\mathsf{in}\ n]\!]$. \top is different from $\neg \langle \mathsf{in}\ n \rangle$. $\neg \top$: the latter is actually equivalent to \top . We set:

$$[\![\mathsf{cap}]\!].\,\mathcal{A} \stackrel{\mathrm{def}}{=} \langle \mathsf{cap} \rangle.\,\mathcal{A} \wedge \neg \langle \mathsf{cap} \rangle.\,\neg \mathcal{A}\,.$$

The challenging part, however, is the definition of replicated formulas $!\mathcal{A}$ with the property

$$P\models !\mathcal{A} \text{ iff there are } r>1, s\geq r, P_i \ (1\leq i\leq s)$$
 such that $P\equiv \Pi_{1\leq i\leq r} !P_i \mid \Pi_{r+1\leq i\leq s} P_i$, and $P_i\models \mathcal{A} \text{ for all } 1\leq i\leq s$,
$$(1)$$

where $\Pi_{1 \leq i \leq t} Q_i$ abbreviates $Q_1 \mid \ldots \mid Q_t$.

We say that a component of a process is at top level if the component is not underneath a capability or inside an ambient. A process is *single* if it is structurally congruent to a process of the form n[P] or cap. P. The formula $1\mathsf{Comp} \stackrel{\mathrm{def}}{=} 0 || 0 \wedge \neg 0$ (from [19]) characterises the single processes.

The definition of $!\mathcal{A}$ has two parts. The first part says that if $P \models \mathcal{A}$ then all parallel components in P that are single and at top level satisfy \mathcal{A} . This is expressed by the formula

$$\mathcal{A}^\omega \stackrel{\mathrm{def}}{=} (\mathsf{1Comp} o \mathcal{A})^orall$$
 .

The second part of the definition of ! \mathcal{A} addresses persistence, by saying that there are infinitely many processes at top level that satisfy \mathcal{A} . We have to say that these infinite copies are at top level: for instance, ! $\langle \mathsf{cap} \rangle$.0 (or, in fact, any other replicated formula) should not be satisfied by cap .! cap .0. We can talk about the top level because we can express in the logic the maximal depth of nesting of capabilities (the sequentiality depth, Section 2) and the maximal depth of nesting of ambients in a process. Indeed, a component of P is at top level iff it has the same depths of nesting as P. As a consequence, however, we have to impose a constraint on the definition of ! \mathcal{A} : all processes that satisfy \mathcal{A} should have the same depths of nesting. We say that these formulas have fixed model depth.

The other constraint we need on \mathcal{A} roughly requires that all the processes that satisfy \mathcal{A} should be single and have the same outermost operator. Precisely, either $P \models \mathcal{A}$ should imply $P \equiv \mathsf{cap}.P'$, for some cap,P' (in this case, \mathcal{A} is single for cap); or $P \models \mathcal{A}$ should imply $P \equiv n[P']$, for some n,P' (then \mathcal{A} is single for n). Moreover, the construction of $!\mathcal{A}$ depends on such outermost operator.

The definition of ! \mathcal{A} is given in Table 6, at the end of the paper (the formulas for the Rep construction). Here we only show an example:

$$\label{eq:continuous_section} \begin{split} ! \llbracket \mathsf{open} \ n \rrbracket . \, \mathcal{A} \ \stackrel{\mathrm{def}}{=} \\ & (\llbracket \mathsf{open} \ n \rrbracket . \, \mathcal{A})^\omega \ \land \ (n[0])^\omega \, \rhd \, \Box \ (\llbracket \mathsf{open} \ n \rrbracket . \, \mathcal{A} \ | \ \top \) \, . \end{split}$$

Lemma 4.2 For each capability cap there is a computable function that associates to each formula A that is single for cap and has fixed model depth, a formula A with the property A.

The assertion for the formulas single for names is similar.

We show some concrete examples of characteristic formulas. The general definitions are given in Table 4 at the end of the paper. A characteristic formula for !open n. n[0] is ! [open n]. n[0]. A characteristic formula for !open n. (open n | n[0]) is

$$\mathcal{F}_1^\omega \wedge (n[0])^\omega \rhd \Box (\mathcal{F}_1 \mid \top) \quad \text{where} \ \left\{ egin{array}{ll} \mathcal{F}_1 & \stackrel{ ext{def}}{=} & \langle ext{open } n
angle . \mathcal{F}_2 \wedge \llbracket ext{open } n
rbracket . \end{pmatrix} . (\mathcal{F}_2 ee 0) \ \mathcal{F}_2 & \stackrel{ ext{def}}{=} & \llbracket ext{open } n
rbracket . \end{pmatrix} . 0 \mid n[0] \end{array}
ight.$$

and \mathcal{F}_2 is a characteristic formula for open $n \mid n[\mathbf{0}]$.

