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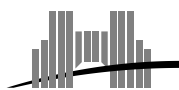
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***Chordal embeddings of planar graphs***

V. Bouchitté, F. Mazoit and I. Todinca 16th November 2001

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# Chordal embeddings of planar graphs

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## Abstract

Robertson and Seymour conjectured that the treewidth of a planar graph and the treewidth of its geometric dual differ by at most one. Lapoire solved the conjecture in the affirmative, using algebraic techniques. We give here a much shorter proof of this result.

**Keywords:** planar graphs, treewidth, duality

## Résumé

Roberston et Seymour ont conjecturé que la largeur arborescente d'un graphe planaire et celle de son dual diffèrent d'au plus un. Lapoire a prouvé cette conjecture en utilisant des outils algébriques. Nous donnons ici une preuve beaucoup plus courte de ce résultat.

**Mots-clés:** graphes planaires, largeur arborescente, dualité

# 1 Introduction

The notions of *treewidth* and *tree decomposition* of a graph have been introduced by Robertson and Seymour in [14] for their study of minors of graphs. These notions have been intensively investigated for algorithmical purposes since many NP-hard problems become polynomial and even linear when restricted to classes of graphs with bounded treewidth.

Robertson and Seymour conjectured in [13] that the treewidth of a planar graph and the treewidth of its geometric dual differ by at most one. Lapoire [11] solved this conjecture in the affirmative, in fact he proved a more general result. In order to prove his result, Lapoire worked on hypermaps and introduced the notion of splitting of hypermaps, his approach is essentially an algebraic one.

Computing the treewidth of an arbitrary graph is NP-hard. Nevertheless, the treewidth can be computed in polynomial time for several well-known classes of graphs, for example chordal bipartite graphs [9], circle and circular-arc graphs [8] [16], permutation graphs [2] and weakly triangulated graphs [4]. Actually all these classes of graphs have a polynomial number of minimal separators, we proved in [3] that we can compute, in polynomial time, the treewidth for every classes having a polynomial number of minimal separators.

For classes of graphs having an exponential number of minimal separators, we know very few, for instance the problem remains NP-hard on AT-free graphs [1] and it is polynomial for rectangular grids. Maybe the most challenging open problem is the computation of the treewidth for planar graphs. In [15], Seymour and Thomas gave a polynomial time algorithm that approximate the treewidth of planar graph within a factor of  $\frac{3}{2}$ .

In this paper, we give a new approach to tackle the problem of the treewidth computation for planar graphs. First, we recall how to obtain minimal chordal embeddings of graphs by completing some families of minimal separators. Secondly, we show that we can interpret minimal separators of planar graphs as Jordan curves of the plane. Then, we study the structure of Jordan curves that give a minimal triangulation of the graph. Next, given a family of curves of the plane, we show how to build a minimal triangulation of the geometric dual of the graph. Finally, given an optimal triangulation w.r.t. treewidth of the initial graph, we give a triangulation of the dual graph whose maximal cliquesize is no more than the maximal cliquesize of the original graph plus one. So, we get a new proof of the conjecture of Robertson and Seymour which is much simpler than the proof of Lapoire.

## 2 Preliminaries

Throughout this paper we consider simple, finite, undirected graphs.

A graph  $G = (V, E)$  is *planar* if it can be drawn in the plane such that no two edges meet in a point other than a common end. The plane will be denoted by  $\Sigma$ . A *plane graph*  $G = (V, E)$  is a drawing of a planar graph. That is, each vertex  $v \in V$  is a point of  $\Sigma$ , each edge  $e \in E$  is a curve between two vertices, distinct edges have distinct sets of endpoints and the interior of an edge contains no point of another edge. A *face* of the plane graph  $G$  is a region of  $\Sigma \setminus G$ .  $F(G)$  denotes the set of faces of  $G$ . Sometimes we will also use *plane multigraphs*, i.e. we allow loops and multiple edges.

Let  $G = (V, E)$  a plane graph. The *dual*  $G^* = (F, E^*)$  of  $G$  is a plane multigraph obtained in the following way: for each face of  $G$ , we place a point  $f$  into the face, and these points form the vertex set of  $G^*$ . For each edge  $e$  of  $G$ , we link the two vertices of  $G^*$  corresponding to faces incident to  $e$  in  $G$ , by an edge  $e^*$  crossing  $e$ ; if  $e$  is incident with only one face, then  $e^*$  is a loop.

A graph  $H$  is *chordal* (or *triangulated*) if every cycle of length at least four has a chord. A *triangulation* of a graph  $G = (V, E)$  is a chordal graph  $H = (V, E')$  such that  $E \subseteq E'$ .  $H$  is a *minimal triangulation* if for any intermediate set  $E''$  with  $E \subseteq E'' \subset E'$ , the graph  $(V, E'')$  is not triangulated. We point out that in this paper, a triangulation of a planar graph  $G$  will always mean a chordal embedding of  $G$ . Thus, a triangulation of  $G$  is clearly not equivalent to a planar triangulation (that is, a planar supergraph such that each face of the supergraph is a triangle) of  $G$ .

**Definition 1** *Let  $G$  be a graph. The treewidth of  $G$ , denoted by  $tw(G)$ , is the minimum, over all triangulations  $H$  of  $G$ , of  $\omega(H) - 1$ , where  $\omega(H)$  is the maximum cliquesize of  $H$ . The treewidth of a multigraph is the treewidth of the corresponding simple graph.*

The aim of this paper is to prove the following assertion, stated by Robertson and Seymour in [13]:

*Problem.* For any plane graph  $G = (V, E)$ ,

$$tw(G^*) \leq tw(G) \leq tw(G^*) + 1.$$

□

We say that a graph  $G'$  is a minor of a graph  $G$  if we can obtain  $G'$  from  $G$  by repeatedly using the following operations: vertex deletion, edge deletion and edge contraction. Kuratowski's theorem states that a graph  $G$  is planar if and only if the graphs  $K_{3,3}$  and  $K_5$  are not minors of  $G$ . It is well-known that if  $G'$  is a minor of  $G$ , then  $tw(G') \leq tw(G)$ . We refer to [5] for more details on these results.

When we compute the treewidth of a graph  $G$ , we are searching for a triangulation of  $G$  with smallest cliquesize, so we can restrict our work to minimal triangulations. We need a characterization of the minimal triangulations of a graph, using the notion of minimal separator.

A subset  $S \subseteq V$  is an  *$a, b$ -separator* for two nonadjacent vertices  $a, b \in V$  if the removal of  $S$  from the graph separates  $a$  and  $b$  in different connected components.  $S$  is a *minimal  $a, b$ -separator* if no proper subset of  $S$  separates  $a$  and  $b$ . We say that  $S$  is a *minimal separator* of  $G$  if there are two vertices  $a$  and  $b$  such that  $S$  is a minimal  $a, b$ -separator. Notice that a minimal separator can be strictly included into another. We denote by  $\Delta_G$  the set of all minimal separators of  $G$ .

Let  $G$  be a graph and  $S$  be a minimal separator of  $G$ . We denote by  $\mathcal{C}_G(S)$  the set of connected components of  $G \setminus S$ . A component  $C \in \mathcal{C}_G(S)$  is *full* if every vertex of  $S$  is adjacent to some vertex of  $C$ . For the following lemma, we refer to [7].

**Lemma 1** *A set  $S$  of vertices of  $G$  is a minimal  $a, b$ -separator if and only if  $a$  and  $b$  are in different full components of  $S$ .*

**Definition 2** *Two separators  $S$  and  $T$  cross, denoted by  $S \nparallel T$ , if there are some distinct components  $C$  and  $D$  of  $G \setminus T$  such that  $S$  intersects both of them. If  $S$  and  $T$  do not cross, they are called parallel, denoted by  $S \parallel T$ .*

It is easy to prove that these relations are symmetric.

Let  $S \in \Delta_G$  be a minimal separator. We denote by  $G_S$  the graph obtained from  $G$  by *completing*  $S$ , i.e. by adding an edge between every pair of non-adjacent vertices of  $S$ . If  $\Gamma \subseteq \Delta_G$  is a set of separators of  $G$ ,  $G_\Gamma$  is the graph obtained by completing all the separators of  $\Gamma$ . The results of [10], concluded in [12], establish a strong relation between the minimal triangulations of a graph and its minimal separators.