Theorem 4.3 (Characteristic formulas for MA_{IF}) There is a computable function that associates to each $P \in MA_{IF}$ a formula \mathcal{F}_P such that for any $Q \in MA$,

$$Q \models \mathcal{F}_P \quad \textit{iff} \quad P \simeq_{\mathrm{bis}} Q.$$

We shall see that \simeq_{bis} coincides with $=_L$, thus the result can also be formulated in terms of characteristic formulas for $=_L$.

For some of the constructions above we use some special formulas that are of independent interest. One such formula is satisfied by precisely the finite processes. It is derived by exploiting the lemma below, which gives us an operational characterisation of 'finiteness'.

Lemma 4.4 $P \in MA$ is finite iff there are Q, R, n such that $n[P \mid Q] \mid R \Longrightarrow \mathbf{0}$.

Proposition 4.5 Let $\phi_{\text{fin}} \stackrel{\text{def}}{=} \exists x. (\top \blacktriangleright (\top \blacktriangleright \lozenge 0)@x)$. For any $P \in MA$, $P \models \phi_{\text{fin}}$ iff P is finite.

We can also define, for any finite set S of names, a formula satisfied by those processes whose set of free names is precisely S. For this construction we exploit the ability, using the modal formulas for capabilities, to detect unguarded occurrences of names, together with Lemma 4.6. A process P is flat if the only process underneath all capabilities and inside all ambients of P is $\mathbf{0}$.

Lemma 4.6 For all $P, n, n \in \operatorname{fn}(P)$ iff for any name m, there are some flat processes Q, R such that $n \notin \operatorname{fn}(Q, R)$, and a process S with an occurrence of n at top level such that $m[P \mid Q] \mid R \Longrightarrow m[S]$.

Proposition 4.7 There is a computable function that associates to each finite set S of names a formula refers S such that for any $P \in MA$

$$P \models \mathsf{refers} \ \mathcal{S} \qquad \mathit{iff} \qquad \mathcal{S} = \mathrm{fn}(P) \ .$$

The definition of refers S is given in Table 4.

We derive completeness of $\simeq_{\rm bis}$ by exploiting the formulas introduced above. However, since we work on the whole calculus MA, we cannot assume any image finiteness hypothesis. Instead, we rely on another form of finiteness of the restriction-free MA.

Define cont(P) as the set of all subterms of P appearing under at least one capability, quotiented by \equiv . We have the following properties:

Lemma 4.8

- For any process P, cont(P) is finite.
- Let P,Q be two terms such that $P \longrightarrow Q$ or $P \xrightarrow{\mathsf{cap}} Q$; then $\mathsf{cont}(Q) \subseteq \mathsf{cont}(P)$.

Along the lines of the definitions above, it is not difficult to define a formula to characterise the active context of a term. The only missing information to get a characteristic formula has then to do with the terms that should be placed after the capabilities. We did not find a general way to express this. However, to obtain completeness, it is enough to work with a restricted notion of characteristic formula. Lemma 4.8 allows us indeed to establish the following result:

Lemma 4.9 (Restricted characteristic formula) For any two terms P, Q of MA, there exists a formula $F_{P,Q}$ such that for any Q' and cap satisfying $Q \stackrel{\langle cap \rangle}{\Longrightarrow} Q'$.

$$Q' \models F_{PQ}$$
 iff $Q' \simeq_{\text{bis}} P$.

As a direct important consequence, we have:

Theorem 4.10 (Completeness of \simeq_{bis}) In MA, it holds that $=_L \subseteq \simeq_{\text{bis}}$.

Corollary 4.11 In MA, relations $=_L$, $\simeq_{\rm bis}$ and $\sim_{\rm ind}$ coincide. Further, on $MA_{\rm IF}^{\rm syn}$, they also coincide with \equiv .

Model-checking and tautologies. In AL, the construction of characteristic formulas has connections with the decidability of other problems related to the logic, namely model-checking (whether $P \models \mathcal{A}$ holds, for any given process P and formula \mathcal{A}) and validity (whether a given formula \mathcal{A} is satisfied by all processes). These problems have been addressed in [11, 4]. In particular, for AL, in [11] the undecidability of tautologies is established on a small fragment of the logic, a result that entails the undecidability of model-checking.