**Theorem 1** *Let  $\Gamma \in \Delta_G$  be a maximal set of pairwise parallel separators of  $G$ . Then  $H = G_\Gamma$  is a minimal triangulation of  $G$  and  $\Delta_H = \Gamma$ .*

*Let  $H$  be a minimal triangulation of a graph  $G$ . Then  $\Delta_H$  is a maximal set of pairwise parallel separators of  $G$  and  $H = G_{\Delta_H}$ . Moreover, for each  $S \in \Delta_H$ , the connected components of  $H \setminus S$  are exactly the connected components of  $G \setminus S$ .*

In other terms, every minimal triangulation of a graph  $G$  is obtained by considering a maximal set  $\Gamma$  of pairwise parallel separators of  $G$  and completing the separators of  $\Gamma$ . The minimal separators of the triangulation are exactly the elements of  $\Gamma$ .

### 3 Minimal separators as curves

We show in this section that, in plane graphs, we can associate to each minimal separator  $S$  a Jordan curve such that, if  $S$  separates two vertices of the graph, then the curve separates the corresponding points in the plane.

**Definition 3** *Let  $G = (V, E)$  be a planar graph. We fix a plane embedding of  $G$ . Let  $F$  be the set of faces of this embedding. The intermediate graph  $G_I = (V \cup F, E_I)$  has vertex set  $V \cup F$ . We place an edge in  $G_I$  between an original vertex  $v \in V$  and a face-vertex  $f \in F$  whenever the corresponding vertex and face are incident in  $G$ .*

**Proposition 1** *Let  $G$  be a 2-connected plane graph. Then the intermediate graph  $G_I$  is also 2-connected.*

*Proof.* Let us prove that, for any couple of original vertices  $x$  and  $y$  of  $G_I$  and for any face or original vertex  $a$ , there is an  $x, y$ -path in  $G_I$  avoiding  $a$ . Let  $\mu = [x = v_1, v_2, \dots, v_p = y]$  an  $x, y$ -path of  $G$ . If  $a \in V(G)$ , since  $\{a\}$  is not an  $x, y$  separator of  $G$ , we can choose  $\mu$  such that  $a \notin \mu$ . For each edge  $e_i = v_i, v_{i+1}, 1 \leq i < p$ , let  $f_i$  be a face incident to  $e_i$  in  $G$ . If  $a$  is a face-vertex, we use the fact that in a 2-connected plane graph each edge is incident to at least two faces and we choose  $f_i \neq a$ . Then  $[v_1, f_1, v_2, f_2, \dots, v_p]$  is an  $x, y$ -path of  $G_I$ , avoiding  $a$ . It follows that, for any  $x, y \in V(G)$  and for any  $a \in V(G) \cup F(G)$ ,  $\{a\}$  is not an  $x, y$ -separator of  $G_I$ . Each face-vertex is adjacent in  $G_I$  to at least two original vertices. It follows easily that for any  $a \in V \cup F$ ,  $\{a\}$  is not a separator of  $G_I$ .  $\square$

The following propositions show that a minimal separator of  $G$  can be viewed as a cycle in the intermediate graph  $G_I$ . This result of Eppstein appears in [6], in a slightly different form.

**Proposition 2** *Consider a cycle  $\nu$  of  $G_I$ . Its drawing defines a Jordan curve  $\tilde{\nu}$  in the plane. Removing  $\tilde{\nu}$  separates the plane into two regions. If both regions contain at least one original vertex, then the original vertices of  $\nu$  form a separator of  $G$ .*

*Proof.* Let  $x$  and  $y$  be two original vertices, separated by the curve  $\tilde{\nu}$  in the plane. Clearly, no edge of  $G$  crosses an edge of  $G_I$ , and therefore no edge of  $G$  crosses the curve  $\tilde{\nu}$ . Every path  $\mu$  connecting  $x$  and  $y$  in  $G$  intersects  $\tilde{\nu}$ , so  $\mu$  has a vertex in  $\nu$ . It follows that  $\nu \cap V$  is a  $x, y$ -separator of  $G$ .  $\square$

**Proposition 3** *Let  $S$  be a minimal separator of a 2-connected plane graph  $G$  and  $C$  be a full component associated to  $S$ . Then  $S$  corresponds to an elementary cycle  $\nu_S(C)$  of  $G_I$ , of the same original vertices and of equal number of face-vertices in  $G_I$ , such that  $G_I \setminus \nu_S(C)$  has at least two connected components. Moreover, the original vertices of one of these components are exactly the vertices of  $C$ .*

*Proof.* Let  $C$  be a full component associated to  $S$ , let  $G^C$  be formed by contracting  $C$  into a supervertex, and let  $S'$  be the set of faces and vertices adjacent in  $G^C$  to the contracted supervertex. Then  $S'$  is neighborhood of the supervertex in  $G_I^C$ , so it has the structure of a cycle in  $G_I^C$  and therefore in  $G_I$ . This cycle will be denoted  $\nu_S(C)$ . Since  $C$  is a full component associated to  $S$  in  $G$ , we have that  $S = N_G(C)$ , so the original vertices of  $S'$  are exactly vertices of  $S$ . The cycle separates  $C$  from  $V \setminus \{S \cup C\}$  in  $G_I$ .  $\square$

The cycle  $\nu_S(C)$  defined in the previous proposition will be called the cycle associated to  $S$  and  $C$ , *close to C*. *Remark.* Any cycle  $\nu$  of  $G_I$  forms a Jordan curve in the plane. We denote  $\tilde{\nu}$  this curve. Removing  $\tilde{\nu}$  separates the plane into two open regions. Consider the cycle  $\nu_S(C)$  of  $G_I$  associated to a minimal separator  $S$  and a full component  $C$  of  $G \setminus S$ , close to  $C$ . Then one of the regions defined by  $\tilde{\nu}_S(C)$  contains all the vertices of  $C$  and the other contains all the vertices of  $V \setminus (S \cup C)$ .  $\square$

## 4 Some technical lemmas

In the next section we show how to associate to each minimal separator  $S$  of the 3-connected plane graph  $G$  a *unique* cycle of  $G_I$  having good separation properties. We group here some technical lemmas that will be used in the next sections.

**Lemma 2** *Let  $G$  be a 3-connected planar graph and  $S$  be a minimal separator of  $G$ . Then  $G \setminus S$  has exactly two connected components.*

*Proof.* By lemma 1, there are two distinct full components  $C_1$  and  $C_2$  associated to  $S$ . Suppose there is another component  $C_3$  of  $G \setminus S$  and let  $S_3 = N(C_3)$ . Clearly,  $S_3$  is a separator of  $G$ , so  $|S_3| \geq 3$ . Let  $x_1, x_2, x_3$  be three distinct vertices of  $S_3$ . Consider the plane graph  $G'$  obtained from  $G$  by contracting each component  $C_1, C_2$  and  $C_3$  into a supervertex. The three supervertices are adjacent in  $G'$  to  $x_1, x_2, x_3$ , so  $G'$  contains a subgraph isomorphic to  $K_{3,3}$  – contradicting Kuratowski's theorem.  $\square$

**Proposition 4** *Let  $S$  be a minimal separator of a 3-connected planar graph  $G$ . Then  $S$  is also an inclusion minimal separator of  $G$ .*

*Proof.* Suppose there is a separator  $T$  of  $G$  such that  $T \subset S$ . There is a connected component  $C$  of  $G \setminus T$  such that  $C \cap S = \emptyset$ . Indeed, if  $S$  intersects each component of  $G \setminus T$ , then  $S$  and  $T$  cross, and since the crossing relation is symmetric  $T$  must intersect two connected components of  $G \setminus S$ , contradicting  $T \subset S$ . Since  $S \cap C = \emptyset$  and  $T \subset S$ ,  $C$  is also a connected component of  $G \setminus S$ . By lemma 1, there are two full components  $D_1, D_2$  associated to  $S$ . Notice that  $C$  is not a full component associated to  $S$ , because  $N(C) = T \subset S$ . It follows that  $D_1, D_2$  and  $C$  are three distinct components associated to  $S$  in  $G$ , contradicting lemma 2.  $\square$

**Lemma 3** *Let  $G$  be a plane graph and  $\nu$  be a cycle of  $G_I$  such that  $\tilde{\nu}$  separates two original vertices  $a$  and  $b$  in the plane. Consider two vertices  $x$  and  $y$  of  $\nu$ . Suppose there is a path  $\mu$  from  $x$  to  $y$  in  $G_I$ , such that  $a, b \notin \mu$  and  $\mu$  does not intersect the cycle  $\nu$  except in  $x$  and  $y$ .*

*The vertices  $x$  and  $y$  split  $\nu$  into two  $x, y$ -paths of  $G_I$ , denoted  $\mu_1$  and  $\mu_2$ . Consider the cycles  $\nu_1$  (respectively  $\nu_2$ ) of  $G_i$  formed by the paths  $\mu$  and  $\mu_1$  (respectively  $\mu$  and  $\mu_2$ ). Then  $\tilde{\nu}_1$  or  $\tilde{\nu}_2$  separate two original vertices in the plane.*

*Proof.* Let  $R_1, R_2$  be the two regions obtained by removing  $\tilde{\nu}$  from the plane. By hypothesis, both  $R_1$  and  $R_2$  contain original vertices, say  $a \in R_1$  and  $b \in R_2$ .

Suppose w.l.o.g. that the path  $\mu$  is contained in  $R_1 \cup \{x, y\}$ . Then the drawing of  $\mu$  splits  $R_1$  into two regions:  $R'_1$ , bordered by the curve  $\tilde{\nu}_1$ , and  $R''_1$ , bordered by  $\tilde{\nu}_2$ . If  $a \in R'_1$  then  $\tilde{\nu}_1$  separates  $a$  and  $b$  in the plane, otherwise  $a \in R''_1$  so  $\tilde{\nu}_2$  separates  $a$  and  $b$  in the plane.  $\square$

**Lemma 4** *Let  $S$  be a minimal separator of a 3-connected plane graph  $G$ . Consider a cycle  $\nu_S$  of  $G_I$  such that the original vertices of  $\nu_S$  are the elements of  $S$ . Suppose that  $\tilde{\nu}_S$  separates in the plane two original vertices of  $G$ .*

*If two original vertices of  $S$  are at distance two in  $G_I$  (i.e. they are incident to a same face of  $G$ ), these vertices are also at distance two on the cycle  $\nu_S$ .*

*Proof.* Let  $\nu_S = [v_1, f_1, \dots, v_p, f_p]$ , where  $v_i$  (respectively  $f_i$ ) are the original (respectively face) vertices of  $\nu_S$ . The conclusion is obvious if  $p \leq 3$ . Suppose there are two vertices  $x, y \in S$  at distance two in  $G_I$ , but not in  $\nu_S$ . W.l.o.g., we suppose  $x = v_1$  and  $y = v_i$ ,  $3 \leq i \leq p - 2$ . Let  $f$  be a face vertex adjacent to  $v_1$  and  $v_i$  in  $G_I$ .

If  $f \notin \nu_S(C)$ , we apply lemma 3 with cycle  $\nu_S$  and path  $[v_1, f, v_i]$ , so one of the cycles  $\nu_1 = [v_1, f_1, v_2, f_2, \dots, v_i, f]$  or  $\nu_2 = [v_1, f, v_i, f_i, v_{i+1}, f_{i+1}, \dots, v_p, f_p]$  separates two original vertices in the plane (see figure 1a). By proposition 2, the original vertices of  $\nu_1$  or  $\nu_2$  form a separator  $T$  in  $G$ . But  $T$  is strictly contained in  $S$ , contradicting proposition 4.

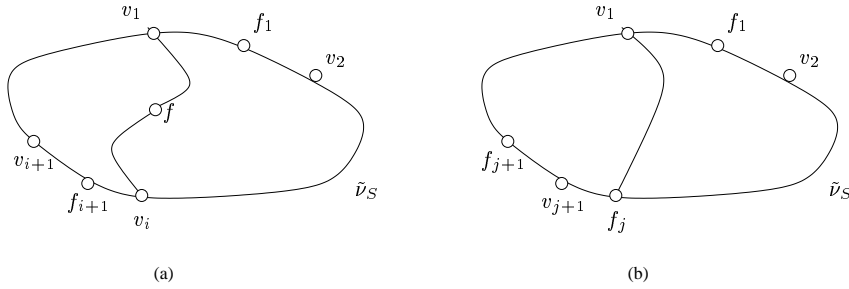


Figure 1: Proof of lemma 4

The case  $f \in \nu_S$  is very similar. There is some  $j, 1 \leq j \leq p$  such that  $f = f_j$ . Since  $v_1$  and  $v_i$  are not at distance two on  $\nu_S$ , we have that  $j \notin \{1, p\}$  or  $j \notin \{i - 1, i + 1\}$ . Suppose w.l.o.g. that  $f$  is not consecutive to  $v_1$  on the cycle  $\nu_S$ . We apply lemma 3 with cycle  $\nu_S$  and path  $[v_1, f]$ . We obtain that one of the cycles  $\nu_1 = [v_1, f_1, \dots, v_j, f_j]$  or  $\nu_2 = [v_1, f_j, v_{j+1}, f_{j+1}, \dots, v_p, f_p]$  (see figure 1b) separates two original vertices of  $G$ , so the original vertices of  $\nu_1$  or  $\nu_2$  form a separator  $T$  of  $G$ . In both cases,  $T \subset S$ , contradicting proposition 4.  $\square$

**Lemma 5** *Let  $G = (V, E)$  be a plane graph and  $x, y \in V$  such that at least three faces are incident to both  $x$  and  $y$ . Then  $\{x, y\}$  is a separator of  $G$ .*

*Proof.* Let  $f_1, f_2, f_3$  be three faces incident to both  $x$  and  $y$ . Consider the three paths  $\mu_i = [x, f_i, y]$ ,  $1 \leq i \leq 3$  of the intermediate graph  $G_I$ . The drawings of these paths split the plane into three regions:  $R_1$  bordered by the cycle  $\nu_1 = [x, f_2, y, f_3]$ ,  $R_2$  bordered by  $\nu_2 = [x, f_1, y, f_3]$  and  $R_3$  bordered by  $\nu_3 = [x, f_1, y, f_2]$ . We show that at least two of the three regions contain one or more original vertices.

Suppose that  $R_2$  and  $R_3$  do not contain original vertices. In the graph  $G$ , each face is incident to at least three vertices, so  $f_1$  has a neighbor  $z$ , different from  $x$  and  $y$ . The edge  $f_1 z$  of  $G_i$  does not cross any of the paths  $\mu_1, \mu_2, \mu_3$ , so  $z$  is in one of the regions  $R_2$  or  $R_3$ , incident to  $f_1$ . This contradicts our assumption that  $R_2$  and  $R_3$  do not contain original vertices.



We proved that at least two of the three regions  $R_1, R_2, R_3$  – say  $R_1$  and  $R_2$  – contain original vertices. Then  $\tilde{\nu}_1$ , the Jordan curve bordering  $R_1$ , separates two original vertices of  $G$ . By proposition 2, the original vertices of  $\mu_1$ , namely  $\{x, y\}$ , form a separator of  $G$ .  $\square$

**Lemma 6** *Let  $G = (V, E)$  be a 3-connected plane graph and  $x, y \in V$ .*

1. *If  $xy \in E$ , there are exactly two faces incident to both  $x$  and  $y$ .*
2. *If  $xy \notin E$ , there is at most one face of  $G$  incident to both  $x$  and  $y$ .*

*Proof.* The graph  $G$  is 3-connected, so by lemma 5 there are at most two faces incident to both  $x$  and  $y$ .