Using characteristic formulas, we can derive results similar, albeit weaker, to those in [11] proceeding the other way around (they are weaker because undecidability is established on a larger language). Indeed, we have, for all $P,Q,R\in\mathrm{MA}^{\mathrm{syn}}_{\mathrm{IF}}$:

$$P \models \mathcal{F}_Q \ \land \ \lozenge \mathcal{F}_R \quad \mathrm{iff} \quad P \ \equiv \ Q \Longrightarrow R \,.$$

Now, since \Longrightarrow is undecidable on MA_{IF}^{syn} (as will be shown in Section 5), so is the model checking problem.

More generally, the existence of characteristic formulas allows us to consider validity and model-checking to be equivalent decision problems. To see why, first remark that validity can be encoded inside model-checking [9], thanks to the \triangleright connective. Conversely, we can encode the model-checking problem inside validity using characteristic formulas as follows (recall that \mathcal{F}_P is the characteristic formula of process P):

for all
$$P \in MA_{IF}$$
, for all A , $P \models A$ iff $\vdash \mathcal{F}_P \to A$.

In [11] and [4], model-checking and validity turn out to be either both decidable or both undecidable, the key issue being the presence of name quantification in the logic. We do not know at present whether characteristic formulas could be derived in the setting of [4].

5 (Un)decidability of the logical equivalence

The undecidability of $=_L$ on MA is obtained via an encoding of Turing Machines (TM's) in the subcalculus MA_{IF}^{syn}.

The encoding and its correctness proof are conceptually simple. The proof, however, is long and tedious, due to the complexity of the TM encoding. Our encoding follows the ideas of Cardelli and Gordon's [7]. We had however to add or expand some components, because: (1) we do not have the restriction operator, used in [7]; (2) we cannot use coarse behavioural equivalences such as testing or barbed equivalence to reason on processes, as customary in process calculus encodings; we are only allowed to use \equiv , which is a very strong equivalence (on $\mathrm{MA_{IF}^{syn}}$, \equiv and $=_L$ coincide, Theorem 3.8); therefore, for instance, we cannot algebraically garbage collect deadlocked processes: we have to add into the encoding special processes that explicitly perform garbage collection; (3) we need the simulation of a TM to be (almost) deterministic; to obtain this, we have to add some components that force sequentialisations. We are not aware of correctness results concerning the encoding of [7].

A TM is defined by a ribbon, a transition relation on some set of states, initial and accepting states. A ribbon is a finite sequence of cells, each containing a binary information. In the encoding, a ribbon of length k is represented by a nesting of k ambients named cell. Each such ambient has a subambient d[0], where $d \in \{tt, ff\}$ represents the content of the cell. The Turing machine moves

left and right by exercing in *cell* and out *cell* capabilities. After each movement, an ambient representing the head of the machine reads the value of the current cell, rewrites it, and triggers the next movement.

For the correctness, the central result roughly says that if a given Turing machine \mathcal{M} in state S_0 recognises a word w of input and terminates in a state S_t with a word w' on the ribbon, then

$$TM(w, S_0) \rightsquigarrow^* TM(w', S_t)$$
,

where $\mathsf{TM}(v,S)$ represents the encoding of the TM in state S and with v as content of the ribbon, and the relation \leadsto^* is defined as follows: write $P \leadsto Q$ if $P \longrightarrow Q$ and for any Q' such that $P \longrightarrow Q'$, either $Q' \not\longrightarrow$ or $Q \equiv Q'$; then \leadsto^* is the reflexive and transitive closure of \leadsto . Thus $P \leadsto^* Q$ says that P can reduce to Q and, moreover, the reduction is almost deterministic. (What prevents pure determinism are blocking states that arise in the encoding of if-then-else statements in the TM.)

Moreover, we relate the halting problem of TM's to the existence of certain loops: given a Turing machine \mathcal{M} and an input word w, there are appropriate processes P_0 and P_1 obtained from the encoding of the TM such that

$$\mathcal{M}$$
 halts on input w iff $P_0 \Longrightarrow P_1 \Longrightarrow P_0$. (2)

Theorem 5.1 =_L is an undecidable relation on MA.

Proof: Consider processes P_0 and P_1 from (2). These processes are in MA_{IF}. Using Corollary 4.11, the definition of \simeq_{bis} , and Theorem 3.8, we have:

```
\begin{array}{ll} \text{ open } n.\,P_0 =_L \text{ open } n.\,P_1 \\ \text{ iff } & \text{ open } n.\,P_0 \ \simeq_{\text{bis}} \text{ open } n.\,P_1 \\ \text{ iff } & P_0 \Longrightarrow \simeq_{\text{bis}} \ P_1 \Longrightarrow \simeq_{\text{bis}} \ P_0 \end{array}
```

(from Theorem 3.8, $\Longrightarrow \simeq_{\rm bis}$ is \Longrightarrow on ${\rm MA_{IF}^{syn}}$). Then undecidability follows from (2).