The first statement is obvious since the edge  $xy$  is incident to two faces of  $G$ . For the second statement, suppose there are two faces  $f_1$  and  $f_2$  incident to  $x$  and  $y$ . Consider the plane graph  $G'$  obtained from  $G$  by adding the edge  $xy$ , drawn in the face  $f_1$ . Then the face  $f_1$  of  $G$  is splitted into two faces  $f'_1$  and  $f''_1$ , both incident to  $x$  and  $y$  in  $G'$ . So, in  $G'$ , the three faces  $f'_1, f''_1$  and  $f_2$  are incident to both  $x$  and  $y$ . But  $G'$  is clearly a 3-connected planar graph, and by lemma 5 we have that  $\{x, y\}$  is a separator of  $G'$  – a contradiction.  $\square$

**Proposition 5** *Let  $G$  be a 3-connected plane graph. Consider two cycles  $\nu$  and  $\nu'$  of  $G_I$ , such that  $\nu$  and  $\nu'$  only differ by their face vertices. Then  $\tilde{\nu}$  separates two original vertices  $a$  and  $b$  in the plane if and only if  $\tilde{\nu}'$  also separates  $a$  and  $b$  in the plane.*

*Proof.* It is sufficient to prove our statement for two cycles that only differ by one face vertex, say  $\nu = [v_1, f_1, v_2, f_2, \dots, v_p, f_p]$  and  $\nu' = [v_1, f'_1, v_2, f_2, \dots, v_p, f_p]$ , such that  $f_1 \neq f'_1$ . Since  $v_1$  and  $v_2$  are incident to both  $f_1$  and  $f'_1$  in  $G$ , it comes by lemma 6 that  $v_1$  and  $v_2$  are adjacent in  $G$ . Thus,  $f_1$  and  $f'_1$  are the faces incident in  $G$  to the edge  $e = v_1v_2$ .

Consider the cycle  $\nu'' = [v_1, f_1, v_2, f'_1]$  of  $G_I$  and let  $R$  be the region bordered by  $\tilde{\nu}''$  and containing the interior of the edge  $e$ . Clearly, the region  $R$  contains no original or face vertex of  $G_I$ .

Let  $R_1, R_2$  be the two regions obtained by removing  $\tilde{\nu}$  from the plane. Suppose that the edge  $e$ , and thus the face  $f'_1$ , is in  $R_2$ . Then the regions obtained by removing  $\tilde{\nu}'$  from the plane are exactly  $R'_1 = R_1 \cup R \cup [v_1, f_1, v_2]$  and  $R'_2 = R_2 \setminus R \setminus [v_1, f'_1, v_2]$ . Since  $R$  contains no original vertices, the original vertices of  $R'_1$  (respectively  $R'_2$ ) are the original vertices of  $R_1$  (respectively  $R_2$ ).  $\square$

**Lemma 7 ([5], proposition 4.2.10)** *Let  $G$  be a 3-connected plane graph. For any face  $f$ , the set of vertices incident to  $f$  do not form a separator of  $G$ .*

**Lemma 8** *Let  $G$  be a 3-connected plane graph and  $S$  be a minimal separator of  $G$ . Then each face of  $G$  is incident to at most two vertices of  $S$ .*

*Proof.* Suppose there are three vertices  $x, y, z$  of  $S$  incident to a same face  $f$ . Let  $C$  be a full component associated to  $S$  in  $G$  and  $\nu_S(C)$  be the cycle associated to  $S$  in  $G_I$ , close to  $C$ . Consider first the case  $|S| \geq 4$ , so there is some vertex  $t \in S \setminus \{x, y, z\}$ . Suppose w.l.o.g. that  $\nu_S(C)$  encounters  $x, y, z$  and  $t$  in this order. Then  $x$  and  $z$  are not at distance 2 on the cycle  $\nu_S(C)$ , contradicting lemma 4.

If  $|S| = 3$ , let  $T$  be the set of vertices incident to  $f$ , so  $S \subseteq T$ . Thus,  $T$  is a separator of  $G$ , contradicting lemma 7.  $\square$

## 5 Minimal separators in 3-connected planar graphs

Consider a minimal separator  $S$  of  $G$  and two full components  $C$  and  $D$  associated to  $S$ . We can associate to  $S$  two cycles of  $G_I$ , namely  $\nu_S(C)$  and  $\nu_S(D)$ , closed to  $C$ , respectively  $D$ . In general, the two cycles are distinct, although they represent for us the same minimal separator  $S$ . In the case of 3-connected planar graphs, we slightly modify the construction of proposition 3 in order to obtain a *unique* cycle representing  $S$  in  $G_I$ .

Let  $G$  be a 3-connected plane graph. Consider two original vertices  $x$  and  $y$  situated at distance two in  $G_I$ . We know that  $x$  and  $y$  are incident to a same face in  $G$ , but this face is not necessarily unique. For each pair of vertices  $x, y \in V$  at distance two in  $G_I$ , we fix a unique face  $f(x, y)$  of  $G$  incident to both  $x$  and  $y$ . Let  $\nu = [v_1, f_1, v_2, f_2, \dots, v_p, f_p]$  be a cycle of  $G_I$ , where  $v_i$  are the original vertices and  $f_i$  are the face vertices of  $\nu$ . We say that a cycle  $\nu$  is *well-formed* if, for each pair of consecutive original vertices  $v_i, v_{i+1}$  of  $\nu$  we have  $f_i = f(v_i, v_{i+1})$  ( $1 \leq i \leq p$ ,  $v_{p+1} = v_1$ ).

Given a minimal separator  $S$  of  $G$  we construct a unique well-formed cycle  $\nu_S$  associated to  $S$  as follows. Let  $C, D$  be the full components associated to  $S$  in  $G$  and let  $\nu_S(C) = [v_1, f_1, v_2, f_2, \dots, v_p, f_p]$  be the cycle associated to  $S$  in  $G_I$ , close to  $C$ . We denote  $\nu'_S(C) = [v_1, f'_1, v_2, f'_2, \dots, v_p, f'_p]$  where  $f'_i = f(v_i, v_{i+1}) \forall i, 1 \leq i \leq p$ . Notice that  $\forall i, j, 1 \leq i < j \leq p$  we have  $f'_i \neq f'_j$  by lemma 8, so  $\nu'_S(C)$  is an elementary cycle of  $G_I$ .

The cycle  $\nu_S(D)$ , associated to  $S$  and close to  $D$ , has the same original vertices as  $\nu_S(C)$ , encountered in the same order:  $\nu_S(D) = [v_1, f''_1, v_2, f''_2, \dots, v_p, f''_p]$ . Thus,  $\nu'_S(D) = \nu'_S(C)$  and from now on this cycle will be denoted  $\nu_S$ . By proposition 5,  $\tilde{\nu}_S$  separates  $C$  and  $D$  in the plane:

**Proposition 6** *Let  $G$  be a 3-connected planar graph and  $S$  be a minimal separator of  $G$ . Let  $C, D$  be the two connected components of  $G \setminus S$ . Then  $\tilde{\nu}_S$  separates  $C$  and  $D$  in the plane.*

**Definition 4** *Two Jordan curves  $\tilde{\nu}_1$  and  $\tilde{\nu}_2$  cross if  $\tilde{\nu}_1$  intersects the two regions of  $\Sigma \setminus \tilde{\nu}_2$ . Otherwise, they are parallel. Two cycles  $\nu_1$  and  $\nu_2$  of  $G_I$  cross if and only if  $\tilde{\nu}_1$  and  $\tilde{\nu}_2$  cross.*

Notice that the parallel and crossing relation between curves and cycles are symmetric.

**Proposition 7** *Two minimal separators  $S$  and  $T$  of a 3-connected plane graph  $G$  are parallel if and only if the corresponding cycles  $\nu_S$  and  $\nu_T$  of  $G_I$  are parallel.*

*Proof.* We prove that if  $S$  and  $T$  cross, then  $\nu_S$  and  $\nu_T$  cross. Let  $C$  and  $D$  be the two connected components of  $G \setminus S$ . By definition of crossing separators,  $T$  intersects two connected components of  $G \setminus S$ , so  $T$  intersects  $C$  and  $D$ . The curve  $\tilde{\nu}_S$  separates  $C$  and  $D$  in the plane, by proposition 6. Thus,  $\tilde{\nu}_T$  intersects two different regions of  $\Sigma \setminus \tilde{\nu}_S$ , so  $\nu_T$  crosses  $\nu_S$ .