Proposition 5.2 (Decidability of $=_L$ on MA_{IF} and MA_{IF}^{syn}) $=_L$ is a decidable relation on MA_{IF} and MA_{IF}^{syn} .

Proof: These results are proved using the inductive characterisation of $=_L$ (Corollary 4.11) and the image-finiteness conditions in the definitions of the calculi. For MA_{IF}^{syn} the result also follows directly from Theorem 3.8.

The encoding of TM's only uses processes in MA_{IF}^{syn}. The language MA_{IF}^{syn} is therefore proved to be Turing complete. This might seem in contradiction with the decidability of $=_L$ in MA_{IF}^{syn}. The proof of Theorem 5.1 does not work for MA_{IF}^{syn} because open $n. P_0$ and open $n. P_1$ do not belong to this language. Indeed, concerning MA_{IF}^{syn} we can only derive, from (2), that reachability (whether $P \Longrightarrow P'$ holds, for any P, P') is undecidable.

Busi and Zavattaro [1] have independently obtained an encoding of Random Acces Machines in MA_{IF} (although this sublanguage is not explicitely mentioned in the paper).

6 Extensions

The syntax of MA in [9] also includes communication, i.e., operators $\langle V \rangle$ for the emission of a value, and (x)P for reception. The value V can be a name, a capability, or a path of capabilities (a string of capabilities).

The results we have presented can be extended to MA with communication of names. In the statement of the results, the main difference is that on MA_{IF}^{syn} , $=_L$ coincides with \equiv_E , the (decidable) relation obtained by adding the eta-equality

$$(x)(\langle x \rangle \mid (y)P) = (y)P$$

to the axioms of \equiv (a similar result was known for finite MA [19]). We believe that also the addition of communication of capabilities is easy to handle.

Recent work on spatial logics [3] considers a one-step semantics for the \diamondsuit construct, recovering the many-steps semantics by means of a recursion operator in the logic. We believe that in such a framework $=_L$ coincides with \equiv on the whole MA.

Usually [7, 8], the syntax of MA also has the restriction operator. In [10], Cardelli and Gordon propose an extension of AL with logical connectives to describe restriction. We do not know at present whether our results continue to hold with such an extension. In particular, the proof technique involved for the completeness result without image-finiteness does not seem to be extensible to a calculus with name restriction, since it would allow infinite name generation and would break the finiteness property of the set of continuation terms. Also, we do not know whether the results hold for an MA calculus with a recursion operator instead of replication, since recursion gives us trees with infinite depth.

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\mathcal{A}^{\omega}
                                                             (\mathsf{1Comp} 	o \mathcal{A})^orall
                                                 \frac{\mathbf{def}}{\mathbf{e}}
                                                             (n[\top])@m
                                                                                                                                  (this formula is from [9])
m = n
                                                             \llbracket \mathsf{in}\ m \rrbracket.\ 0 \ \lor \ \llbracket \mathsf{out}\ m \rrbracket.\ 0 \ \lor \ \llbracket \mathsf{open}\ m \rrbracket.\ 0 \ \lor m \llbracket 0 \rrbracket
\mathsf{flat}\ m
                                                             (\exists m. \neg (m=n) \land \mathsf{flat} \ m)^{\omega}
\mathsf{flatcond}\ n
                                                             (\langle \mathsf{in}\ n \rangle. \, \top \quad \lor \quad \langle \mathsf{out}\ n \rangle. \, \top \quad \lor \quad \langle \mathsf{open}\ n \rangle. \, \top \quad \lor \quad n[\top]\ \big) \quad | \quad \top
toplevelcond n
                                                 \stackrel{\mathrm{def}}{=}
                                                             \forall m. flatcond n \blacktriangleright ( flatcond n \blacktriangleright \Diamond m[toplevelcond n])@m
refers1 n
                                                             igwedge_{i=0...k} refers1 n_i \ \land \ orall x. refers1 x \ 	o \ igvee_{i=0...k} x = n_i
refers \{n_1,\ldots,n_k\}
```

Table 4: Formulas for free names

Table 5: Formulas for (ephemeral) capabilities

Table 6: Formulas for persistent single terms

Table 7: Charateristic formulas