We prove that if  $\nu_S$  crosses  $\nu_T$ , then  $S$  crosses  $T$ . Let  $R$  and  $R'$  be the regions of  $\Sigma \setminus \tilde{\nu}_S$ . We show that at least one original vertex of  $\nu_T$  is in  $R$ . Since  $\nu_S$  crosses  $\nu_T$ ,  $\tilde{\nu}_T$  intersects  $R$ . Thus, an original vertex or a face-vertex of  $\nu_T$  is in  $R$ . Suppose that  $\nu_T$  has no original vertex in  $R$  and let  $f$  be a face-vertex of  $\nu_T \cap R$ . On the cycle  $\nu_T$ , the face-vertex  $f$  is between two original vertices  $x$  and  $x'$ . Notice that  $x$  is also a vertex of  $\nu_S$ . Indeed,  $x \notin R$ , and  $x$  cannot be in  $R'$ , because the edge  $xf$  of  $G_I$  cannot cross the drawing of the cycle  $\nu_S$ . It follows that  $x \in \tilde{\nu}_S$ . So  $x$  and  $x'$  are both vertices of  $\nu_S$ . Since  $x$  and  $x'$  are adjacent to a same face-vertex of  $G_I$ , they are on a same face of  $G$ . By lemma 4,  $x$  and  $x'$  are at distance two on the

cycle  $\nu_S$ , and let  $f'$  be the face-vertex of  $\nu_S$  between  $x$  and  $x'$ . Since  $\nu_S$  and  $\nu_T$  are well-formed cycles, we have  $f' = f(x, y) = f$ , so  $f \in \nu_S$ . This contradicts the fact that  $f$  is in one of the regions of  $\Sigma \setminus \tilde{\nu}_S$ .

We showed that  $\nu_S$  has original vertices in region  $R$ , and for similar reasons it has original vertices in  $R'$ . So  $\tilde{\nu}_S$  separates two original vertices of  $\nu_T$  in the plane, and by proposition 6  $S$  separates these vertices in  $G$ . Thus,  $S$  crosses  $T$ .  $\square$

## 6 Block regions

Let  $\tilde{\nu}$  be a Jordan curve in the plane. Let  $R$  be one of the regions of  $\Sigma \setminus \tilde{\nu}$ . We say that  $(\tilde{\nu}, R) = \tilde{\nu} \cup R$  is a one-block region of the plane, bordered by  $\tilde{\nu}$ .

**Definition 5** Let  $\tilde{\mathcal{C}}$  be a set of curves such that for each  $\tilde{\nu} \in \tilde{\mathcal{C}}$ , there is a one-block region  $(\tilde{\nu}, R(\tilde{\nu}))$  containing all the curves of  $\tilde{\mathcal{C}}$ . We define the region between the elements of  $\tilde{\mathcal{C}}$  as

$$\text{RegBetween}(\tilde{\mathcal{C}}) = \bigcap_{\tilde{\nu} \in \tilde{\mathcal{C}}} (\tilde{\nu}, R(\tilde{\nu}))$$

We say that the region between the curves of  $\tilde{\mathcal{C}}$  is bordered by  $\tilde{\mathcal{C}}$ .

**Definition 6** A subset  $BR \subseteq \Sigma$  of the plane is a block region if one of the following holds:

- $BR = \Sigma$ .
- There is a curve  $\tilde{\nu}$  such that  $BR$  is a one-block region  $(\tilde{\nu}, R)$ .
- There is a set of curves  $\tilde{\mathcal{C}}$  such that  $BR = \text{RegBetween}(\tilde{\mathcal{C}})$ .

*Remark.* According to our definition, block regions are always closed sets.  $\square$

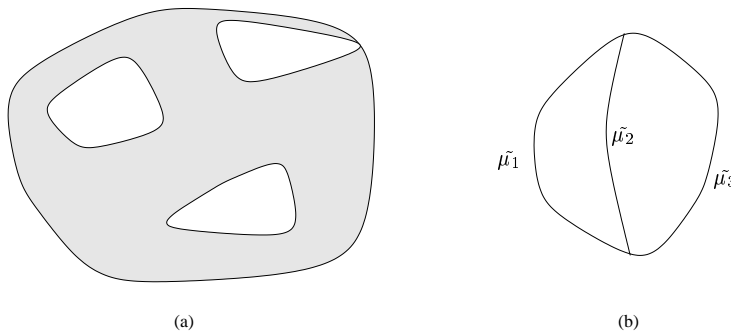


Figure 2: Block regions

*Example.* In figure 2a, we have a block region (in grey) bordered by four Jordan curves. Figure 2b presents three interior-disjoint paths  $\tilde{\mu}_1, \tilde{\mu}_2$  and  $\tilde{\mu}_3$  having the same endpoints. Consider the three curves  $\tilde{\nu}_1 = \tilde{\mu}_2 \cup \tilde{\mu}_3$ ,  $\tilde{\nu}_2 = \tilde{\mu}_1 \cup \tilde{\mu}_3$  and  $\tilde{\nu}_3 = \tilde{\mu}_1 \cup \tilde{\mu}_2$ . Notice that the block-region between  $\tilde{\nu}_1, \tilde{\nu}_2$  and  $\tilde{\nu}_3$  is exactly the union of the three paths.  $\square$

Consider a set  $\tilde{\mathcal{C}}$  of pairwise parallel Jordan curves of the plane. These curves split the plane into several block regions. Consider the set of all the block regions bordered by some elements of  $\tilde{\mathcal{C}}$ . We are interested by the inclusion-minimal elements of this set, that we call minimal block regions formed by  $\tilde{\mathcal{C}}$ . The following proposition comes directly from the definition of the minimal block-regions:

**Proposition 8** *Let  $\tilde{\mathcal{C}}$  be a set of pairwise parallel curves in the plane  $\Sigma$ . A set of points  $A$  of the plane are contained in a same minimal block-region formed by  $\tilde{\mathcal{C}}$  if and only if for any  $\tilde{\nu} \in \tilde{\mathcal{C}}$ , there is a one-block region  $(\tilde{\nu}, R(\tilde{\nu}))$  containing  $A$ .*

## 7 Minimal triangulations of $G$

Let  $G$  be a 3-connected planar graph and let  $H$  be a minimal triangulation of  $G$ . According to theorem 1, there is a maximal set of pairwise parallel separators  $\Gamma \subseteq \Delta_G$  such that  $H = G_\Gamma$ . Let  $\mathcal{C}(\Gamma) = \{\nu_S | S \in \Gamma\}$  be the cycles associated to the minimal separators of  $\Gamma$  and let  $\tilde{\mathcal{C}}(\Gamma) = \{\tilde{\nu}_S | S \in \Gamma\}$  be the curves associated to these cycles. According to proposition 7, the cycles of  $\mathcal{C}(\Gamma)$  are pairwise parallel. Thus, the curves of  $\tilde{\mathcal{C}}(\Gamma)$  split the plane into block regions. We show that any maximal clique  $\Omega$  of  $H$  corresponds to the original vertices contained in a minimal block region formed by  $\tilde{\mathcal{C}}(\Gamma)$ .

If  $BR$  is a block region, we denote by  $BR_G$  the vertices of  $G$  contained in  $BR$ .

**Theorem 2** *Let  $H = G_\Gamma$  be a minimal triangulation of a 3-connected planar graph  $G$ .  $\Omega \subseteq V$  is a maximal clique of  $H$  if and only if there is a minimal block region  $BR$  formed by  $\tilde{\mathcal{C}}(\Gamma)$  such that  $\Omega = BR_G$ .*

*Proof.* Let  $BR$  be a minimal block region formed by  $\tilde{\mathcal{C}}(\Gamma)$ , we show that  $\Omega = BR_G$  is a clique of  $H$ . Suppose there are two vertices  $x, y \in \Omega$ , non adjacent in  $H$ . Thus, there is a minimal separator  $S$  of  $H$  separating  $x$  and  $y$  in  $H$ . Then  $S$  is also a minimal separator of  $G$ , separating  $x$  and  $y$  in  $G$  (cf. theorem 1). Therefore,  $\tilde{\nu}_S \in \tilde{\mathcal{C}}(\Gamma)$  separates  $x$  and  $y$  in the plane, contradicting proposition 8.

Let  $\Omega$  be a clique of  $H$ . For any minimal separator  $S$  of  $H$  there is a connected component  $C(S)$  of  $H \setminus S$  such that  $\Omega \subseteq S \cup C(S)$ . By theorem 1,  $S \in \Gamma$  and  $C(S)$  is a connected component of  $G \setminus S$ , so we deduce that the points of  $\Omega$  are contained in a same one-block region  $(\tilde{\nu}_S, R(\tilde{\nu}_S))$  defined by  $\tilde{\nu}_S$ . This holds for each  $S \in \Gamma$ , because the minimal separators of  $H$  are exactly the elements of  $\Gamma$ . We conclude by proposition 8 that  $\Omega$  is contained in some minimal block  $BR$  formed by  $\tilde{\mathcal{C}}(\Gamma)$ .  $\square$

## 8 Triangulations of the dual graph $G^*$

Let  $G$  be a plane graph and  $\mathcal{C}$  be a set of pairwise parallel cycles of  $G_I$ . The family  $\tilde{\mathcal{C}}$  of curves associated to these cycles splits the plane into block regions. Let  $G^*$  be the dual of  $G$ . We show in this section how to associate to  $\mathcal{C}$  a triangulation  $H(\mathcal{C})$  of  $G^*$  such that each clique of  $H(\mathcal{C})$  corresponds to the face-vertices contained in some minimal block-region defined by  $\tilde{\mathcal{C}}$ .

**Definition 7** *Consider a planar embedding of the graph  $G = (V, E)$  and let  $G^* = (F, E^*)$  the dual of  $G$ . Let  $\mathcal{C}$  be a set of pairwise parallel cycles of  $G_I$ . We define the graph  $H(\mathcal{C}) = (F, E_H)$  with vertex set  $F$ , we place an edge between two face-vertices  $f$  and  $f'$  of  $H$  if and only if  $f$  and  $f'$  are in same a minimal block region defined by  $\tilde{\mathcal{C}}$ .*

**Theorem 3**  *$H(\mathcal{C})$  is a triangulation of  $G^*$ . Moreover, for any clique  $\Omega^*$  of  $H(\mathcal{C})$  there is some minimal block region  $BR$  defined by  $\tilde{\mathcal{C}}$  such that  $\Omega^*$  is formed by the face-vertices contained in  $BR$ .*

*Proof.* We show that  $H$  is a supergraph of  $G^*$ . Let  $ff'$  be an edge of  $G^*$ , clearly no cycle of  $G_I$  crosses the edge  $ff'$  in the plane. Thus, for any cycle  $\tilde{\nu}$  of  $\tilde{\mathcal{C}}$ ,  $\tilde{\nu}$  does not separate the points  $f$  and  $f'$ . By proposition 8,  $f$  and  $f'$  are in a same block region formed by  $\tilde{\mathcal{C}}$ , so  $ff'$  is an edge of  $H(\mathcal{C})$ .

We prove now that  $H(\mathcal{C})$  is chordal. Suppose there is a chordless cycle  $\nu_H$  of  $H(\mathcal{C})$ , having at least four vertices. Let  $f, f'$  be two non-adjacent vertices of  $\nu_H$ . By proposition 8, there is a curve  $\tilde{\nu} \in \tilde{\mathcal{C}}$  separating the points  $f$  and  $f'$  in the plane. Consider the two interior-disjoint paths  $\mu_1$  and  $\mu_2$  from  $f$  to  $f'$  in  $\nu_H$ . We show that at least one face-vertex of each of these paths belongs to  $\tilde{\nu}$ . Let  $\mu_1 = [f = f_1, f_2, \dots, f_p = f']$ . Let  $R$  and  $R'$  be the regions of  $\Sigma \setminus \tilde{\nu}$  containing  $f$ , respectively  $f'$ . Let  $f_j$  the last point of  $\mu_1$  contained in  $R$ , so  $1 \leq j < p$ . We prove that  $f_{j+1} \in \tilde{\nu}$ . Indeed, if  $f_{j+1} \notin \tilde{\nu}$ , then  $f_{j+1} \in R'$ , so  $\tilde{\nu}$  separates in the plane the points  $f_j$  and  $f_{j+1}$ . By proposition 8,  $f_j$  and  $f_{j+1}$  are not in a same minimal block region formed by  $\tilde{\mathcal{C}}$ , contradicting the fact that  $f_j f_{j+1}$  is an edge of  $H(\mathcal{C})$ . We conclude that  $\mu_1$  has a face-vertex on  $\tilde{\nu}$ , and in a similar way  $\mu_2$  has a face-vertex on  $\tilde{\nu}$ . We denote  $f^1$ , respectively  $f^2$  these face-vertices. Clearly  $f^1, f^2$  are non-consecutive vertices of the cycle  $\nu_H$ . Since we assumed that  $\nu_H$  is chordless,  $f^1$  and  $f^2$  are not adjacent in  $H(\mathcal{C})$ . Therefore, by proposition 8, there is a cycle  $\tilde{\nu}' \in \tilde{\mathcal{C}}$  separating  $f^1$  and  $f^2$  in the plane. So  $\tilde{\nu}'$  separates two vertices of  $\tilde{\nu}$ , contradicting the fact that the curves of  $\tilde{\mathcal{C}}$  are pairwise parallel.

We show that any clique  $\Omega^*$  of  $H(\mathcal{C})$  is contained in some minimal block region defined by  $\tilde{\mathcal{C}}$ . By proposition 8, for any cycle  $\nu \in \mathcal{C}$ ,  $\Omega^*$  is contained in some one-block region  $(\tilde{\nu}, R(\tilde{\nu}))$ . It follows directly that  $\Omega^*$  is contained in some minimal block defined by  $\tilde{\mathcal{C}}$ .

Finally, for any minimal block-region  $BR$  formed by  $\tilde{\mathcal{C}}$ , the face-vertices of  $BR$  induce a clique in  $H(\mathcal{C})$ , by definition of  $H(\mathcal{C})$ .  $\square$

## 9 Main Theorem

In this section, we investigate more deeply the structure of the block regions defined by pairwise parallel cycles of  $G_I$  which will allow us to compare the number of vertices in  $G$  and  $G^*$  for all block regions. Before this, we need to state two technical lemmas. These lemmas are stated on an arbitrary 2-connected plane graph, but they will be used on the intermediate graph  $G_I$ .

**Lemma 9** *Let  $G$  be a 2-connected plane graph and let  $\mathcal{C}$  be a set of pairwise parallel cycles of  $G$ . For any block region  $BR$  formed by  $\tilde{\mathcal{C}}$ , the vertices contained in  $BR$  induce in  $G$  a 2-connected subgraph.*

*Proof.* Let  $BR = \cap_{i=1}^k (\tilde{\nu}_i, R(\tilde{\nu}_i))$  be a block-region of  $G$ , we proceed by induction on  $k$ , the number of cycles bordering the block region.

If  $k = 0$ , then  $BR_G = G$  and the result is obvious.

Suppose now  $k > 0$ . Let  $x$  and  $y$  two vertices of  $BR_G$ , by induction hypothesis there exists a cycle  $\nu'$  containing  $x$  and  $y$  inside  $\cap_{i=2}^k (\tilde{\nu}_i, R(\tilde{\nu}_i))$ . If  $\nu'$  intersects  $\nu_1$  in at most one vertex then the cycle  $\nu'$  is inside  $BR$ .

Otherwise, let  $\mu_x$  (resp.  $\mu_y$ ) be the path of  $\nu'$  that contains  $x$  (resp.  $y$ ) and whose the only vertices in common with  $\nu_1$  are its ends  $x_1$  and  $x_2$  (resp.  $y_1$  and  $y_2$ ). If  $\mu_x = \mu_y$  then we can complete  $\mu_x$  in a simple cycle that belongs to  $(\tilde{\nu}_1, R(\tilde{\nu}_1))$  by following  $\nu_1$  from  $x_1$  to  $x_2$ . If  $\mu_x \neq \mu_y$ , on the cycle  $\nu_1$ , the vertices  $x_1$  and  $x_2$  and the vertices  $y_1$  and  $y_2$  are juxtaposed (see figure 3). There are two disjoint paths  $\mu_1$  and  $\mu_2$  of  $\nu_1$  whose ends are  $x_1$  and  $x_2$ , respectively  $y_1$  and  $y_2$ . The four paths  $\mu_1, \mu_2, \mu_x$  and  $\mu_y$  form a simple cycle that lies inside  $(\tilde{\nu}_1, R(\tilde{\nu}_1))$ .

Moreover in both cases, since  $\nu_1$  also lies inside  $\cap_{i=2}^n (\tilde{\nu}_i, R(\tilde{\nu}_i))$  the new cycle lies inside  $\cap_{i=2}^n (\tilde{\nu}_i, R(\tilde{\nu}_i))$  and so inside  $BR$ .

In any case, we can exhibit a cycle inside  $BR$  passing through  $x$  and  $y$  so  $BR_G$  is 2-connected.  $\square$

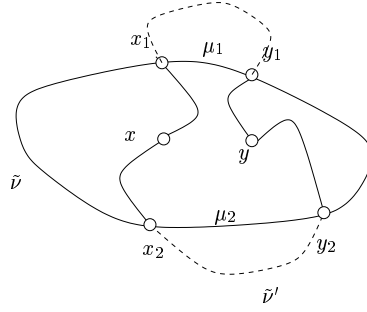


Figure 3: Cycle inside the block-region

**Lemma 10** *Let  $G = (V, E)$  be a 2-connected planar graph, consider a family  $\mathcal{C}$  of pairwise parallel cycles. Let  $BR$  be a block-region defined by a subfamily  $\tilde{\mathcal{C}}'$  of  $\tilde{\mathcal{C}}$  and  $\nu \in \mathcal{C}'$ .*

*Either all vertices  $BR_G$  are on  $\nu$  or there exists a path  $\mu \subseteq BR_G$  which intersects  $\nu$  only in its extremities.*

*Proof.* Suppose there exists a vertex  $x \in BR_G$  which is not on  $\nu$ . We know by lemma 9 that  $BR_G$  is 2-connected. Take two vertices  $y$  and  $z$  on  $\nu$ , applying Dirac's fan lemma to  $x$  and  $\{y, z\}$ , we get two disjoint paths except in  $x$ ,  $\mu_y$  and  $\mu_z$  in  $BR_G$ , connecting respectively  $x$  to  $y$  and  $x$  to  $z$ . Cutting  $\mu_y$  (resp.  $\mu_z$ ) at the first vertex  $y'$  (resp.  $z'$ ) on  $\nu$ , we obtain two paths  $\mu_{y'}$  and  $\mu_{z'}$  which intersect  $\nu$  only in  $y'$  and  $z'$ . Then the concatenation of  $\mu_{y'}$  and  $\mu_{z'}$  is a suitable path  $\mu$ .  $\square$

**Theorem 4** *Let  $G = (V, E)$  be a 3-connected planar graph. Let  $\mathcal{C}$  be an inclusion maximal family of pairwise parallel cycles of  $G_I$ . Consider a minimal block-region  $BR$  of  $G_I$  defined by  $\tilde{\mathcal{C}}$  then either  $BR_{G_I} = \nu$  or  $BR_{G_I} = \nu \cup \mu$ , where  $\nu$  is in  $\mathcal{C}$  and  $\mu$  is a path which touches  $\nu$  only in its ends.*

*Proof.* If  $BR_{G_I}$  is not a cycle, we know by lemma 10 that there exists a path  $\mu$  inside  $BR_{G_I}$  that intersects  $\nu$  only on its extremities  $x$  and  $y$ . The vertices  $x$  and  $y$  define two subpaths  $\nu_1$  and  $\nu_2$  of  $\nu$ , so we have three cycles contained in  $BR_{G_I}$ , namely  $\nu$ ,  $\mu\nu_1$  and  $\mu\nu_2$ . These cycles are pairwise parallel and parallel to the cycles of  $\mathcal{C}$ , so by maximality of  $\mathcal{C}$  they are in  $\mathcal{C}$ . These three cycles define a block-region  $BR'$  which is exactly  $\tilde{\nu} \cup \tilde{\mu}$ . Since  $BR' = \tilde{\nu} \cup \tilde{\mu} \subseteq BR$  and  $BR$  is a minimal block-region we can conclude that  $BR' = BR$ .  $\square$

**Theorem 5** *Let  $G = (V, E)$  be a 3-connected planar graph without loops. Then*

$$tw(G) - 1 \leq tw(G^*) \leq tw(G) + 1$$

*Proof.* By duality, it is sufficient to prove the second inequality. Since  $G$  is 3-connected without loops,  $G, G^*$  and, by proposition 1,  $G_I$  are 2-connected without loops. Let  $\mathcal{C}$  be a family of cycles of  $G_I$  that gives a triangulation  $H$  of  $G$  with  $\omega(H) - 1 = tw(G)$ . We complete  $\mathcal{C}$  into a maximal family  $\mathcal{C}'$  of pairwise parallel cycles of  $G_I$ . According to theorem 3, the family  $\mathcal{C}'$  defines a triangulation  $H^*$  of  $G^*$ . Let  $BR$  be a minimal block-region with respect to  $\tilde{\mathcal{C}}'$ . By theorem 4, either  $BR_{G_I} = \nu$  or  $BR_{G_I} = \nu \cup \mu$ . In the first case, since  $G_I$  is bipartite we have  $|BR_{G_I} \cap V| = |BR_{G_I} \cap V^*|$ . In the later case, the difference between the number of vertices of  $G$  and  $G^*$  of  $BR_{G_I}$  comes from  $\mu$ . Once again, since  $G_I$  is bipartite the difference can be at most one. But each minimal block-region formed by  $\tilde{\mathcal{C}}'$  is contained in a minimal block-region formed by  $\tilde{\mathcal{C}}$ , so, by theorem 2,  $BR_{G_I} \cap V$  is a clique of  $H$ . Therefore the maximal cardinality of a clique in  $H^*$  is the maximal cardinality of a clique in  $H$  plus one and the second inequality is proved.  $\square$

## 10 Planar graphs which are not 3-connected

We have proved that, for any 3-connected planar graph  $G$ , the treewidth of its dual is at most the treewidth of  $G$  plus one. We extend this result to arbitrary planar graphs.

The following lemma is a well-known result, see for example [12] for a proof:

**Lemma 11** *Let  $G = (V, E)$  be a graph (not necessarily planar) and  $S$  be a separator of  $G$  such that  $G[S]$  is a complete graph. Let  $V_1, V_2 \subseteq V$  such that  $S, V_1$  and  $V_2$  form a partition of  $V$  and  $S$  separates each vertex of  $V_1$  from each vertex of  $V_2$ . Then  $\text{tw}(G) = \max(\text{tw}(G[S \cup V_1]), \text{tw}(G[S \cup V_2]))$ .*

**Lemma 12** *Let  $G = (V, E)$  be a graph, not necessarily planar. Suppose that  $G$  has a minimal separator  $S = \{x, y\}$  of size two. Let  $G_{xy} = (V, E \cup \{xy\})$  be the graph obtained from  $G$  by adding the edge  $xy$ . Then  $\text{tw}(G_{xy}) = \text{tw}(G)$ .*

*Proof.* If  $xy$  is an edge of  $G$ , then  $G_{xy} = G$ . Suppose that  $xy$  is not an edge of  $G$ . Since  $G$  is a minor of  $G_{xy}$ , we have  $\text{tw}(G) \leq \text{tw}(G_{xy})$ , so it remains to show that  $\text{tw}(G) \geq \text{tw}(G_{xy})$ .

$S$  is also a minimal separator of  $G'$ , so let  $C$  be a full component associated to  $S$  in  $G$  and let  $V_2 = V \setminus (C \cup S)$ . Let  $G_1 = G_{xy}[S \cup C]$  and  $G_2 = G[S \cup V_2]$ . By lemma 12, we have  $\text{tw}(G_{xy}) = \max(\text{tw}(G_1), \text{tw}(G_2))$ . It is sufficient to prove that  $\text{tw}(G_1) \leq \text{tw}(G)$  and  $\text{tw}(G_2) \leq \text{tw}(G)$ . We show that  $G_1$  and  $G_2$  are minors of  $G$ .

By lemma 1, there is a full component  $D$  associated to  $S$  different from  $C$ , so  $D \subseteq V_2$ . There is a path  $\mu$  from  $x$  to  $y$  in  $G[D \cup \{x, y\}]$ , and the interior of  $\mu$  avoids the vertices of  $G_1$ . Therefore,  $G_1$  is a minor of  $G[S \cup C \cup \mu]$ , so  $G_1$  is a minor of  $S$ . In a similar way, there is a path  $\mu'$  from  $x$  to  $y$  in  $G[C \cup \{x, y\}]$ , so  $G_2$  is a minor of  $G[V_2 \cup S \cup \mu']$  and thus a minor of  $G$ . We conclude that  $\text{tw}(G) \geq \max(\text{tw}(G_1), \text{tw}(G_2)) = \text{tw}(G_{xy})$ .  $\square$

**Lemma 13** *Suppose there is a plane graph  $G$  not satisfying  $\text{tw}(G^*) \leq \text{tw}(G) + 1$  and there is a separator  $S = \{x, y\}$  of  $G$ . Then  $G_S$  also contradicts  $\text{tw}(G_S^*) \leq \text{tw}(G_S) + 1$ .*

*Proof.* By lemma 12,  $\text{tw}(G_S) = \text{tw}(G)$ . We also have that  $G_S$  is planar and  $G^*$  is a minor of  $G_S^*$ . Indeed, if  $xy$  is not an edge of  $G$ , let  $C$  be a full component of  $G \setminus S$  and  $\nu_S(C)$  the cycle associated to  $S$  and  $C$ , close to  $C$ . Then  $\nu_S(C) = [x, f, y, f']$ , so  $x, y$  are incident to a same face  $f$ . We obtain a plane drawing of  $G_S$  by adding the edge  $xy$  in the face  $f$ . The new edge will split the face  $f$  into two faces  $f_1$  and  $f_2$ , and clearly the dual of  $G$  is obtained from the dual of  $G_S$  by contracting the edge  $f_1 f_2$  into a single vertex  $f$ . Therefore,  $\text{tw}(G^*) \leq \text{tw}(G_S^*)$ . Consequently, if  $\text{tw}(G_S^*) \leq \text{tw}(G_S) + 1$ , then  $\text{tw}(G^*) \leq \text{tw}(G) + 1$ .  $\square$

**Theorem 6** *For any plane graph  $G$ ,*

$$\text{tw}(G^*) \leq \text{tw}(G) + 1.$$

*Proof.* Suppose there is a graph  $G$  such that  $\text{tw}(G^*) > \text{tw}(G) + 1$ . We take  $G$  with minimum number of vertices. It is easy to check that  $G$  must have at least four vertices.

By theorem 5,  $G$  is not 3-connected, so let  $S$  be a minimal separator of  $G$  with at most two vertices. According to lemma 13, we can consider that  $S$  is a clique in  $G$ . Let  $C$  be a connected component of  $G \setminus S$ , we denote  $G_1 = G[S \cup C]$  and  $G_2 = G[V \setminus C]$  (if  $G$  is not connected, then  $S = \emptyset$  and  $C$  is a connected component of  $G$ ). By lemma 11,  $\text{tw}(G) = \max(\text{tw}(G_1), \text{tw}(G_2))$ .

The graphs  $G_1$  and  $G_2$  are clearly planar and they have less vertices than  $G$ , so  $\text{tw}(G_1^*) \leq \text{tw}(G_1) + 1$  and  $\text{tw}(G_2^*) \leq \text{tw}(G_2) + 1$ . It remains to prove that  $\text{tw}(G^*) \leq \max(\text{tw}(G_1^*), \text{tw}(G_2^*))$ .

Consider the case when  $G$  is 2-connected. By proposition 3 there is a cycle  $\nu_S(C)$  of  $G_I$  associated to  $S$  and  $C$ , close to  $C$ . The cycle contains four vertices,  $\tilde{\nu}_S(C) = [x, f, y, f']$ . Let  $R_1$  (respectively  $R_2$ ) be the region of  $\Sigma \setminus \nu_S(C)$  containing  $C$  (respectively  $V \setminus (S \cup C)$ ). Notice that the vertices of  $G_1$  (respectively  $G_2$ ) are exactly the original vertices of  $(\tilde{\nu}_S(C), R_1)$  (respectively  $(\tilde{\nu}_S(C), R_2)$ ). Let  $F_1$  and  $F_2$  be the face-vertices of  $G_I$  contained in  $R_1$ , respectively  $R_2$ . We denote  $S_f = \{f, f'\}$ . Let  $G_1^f$  be the graph obtained from  $G^*[S_f \cup F_1]$  by adding the edge  $ff'$ . Consider the plane drawing of  $G_1$  obtained by restricting the drawing of  $G$  at the one-block region  $(\tilde{\nu}_S(C), R_1)$  and by adding the edge  $xy$  through the region  $R_2$ . It is easy to see that  $G_1^f$  is exactly the dual of  $G_1$ . In a similar way, we define the graph  $G_2^f$  obtained from  $G^*[S_f \cup F_2]$  by adding the edge  $ff'$ . If we consider the plane drawing of  $G_2$  obtained by restricting the drawing of  $G$  to the one-block region  $(\tilde{\nu}_S(C), R_2)$  and by adding the edge  $xy$  through  $R_2$ , then  $G_2^f$  is the dual of  $G_2$ . By the minimality of  $G$ , we have  $\text{tw}(G_1^f) \leq \text{tw}(G_1) + 1$  and  $\text{tw}(G_2^f) \leq \text{tw}(G_2) + 1$ . Observe now that in the graph  $G_{S_f}^*$  obtained from  $G^*$  by adding the edge  $xy$ ,  $S_f$  separates  $F_1$  from  $F_2$ . By lemma 11,  $\text{tw}(G_{S_f}^*) = \max(\text{tw}(G_1^f), \text{tw}(G_2^f))$ , so  $\text{tw}(G_{S_f}^*) \leq \max(\text{tw}(G_1), \text{tw}(G_2)) + 1 = \text{tw}(G) + 1$ . We conclude that  $\text{tw}(G^*) \leq \text{tw}(G) + 1$ .

The case when  $G$  is not 2-connected is similar. Suppose that  $G$  is connected but not 2-connected, so  $S$  has a unique vertex  $x$ . There is a face  $f$  of  $G_I$  such that we can draw a Jordan curve  $\tilde{\nu}_S$  passing through  $x$  and  $f$ , contained in the face  $f$  (except the point  $x$ ), and the curve separates  $C$  from  $V \setminus (C \cup \{x\})$ . If  $G$  is not connected, we can take a connected component  $C$  and a face  $f$  such that a Jordan curve  $\tilde{\nu}_S$  contained in the face  $f$ , passing through  $f$ , separates  $C$  from  $V \setminus C$ . As in the case of 2-connected graphs, we consider the regions  $R_1$  (respectively  $R_2$ ) of  $\Sigma \setminus \tilde{\nu}_S$  containing  $C$  (respectively  $V \setminus (C \cup S)$ ). We take  $S_f = \{f\}$  and we denote  $F_1$  (respectively  $F_2$ ) the face-vertices of  $G_I$  contained in  $R_1$  (respectively  $R_2$ ). Then  $G_1^f = G^*[S_f \cup F_1]$  is the dual of  $G_1$  and  $G_2^f = G^*[S_f \cup F_2]$  is the dual of  $G_2$ . We conclude that  $\text{tw}(G^*) = \max(\text{tw}(G_1^f), \text{tw}(G_2^f)) \leq \max(\text{tw}(G_1), \text{tw}(G_2)) + 1$ , so  $\text{tw}(G^*) \leq \text{tw}(G) + 1$ .  $\square$

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